

# Statistical models for neural encoding, decoding, and optimal stimulus design

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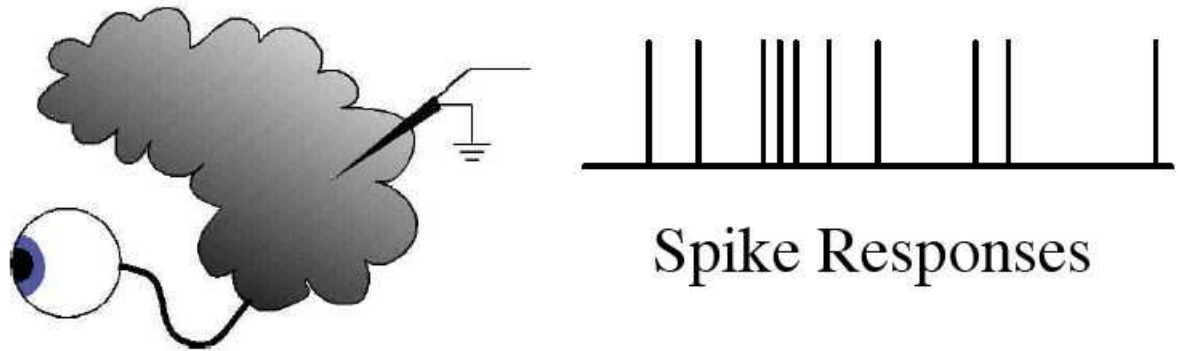
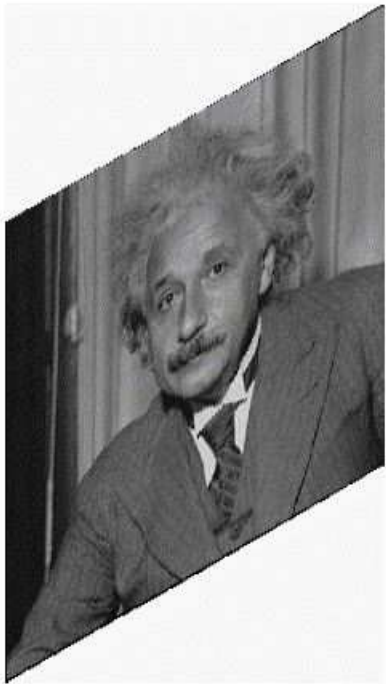
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— with J. Pillow (UT Austin), E. Simoncelli (NYU), E.J. Chichilnisky (Salk), J. Lewi (Georgia Tech), Y. Ahmadian, S. Woolley (Columbia).

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# The neural code

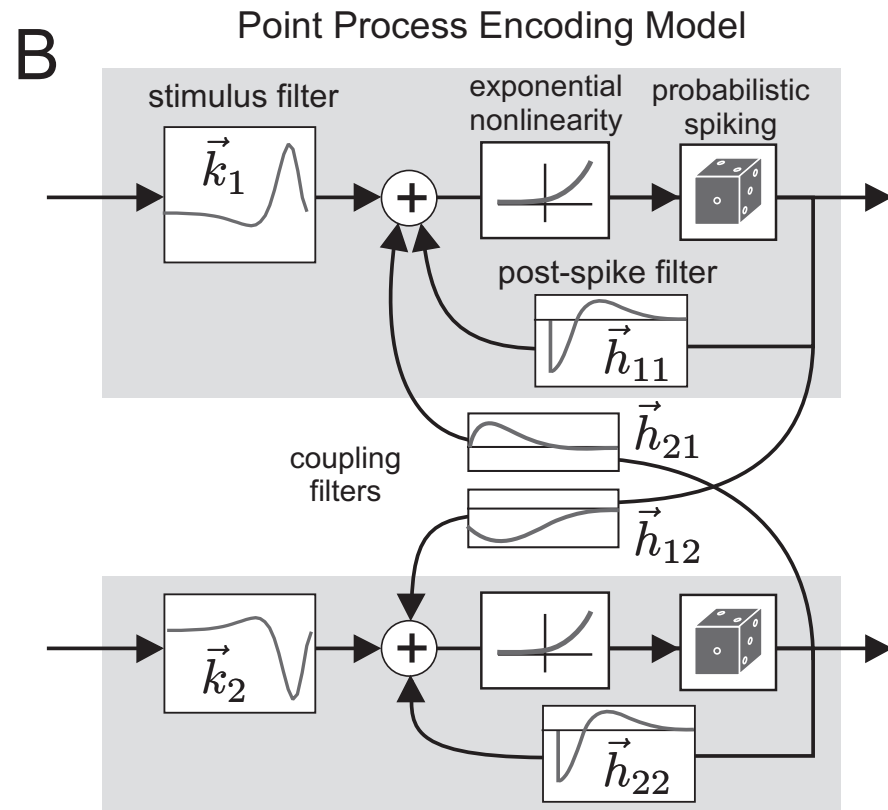
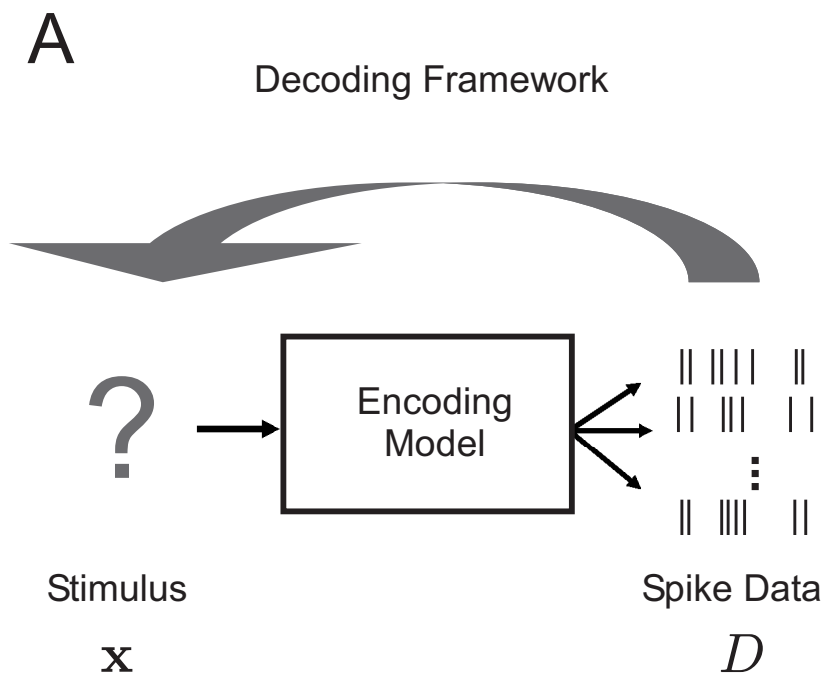


Input-output relationship between

- External observables  $x$  (sensory stimuli, motor responses...)
- Neural variables  $y$  (spike trains, population activity...)

