

Statistical methods for understanding neural codes

Liam Paninski

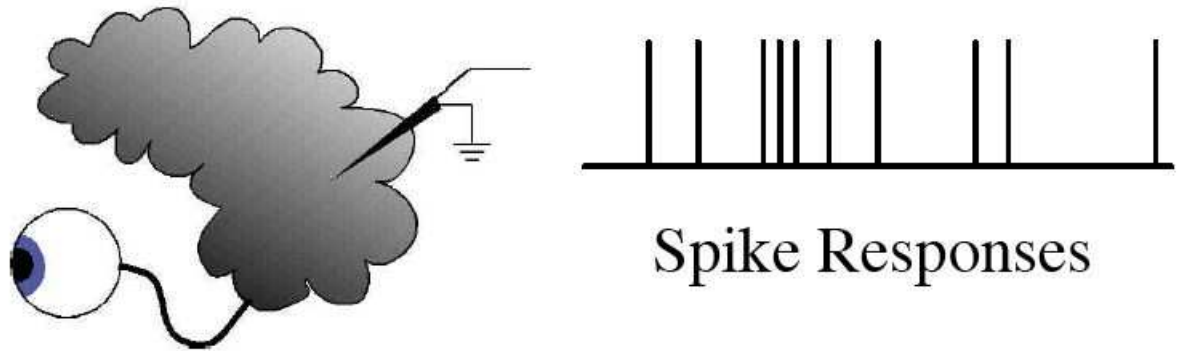
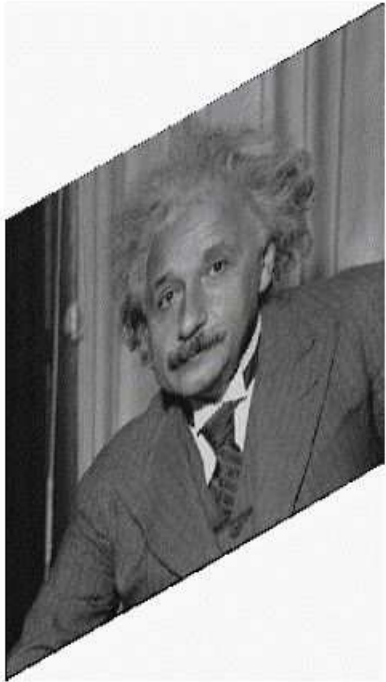
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October 5, 2006