Probabilistic formulation:  $p(y|x)$

# Multineuronal point-process GLM



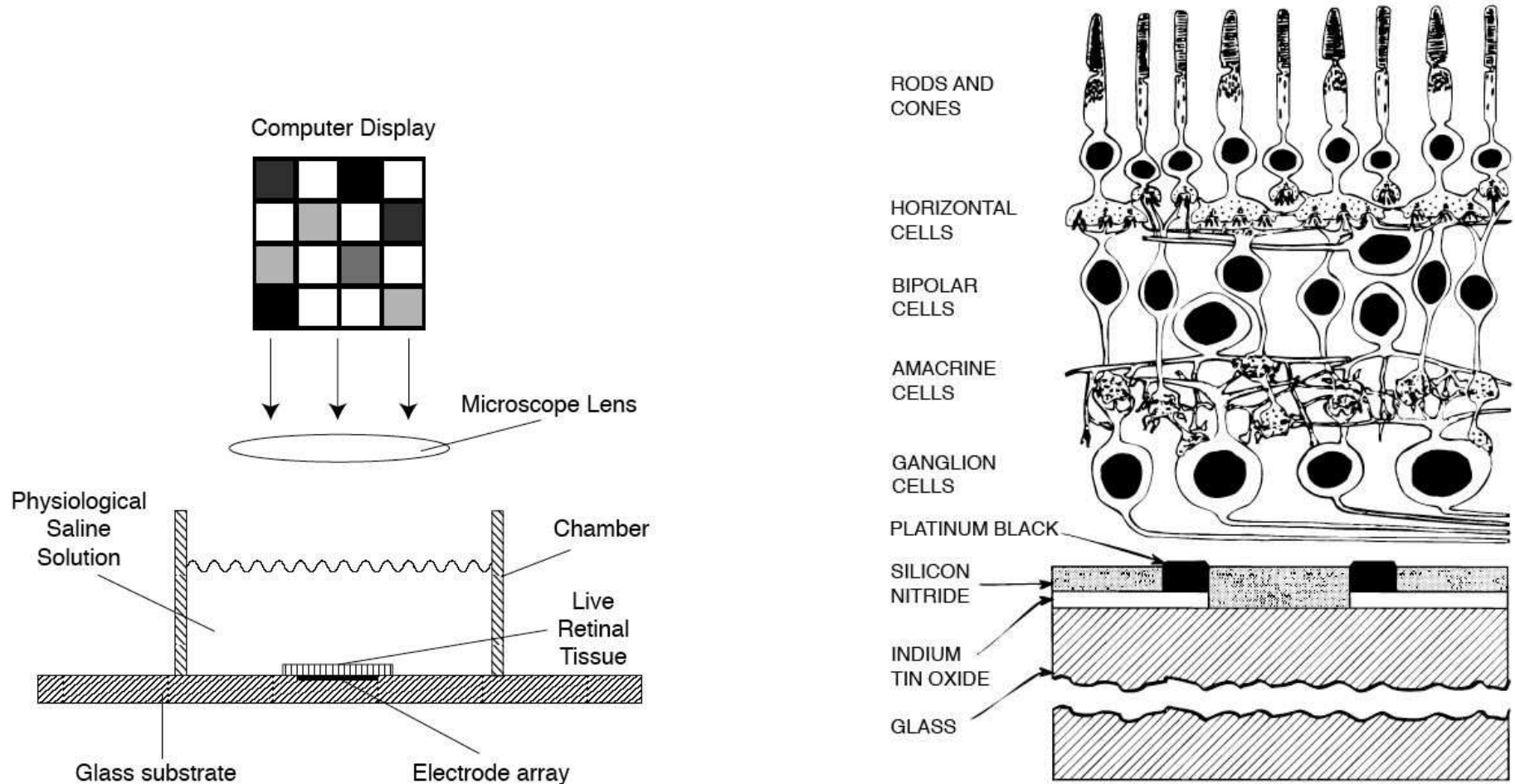
$$\lambda_i(t) = f \left( b + \vec{k}_i \cdot \vec{x}(t) + \sum_{i',j} h_{i',j} n_{i'}(t-j) \right),$$

— Fit by  $L_1$ -penalized maximum likelihood (concave optimization)  
 (Brillinger, 1988; Paninski, 2004; Truccolo et al., 2005)

# Retinal ganglion neuronal data

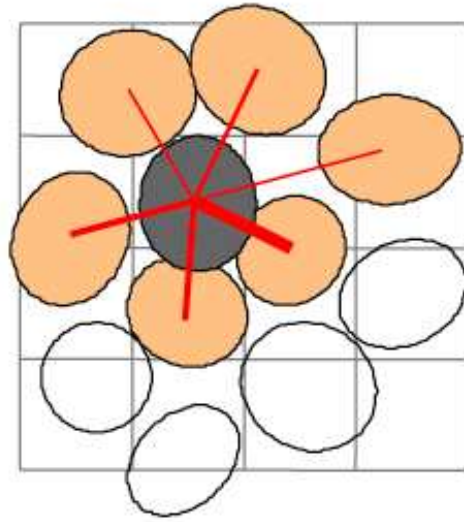
Preparation: dissociated salamander and macaque retina

— extracellularly-recorded responses of populations of RGCs

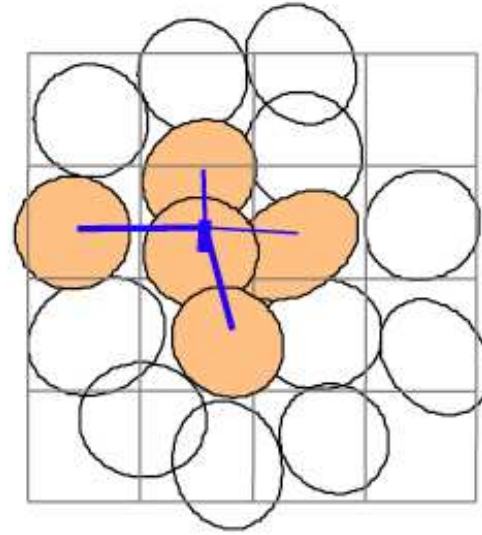


Stimulus: random spatiotemporal visual stimuli (Pillow et al., 2008)

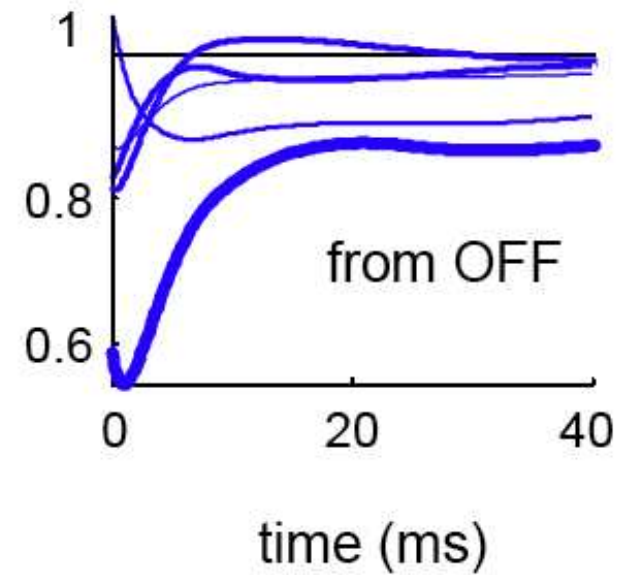
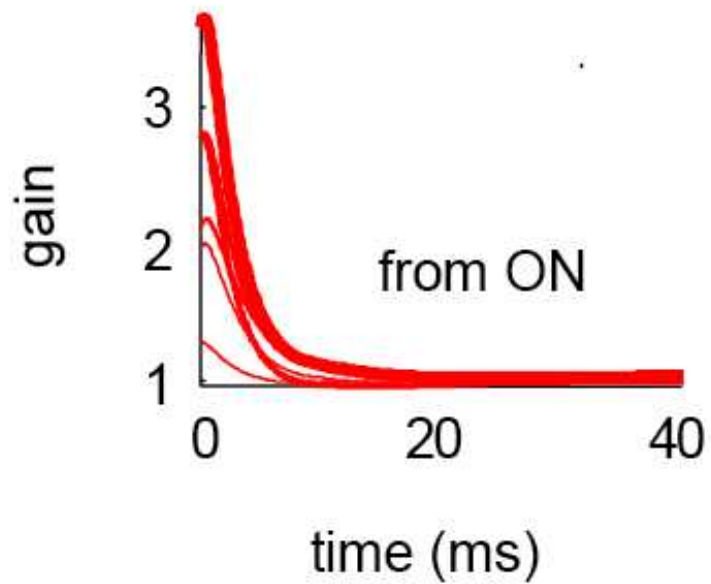
ON  
cells



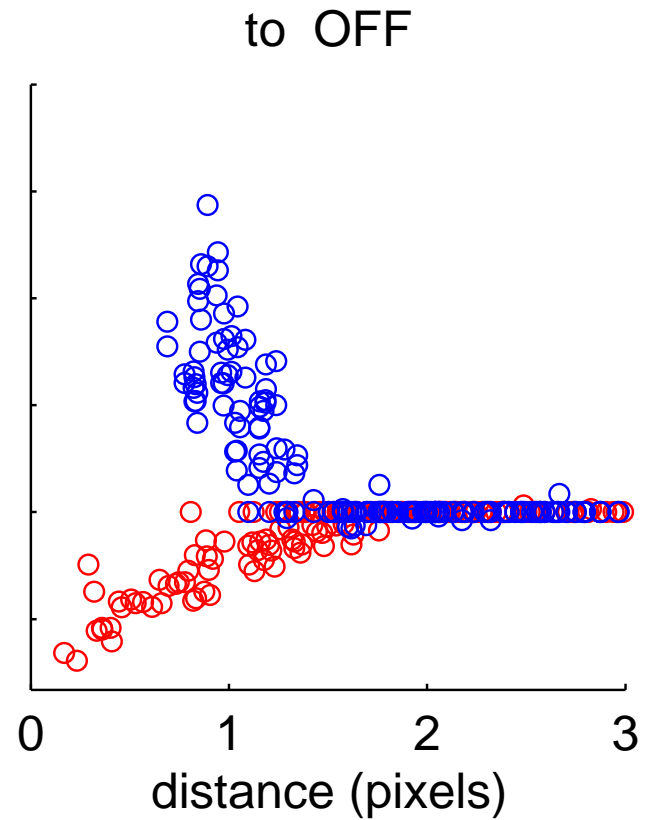
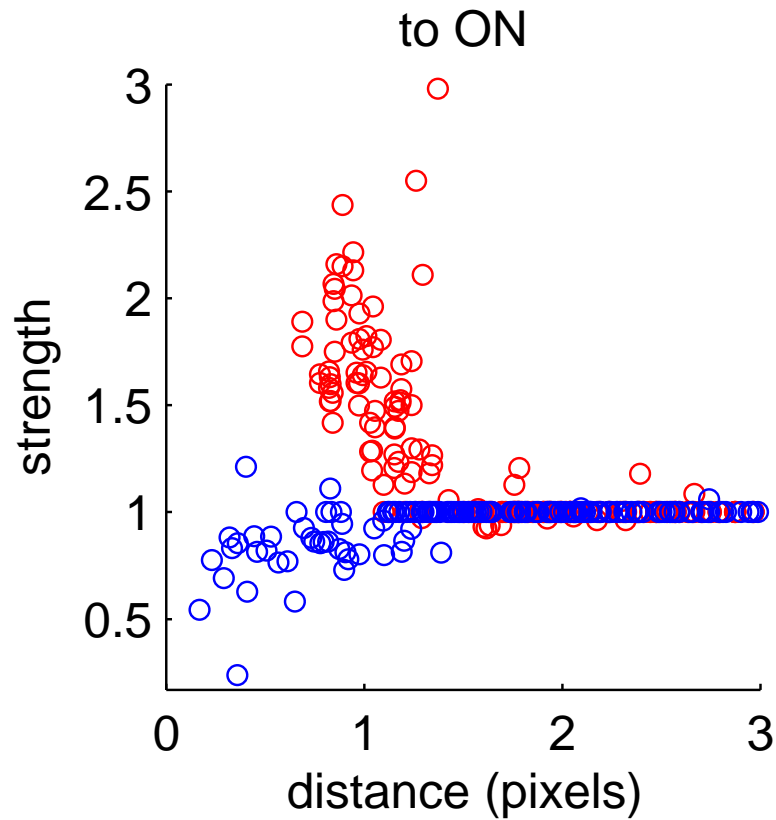
OFF  
cells