The neural code

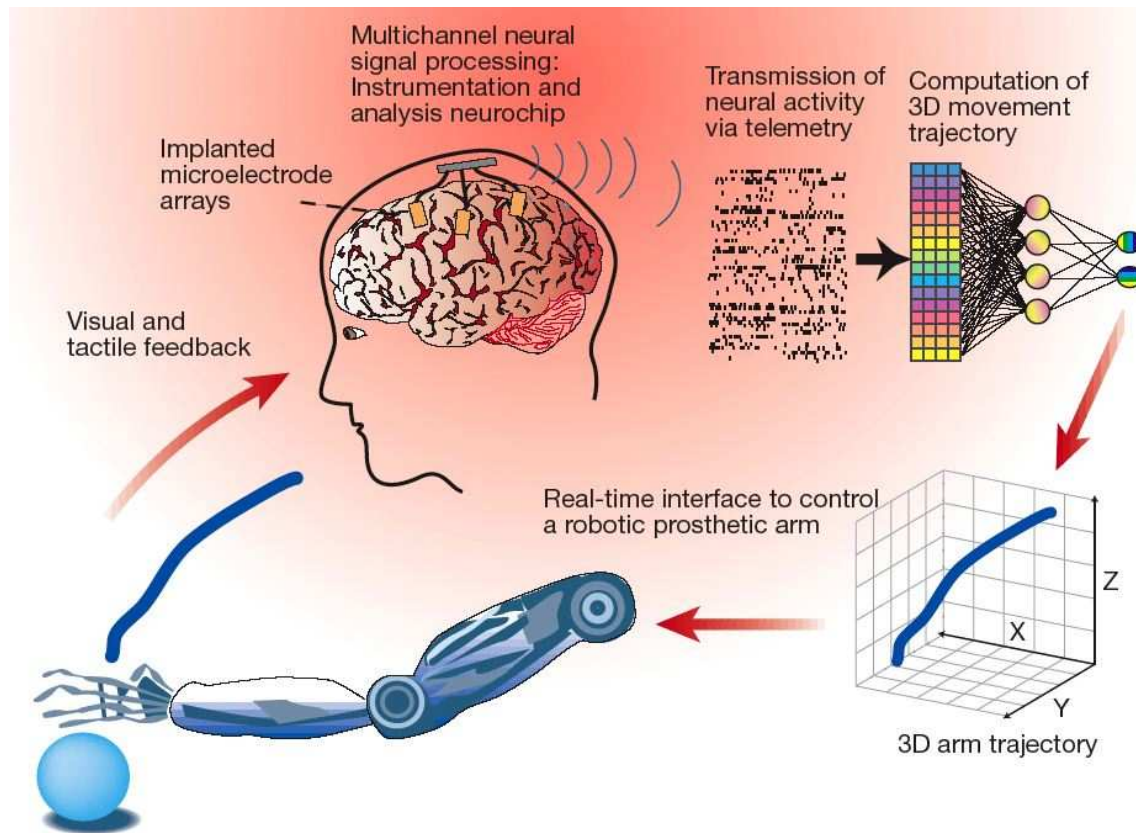


Input-output relationship between

- External observables x (sensory stimuli, motor responses...)
- Neural variables y (spike trains, population activity...)

Probabilistic formulation: $p(y|x)$

Example: neural prosthetic design



Nicolelis, Nature '01



Donoghue; Cyberkinetics, Inc. '04

(Paninski et al., 1999; Serruya et al., 2002; Shoham et al., 2005)

Basic goal

...learning the neural code.

Fundamental question: how to estimate $p(y|x)$ from experimental data?

General problem is too hard — not enough data, too many inputs x and spike trains y

Avoiding the curse of insufficient data

Many approaches to make problem tractable:

1: Estimate some functional $f(p)$ instead

e.g., information-theoretic quantities (Nemenman et al., 2002; Paninski, 2003)

2: Select stimuli as efficiently as possible (Foldiak, 2001; Machens, 2002; Paninski, 2005; Lewi et al., 2006)

3: Fit a model with small number of parameters

Part 1: Neural encoding models

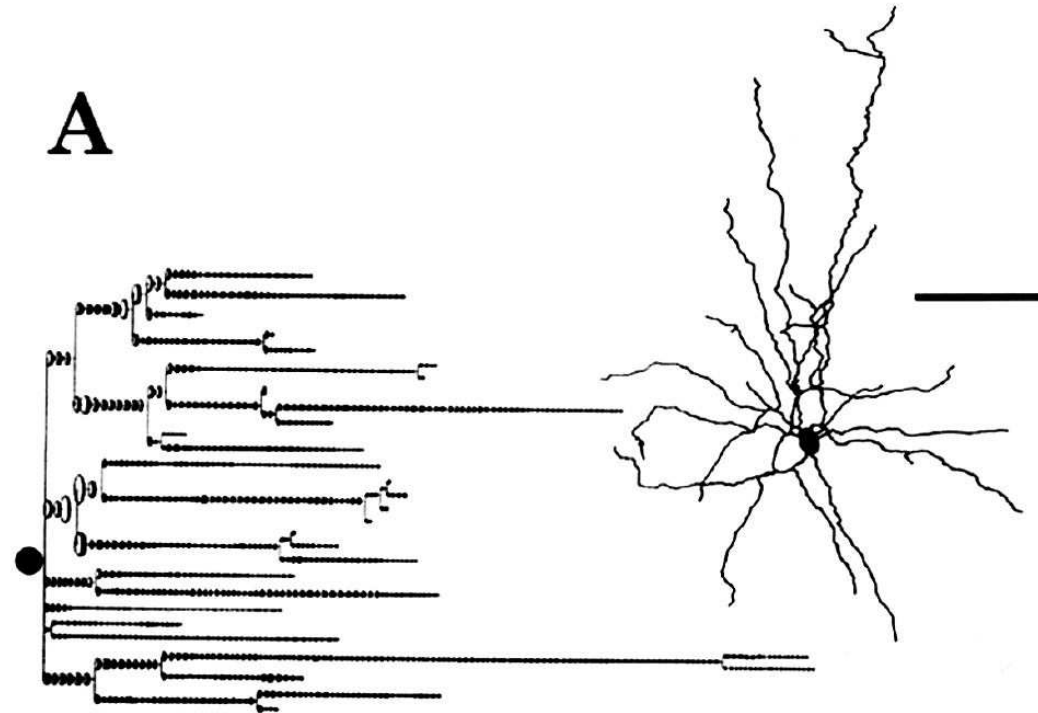
“Encoding model”: $p_{\theta}(y|x)$.