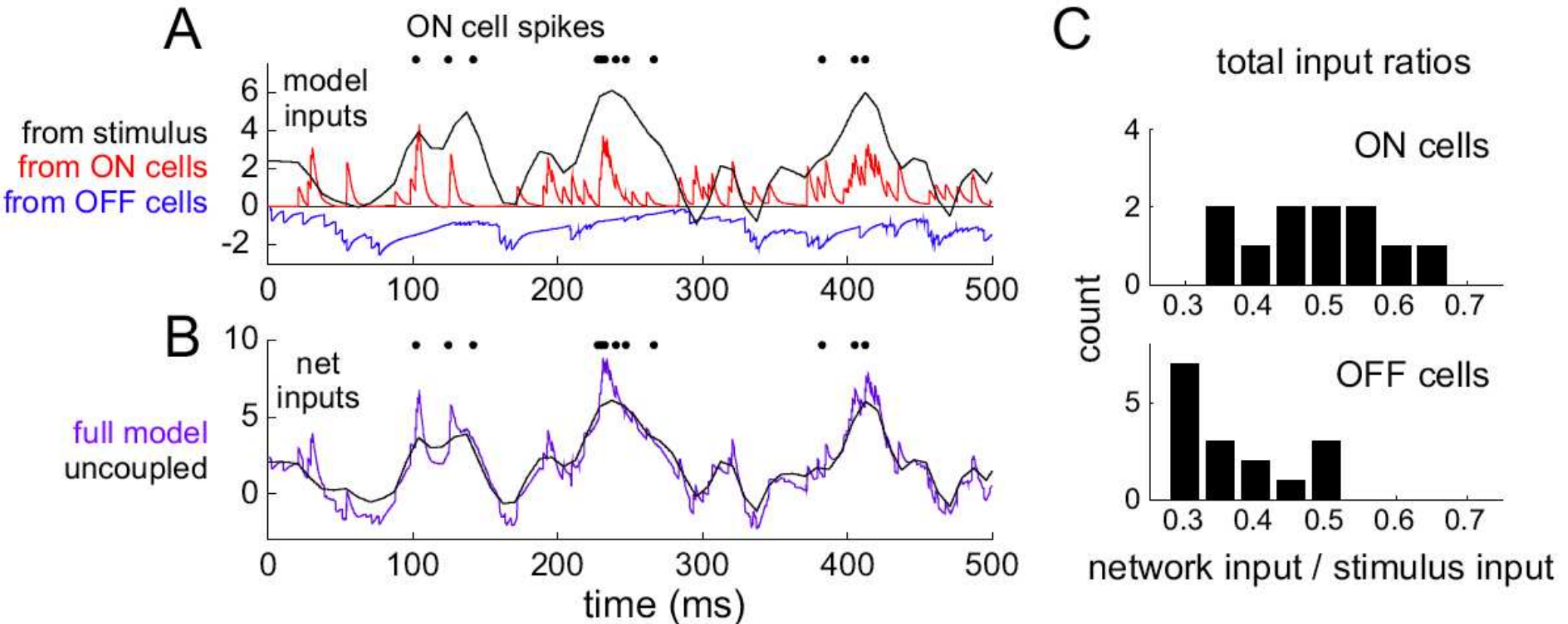
coupling filters



# Nearest-neighbor connectivity



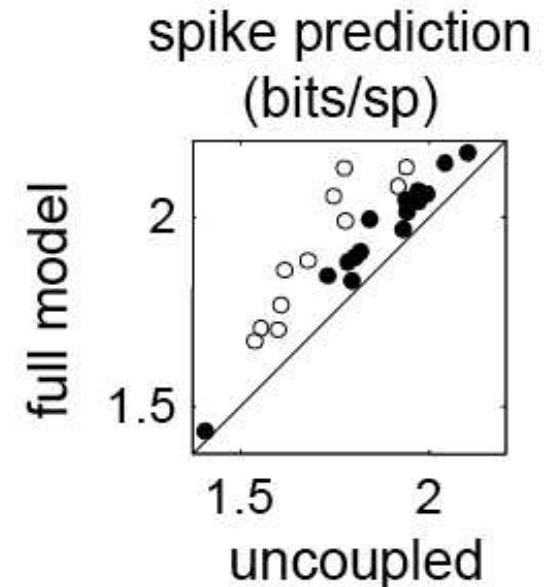
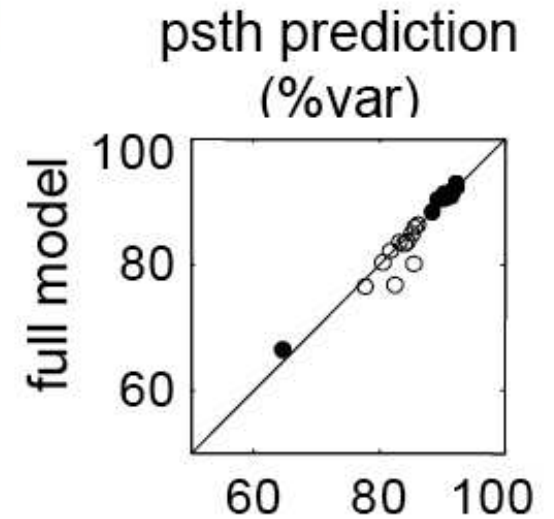
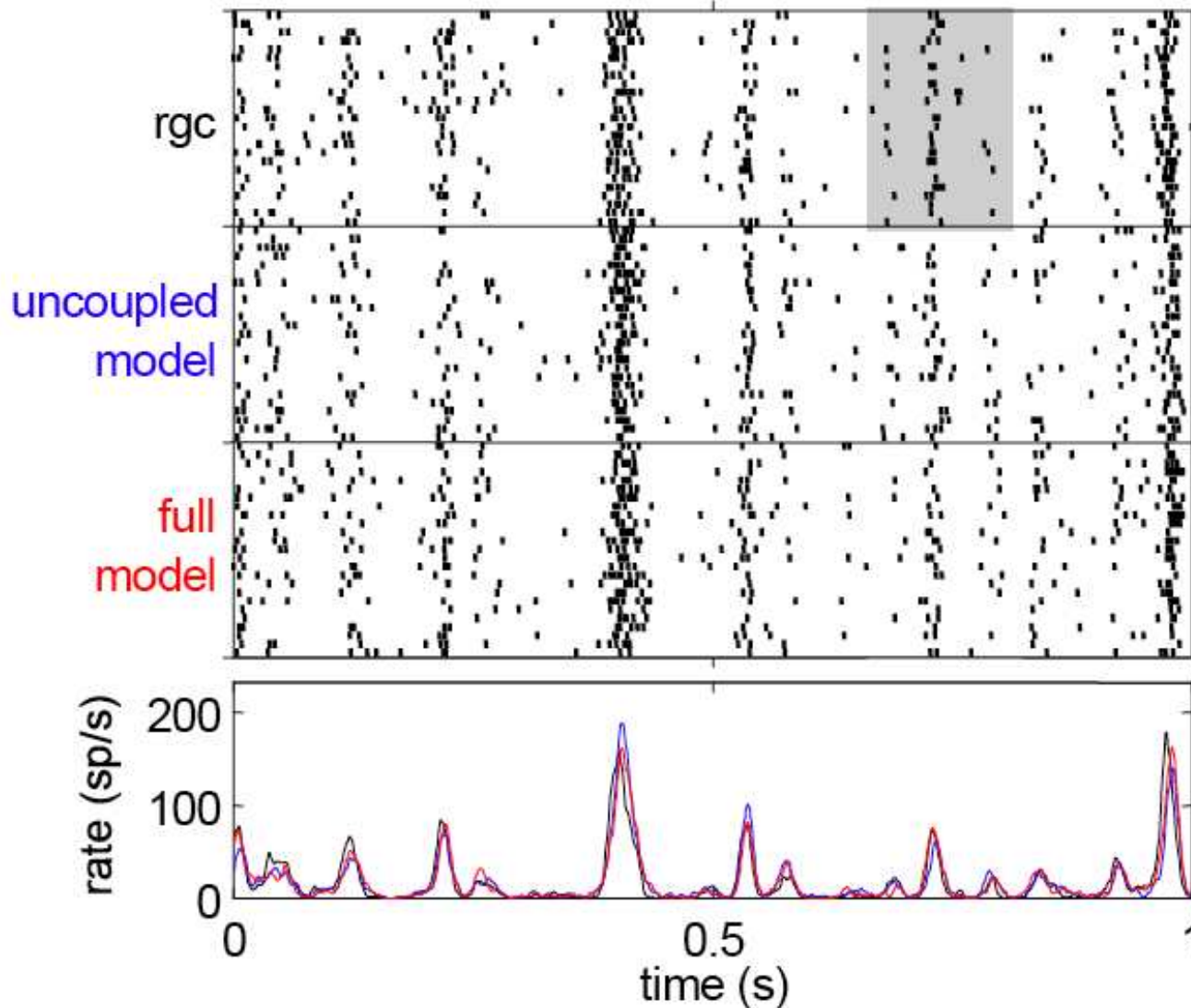
# Network vs. stimulus drive



— Network effects are  $\approx 50\%$  as strong as stimulus effects

# Spike Train Prediction

- improved prediction, but not of mean rate!





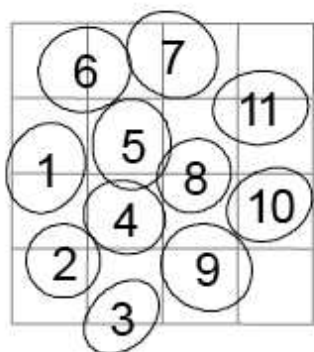
# Model captures spatiotemporal cross-corrs

x-corrs:

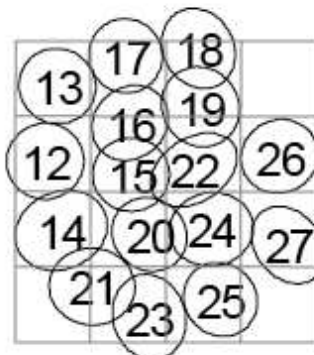
ON-ON

OFF-OFF

ON cells

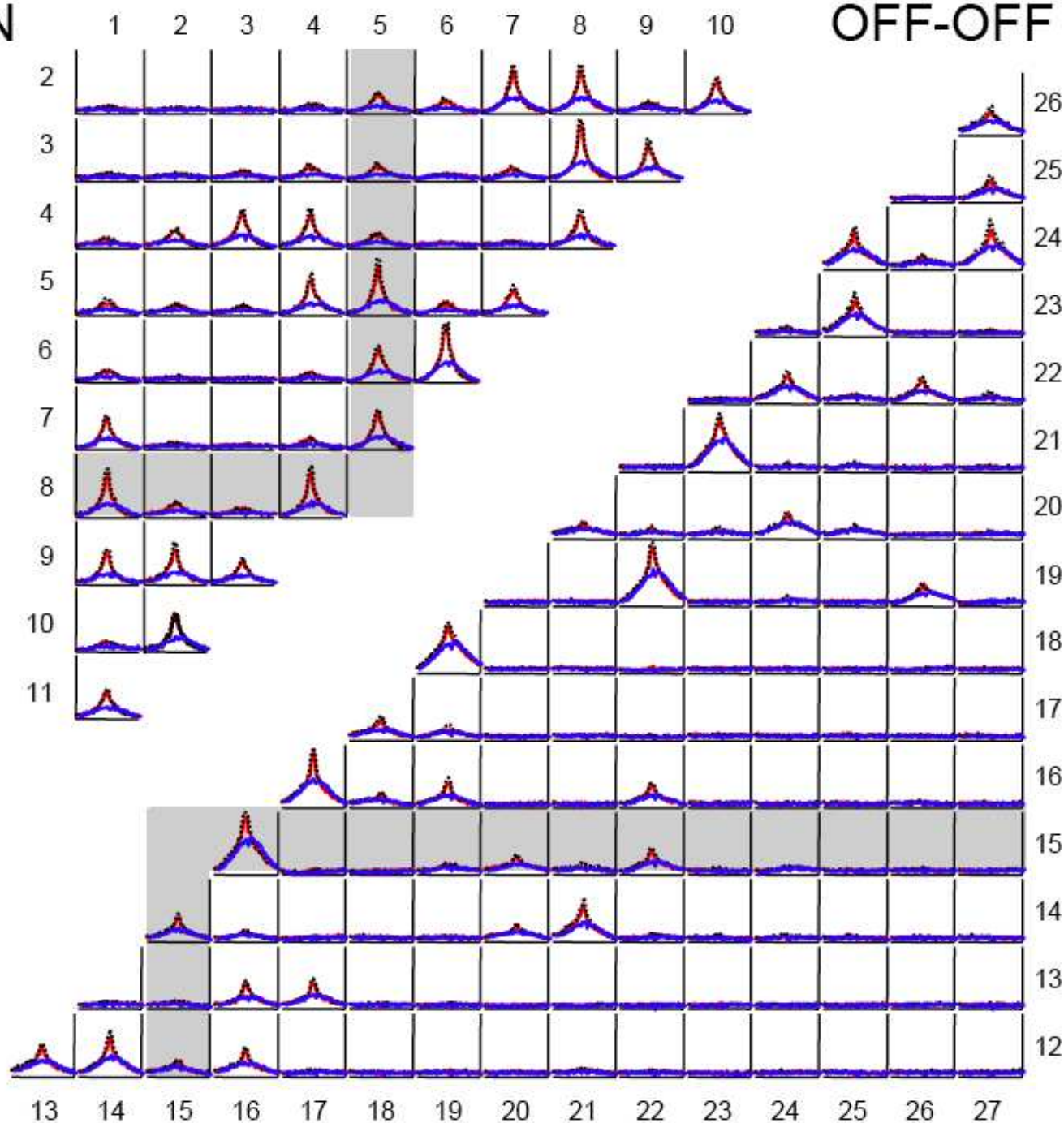


OFF cells



75 sp/s

50 ms

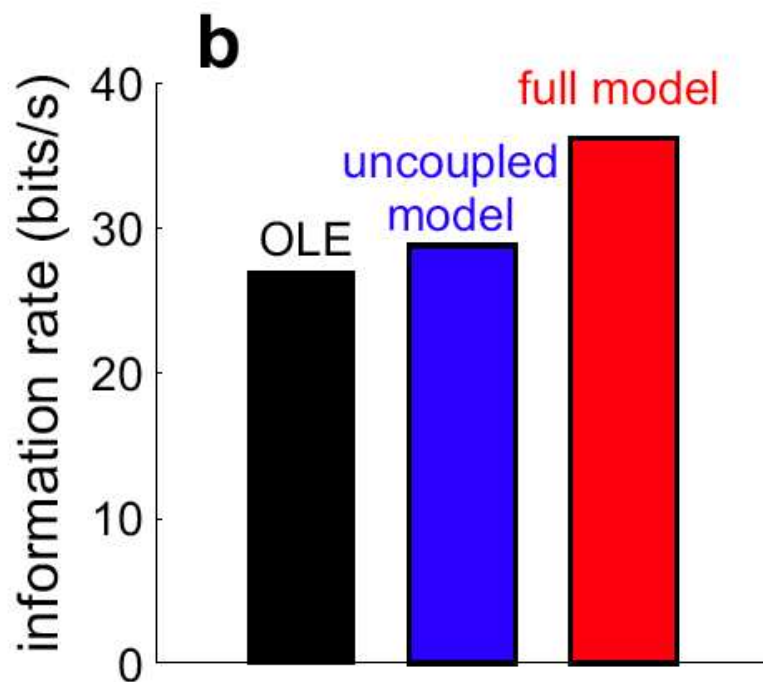
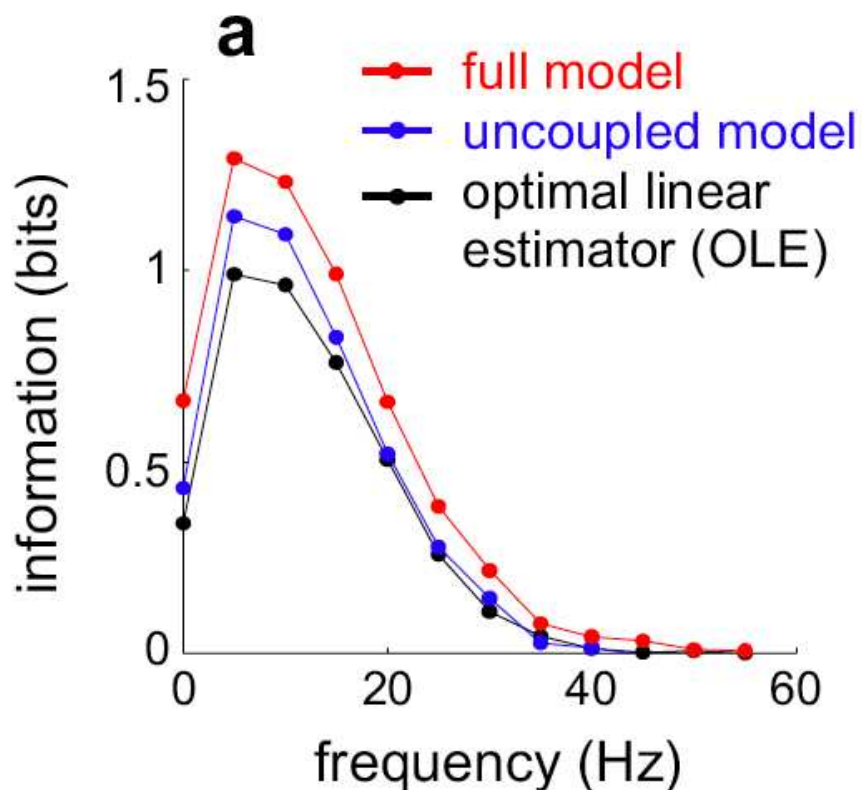


# Maximum a posteriori decoding

$$\arg \max_{\vec{x}} \log P(\vec{x} | \text{spikes}) = \arg \max_{\vec{x}} \log P(\text{spikes} | \vec{x}) + \log P(\vec{x})$$