— Fit parameter θ instead of full $p(y|x)$

Main theme: want model to be flexible but not overly so

Flexibility vs. “fittability”

Multiparameter HH-type model



Regional Conductances (mS/cm²)

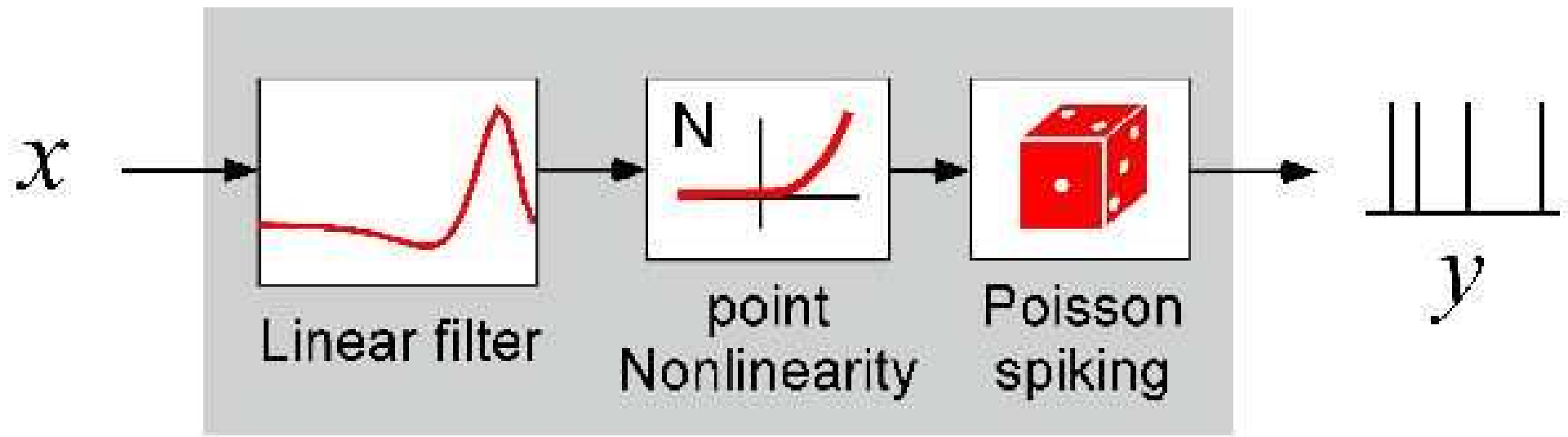
| Model | Current | Dendrites | Soma | AH | NR | Axon |
|---------------------------------|-------------|-----------|-------|----------|-------|--------|
| EC2.5 REAL | I_{Ca} | 2.0 | 1.5 | 1.5 | — | — |
| $j = 1$ | $I_{K,Ca}$ | 0.001 | 0.065 | 0.065 | 0.065 | 0.065 |
| SD* (real) = 21.9 μm | I_{Na} | 25 | 80 | 100–150† | 100 | 40–70‡ |
| SD (EC2.5) = 20 μm | I_K | 12 | 18 | 18 | 18 | 12–18‡ |
| $\tau_{Ca} = 1.5$ | I_A | 36 | 54 | 54 | 54 | — |
| $E_L = -60$ mV | Leak (Real) | 0.008 | 0.008 | 0.008 | 0.008 | 0.008 |
| $E_{Na} = 35$ mV | (EC2.5) | 0.005 | 0.005 | 0.005 | 0.005 | 0.005 |