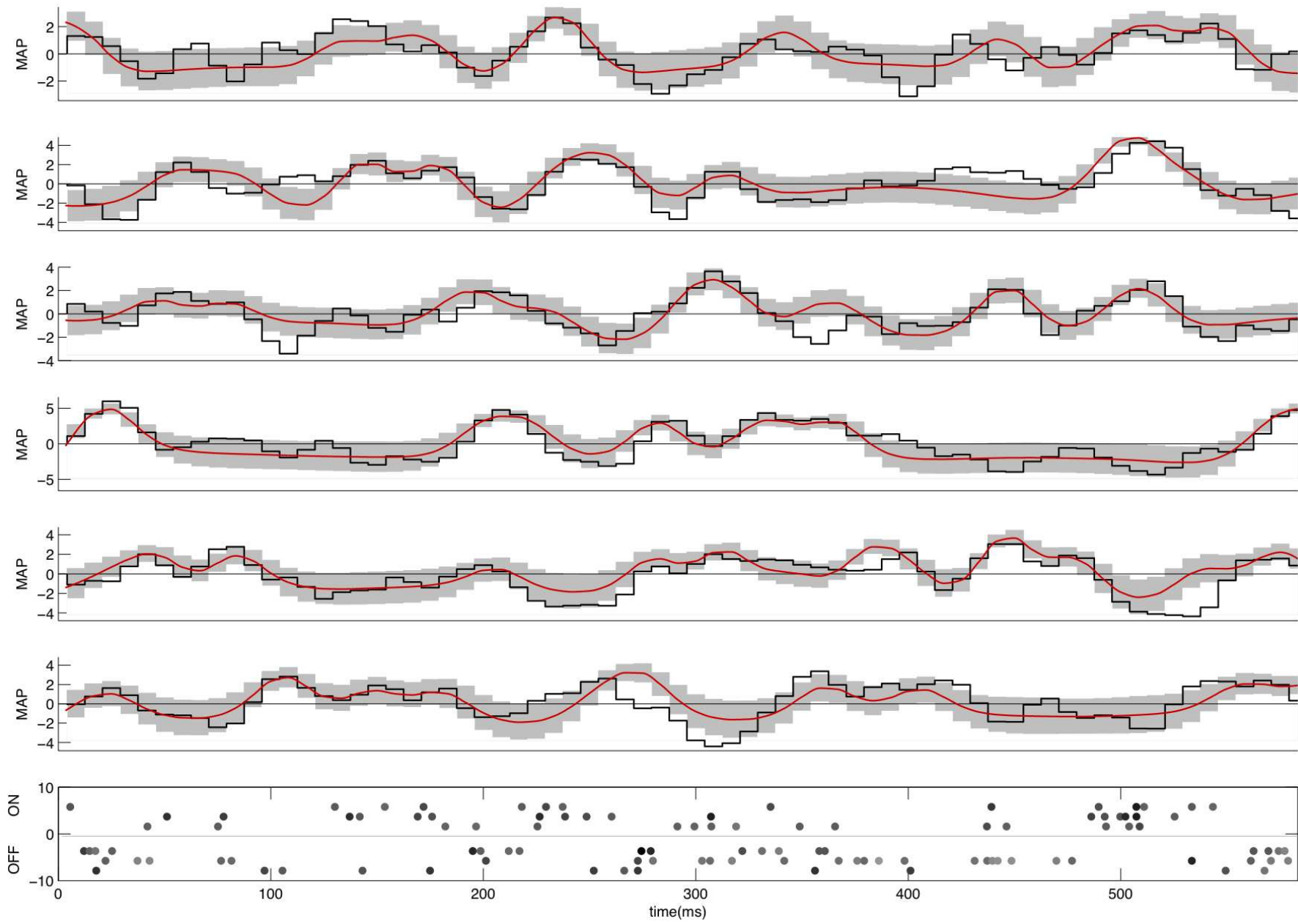
—  $\log P(\text{spikes} | \vec{x})$  is concave in  $\vec{x}$ : concave optimization again.

— Decoding can be done in linear time via standard Newton-Raphson methods, since Hessian of  $\log P(\vec{x} | \text{spikes})$  w.r.t.  $\vec{x}$  is banded (Pillow et al., 2009).



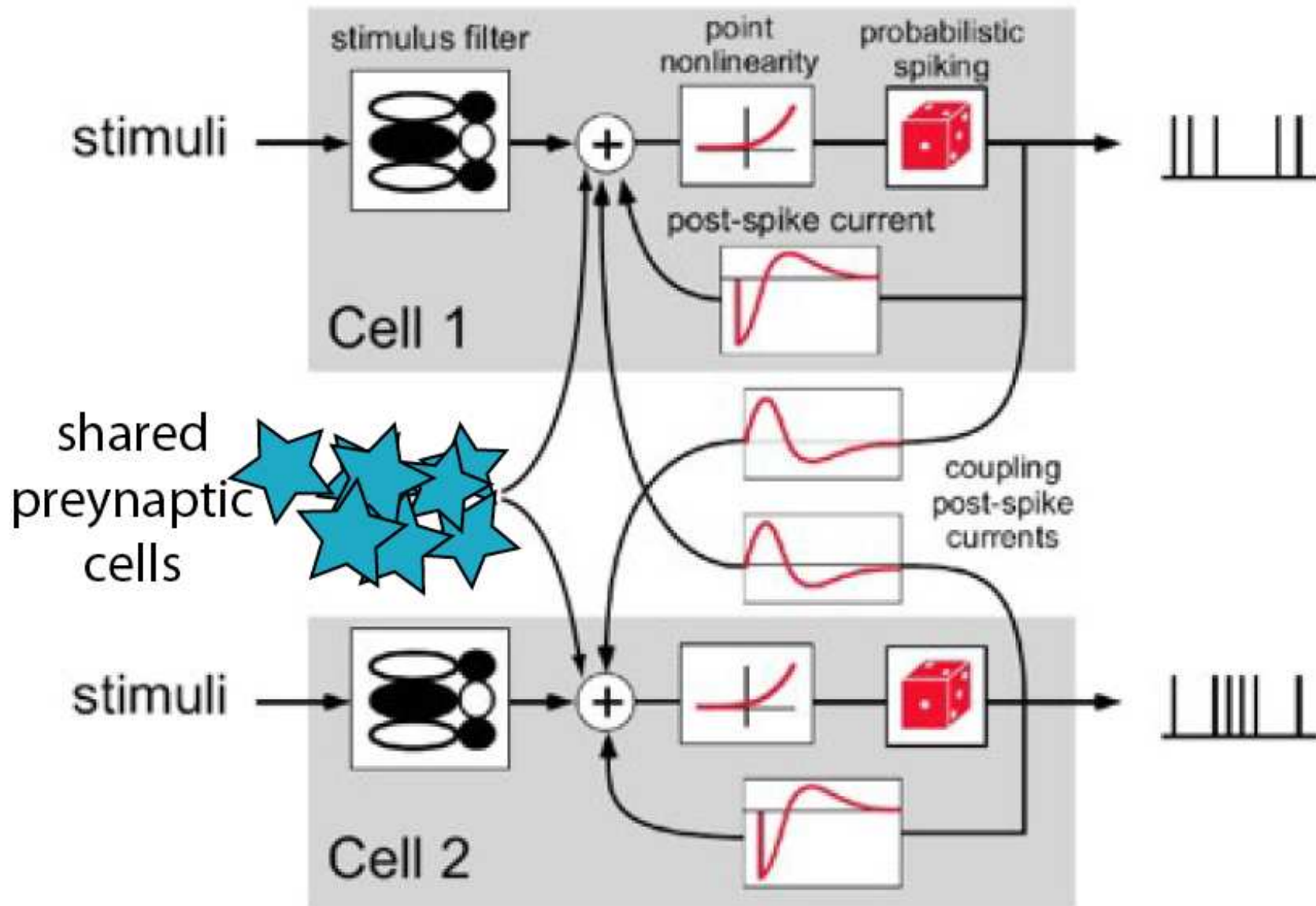
— Including network terms improves decoding accuracy.

# Key question: how important is timing?



(Pillow et al., 2009; Paninski et al., 2007; Ahmadian et al., 2009)

# Extension: latent “common input” effects



State-space setting; fast estimation methods (Kulkarni and Paninski, 2007; Khuc-Trong and Rieke, 2008; Wu et al., 2009; Vidne et al., 2009)

# Optimal stimulus design

Idea: we have full control over the stimuli we present. Can we choose stimuli  $\vec{x}_t$  to maximize the informativeness of each trial?

— More quantitatively, optimize  $I(n_t; \theta | \vec{x}_t)$  with respect to  $\vec{x}_t$ .

Maximizing  $I(n_t; \theta; \vec{x}_t) \implies$  minimizing uncertainty about  $\theta$ .

In general, very hard to do: high-d integration over  $\theta$  to compute  $I(n_t; \theta | \vec{x}_t)$ , high-d optimization to select best  $\vec{x}_t$ .

GLM setting makes this surprisingly tractable (Lewi et al., 2009).

# Fast stimulus optimization

$$\lambda_i \sim \text{Pois}(\lambda_i)$$

$$\lambda_i | \vec{x}_i, \vec{\theta} = f(\vec{k} \cdot \vec{x}_i + \sum_j a_j r_{i-j})$$

$$\log p(r_i | \vec{x}_i, \vec{\theta}) = -f(\vec{k} \cdot \vec{x}_i + \sum_j a_j r_{i-j}) + r_i \log f(\vec{k} \cdot \vec{x}_i + \sum_j a_j r_{i-j})$$

Two key points:

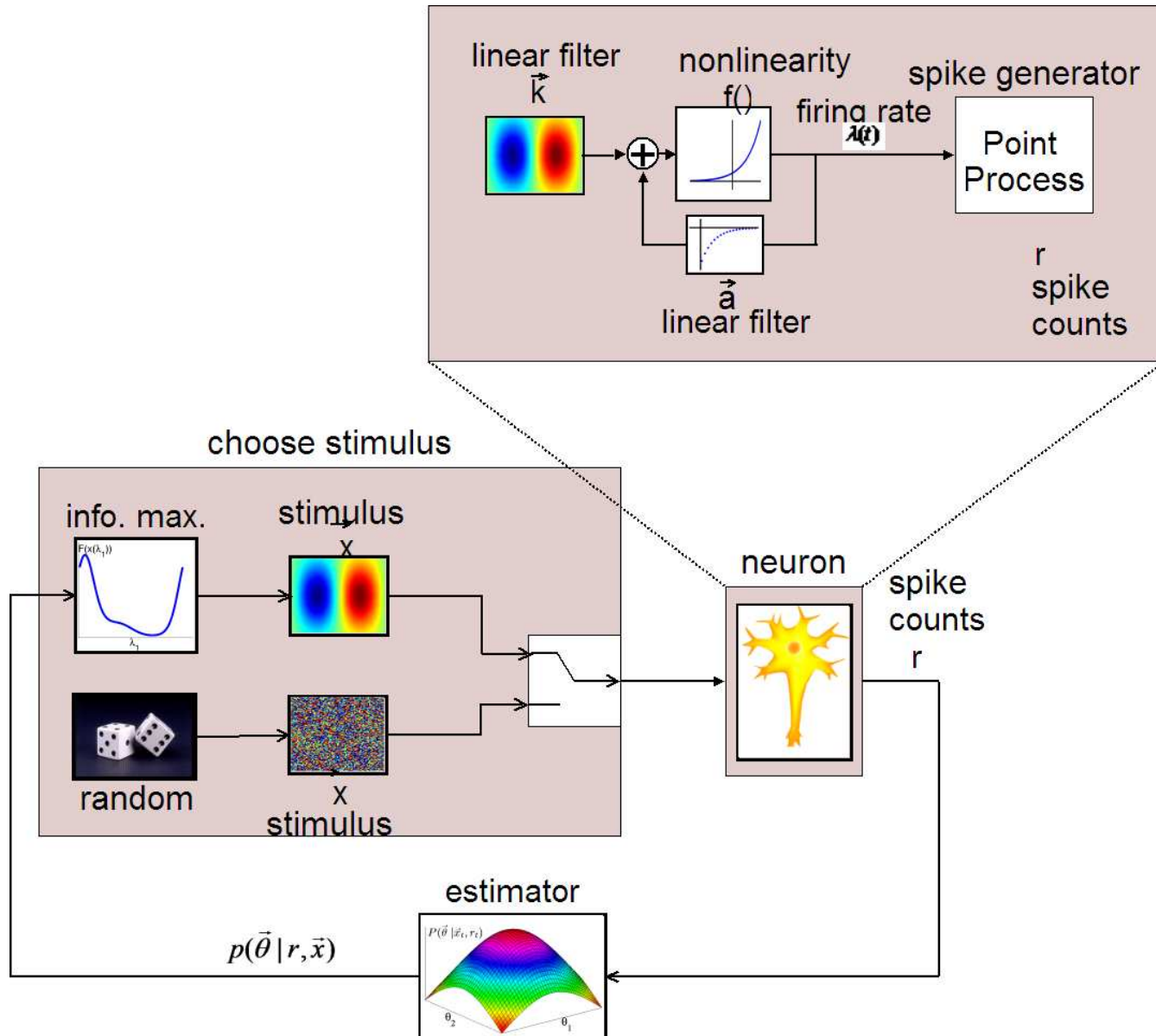
- Likelihood is “rank-1” — only depends on  $\vec{\theta}$  along  $\vec{z} = (\vec{x}, \vec{r})$ .
- $f$  convex and log-concave  $\implies$  log-likelihood concave in  $\vec{\theta}$

Idea: Laplace approximation:

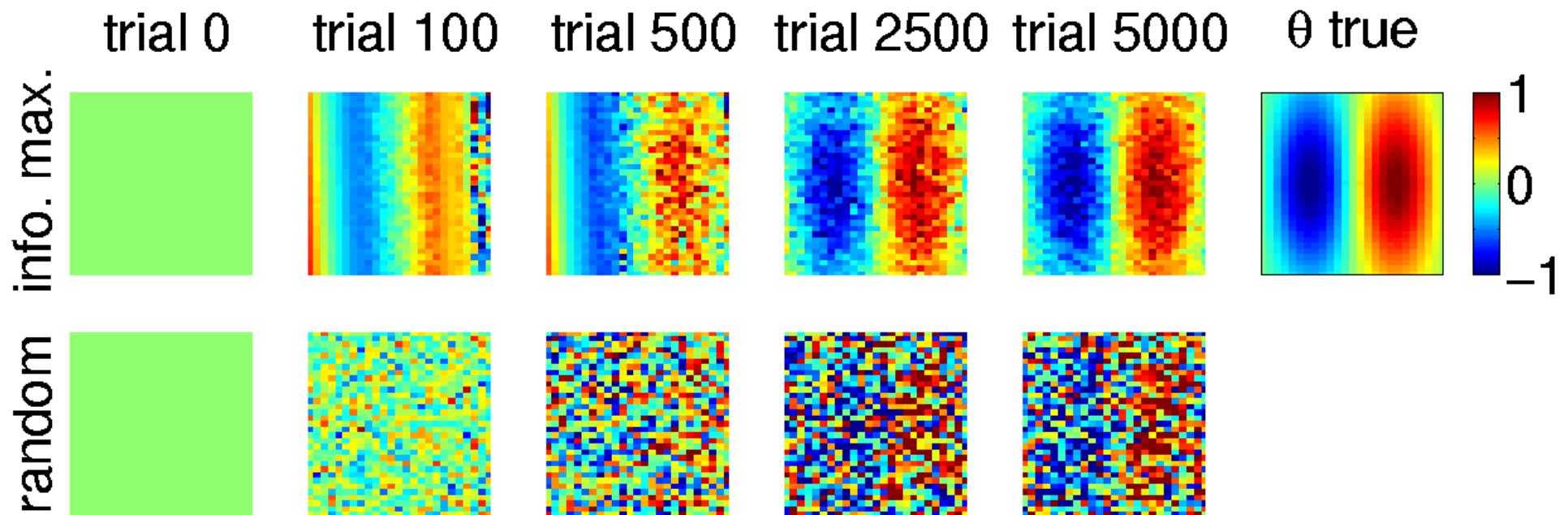
$$p(\vec{\theta} | \{\vec{x}_i, r_i\}_{i \leq N}) \approx \mathcal{N}(\mu_N, C_N)$$

— fast low-rank methods let us update  $\mu_N, C_N$  and compute the optimal stimulus (maximize  $I(n_t; \theta | \vec{x}_t)$ ) in  $O(\dim(\vec{x}_t)^2)$  time.

# Infomax vs. randomly-chosen stimuli



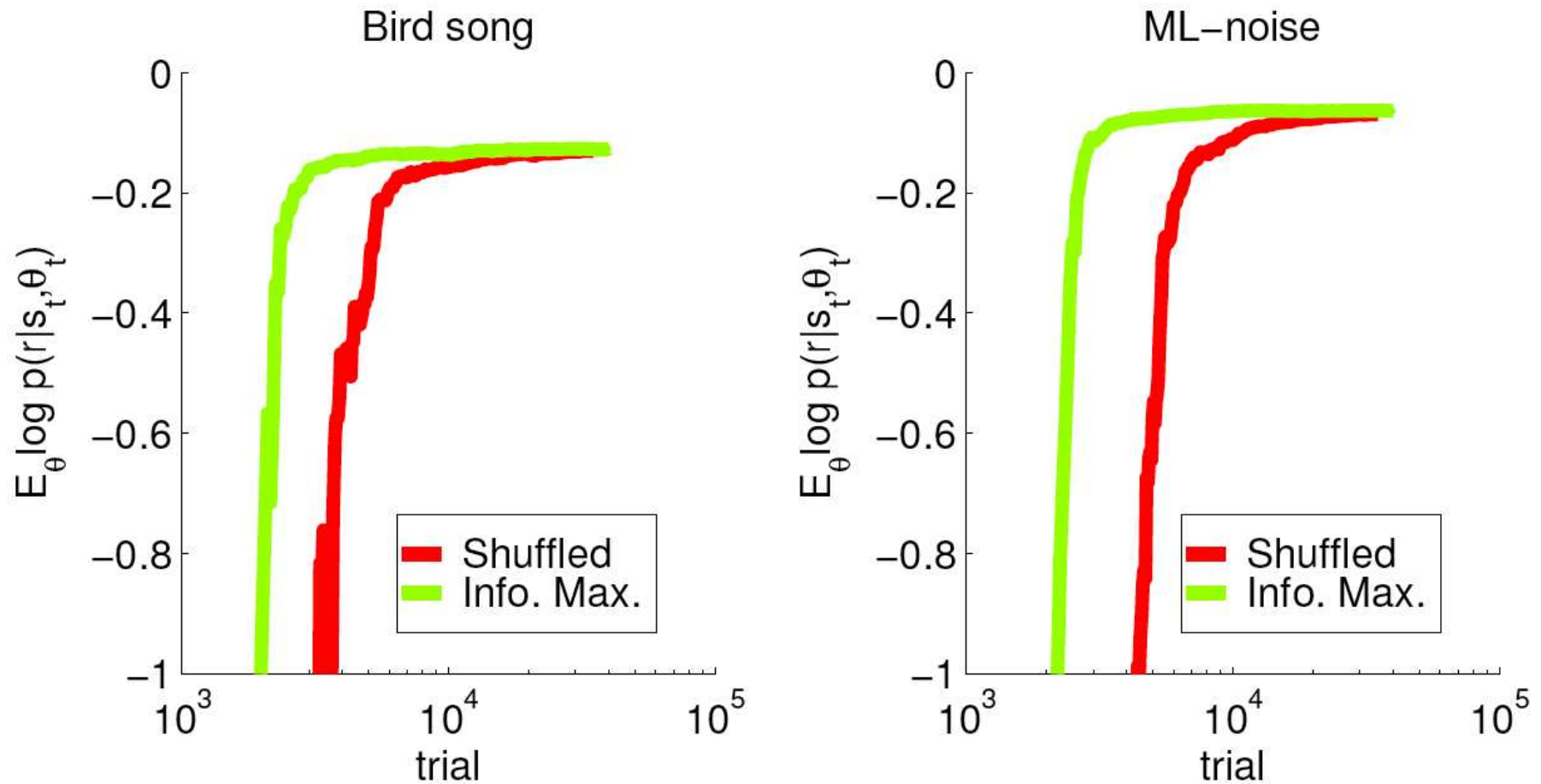
# Simulated example



— infomax can be an order of magnitude more efficient.



# Application to real data: choosing an optimal stimulus sequence



— stimuli chosen from a fixed pool; greater improvements expected if we can choose arbitrary stimuli on each trial.

# Handling nonstationary parameters

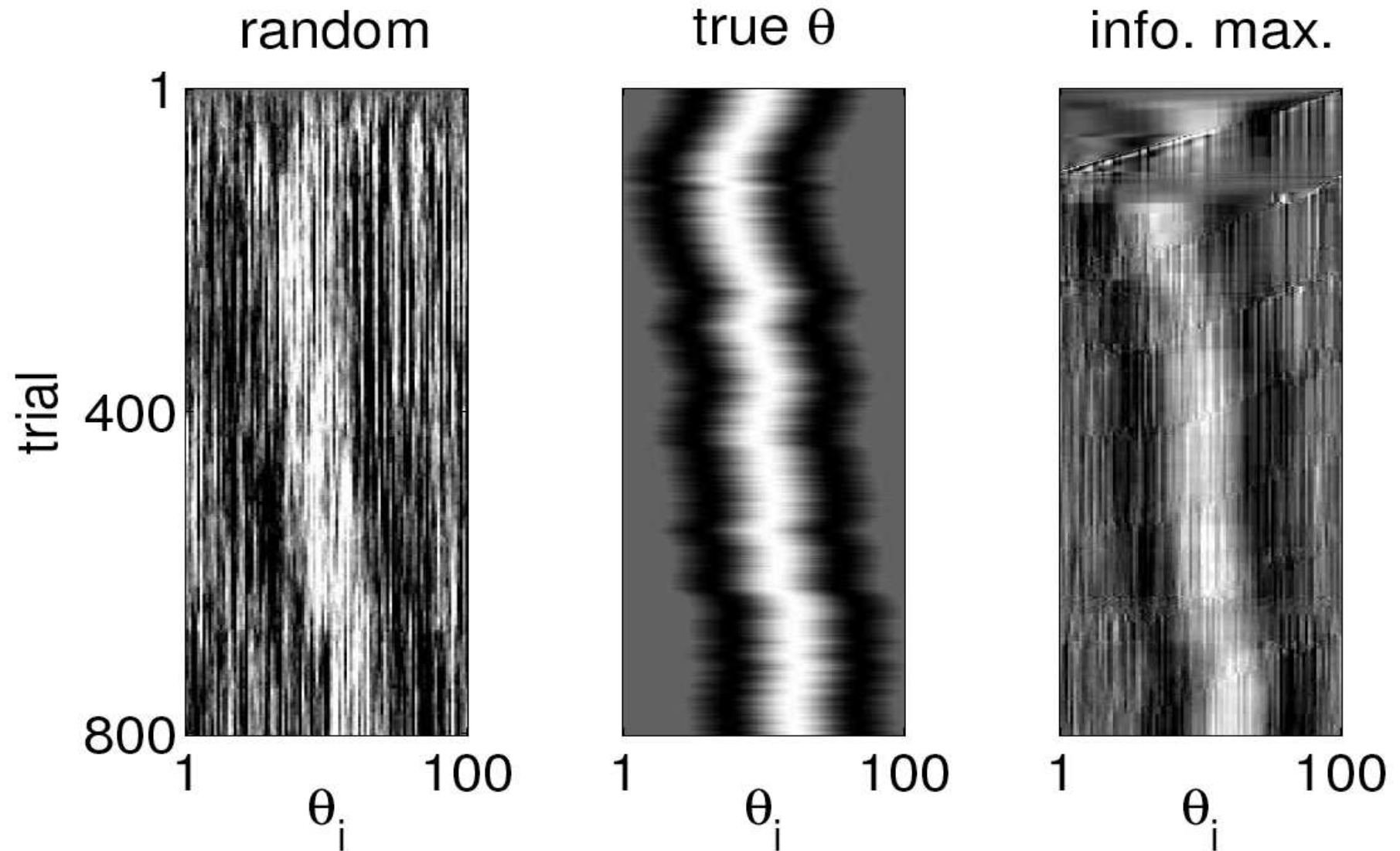
Various sources of nonsystematic nonstationarity:

- Plasticity/adaptation
- Changes in arousal / attentive state
- Changes in health / excitability of preparation

Solution: represent parameter  $\theta$  in a state-space model (Czanner et al., 2008; Lewi et al., 2009):

$$\vec{\theta}_{N+1} = \vec{\theta}_N + \epsilon; \quad \epsilon \sim \mathcal{N}(0, Q)$$

# Simulation: nonstationary parameters



# Conclusions

- GLM and state-space approach provides flexible, powerful methods for answering key questions in neuroscience
- Close relationships between encoding, decoding, and experimental design (Paninski et al., 2007)
- Log-concavity, banded matrix methods make computations very tractable
- Many opportunities for applications of statistical ideas in neuroscience

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