— highly biophysically plausible, flexible

— **but** very difficult to estimate parameters given spike times alone

(figure adapted from (Fohlmeister and Miller, 1997))

Cascade (“LNP”) model



— easy to estimate: spike-triggered averaging
(Simoncelli et al., 2004)

— **but** not biophysically plausible (fails to capture spike timing details: refractoriness, burstiness, adaptation, etc.)

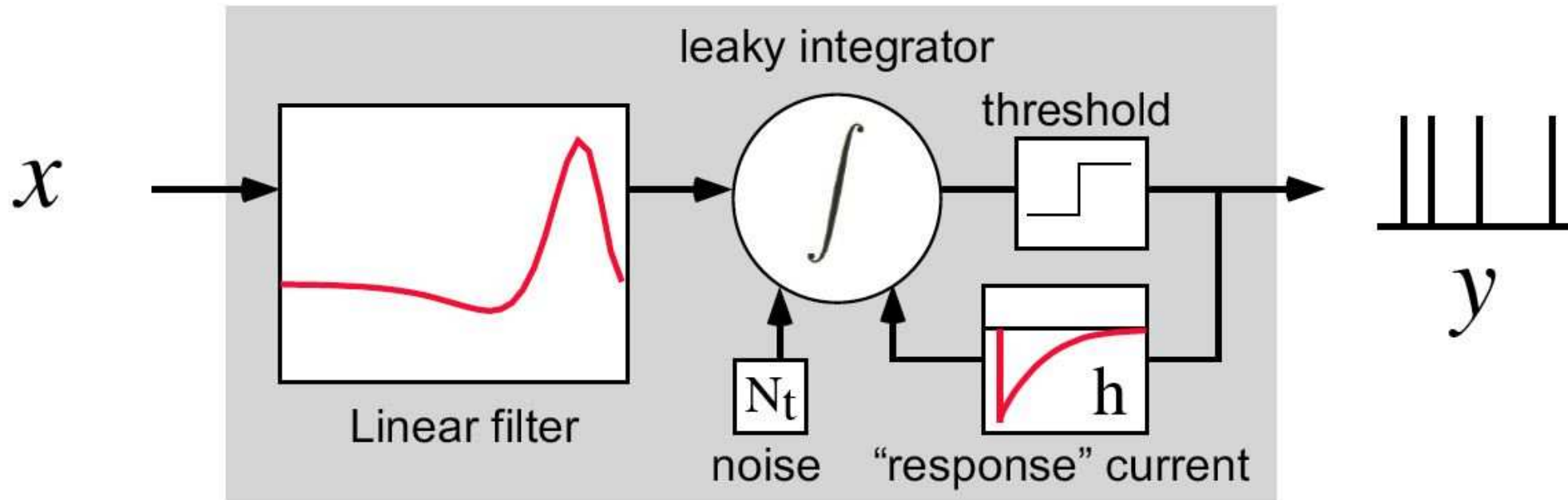
Two key ideas

1. Use likelihood-based methods for fitting.
 - well-justified statistically
 - easy to incorporate prior knowledge, explicit noise models, etc.

2. Use models that are easy to fit via maximum likelihood
 - **concave** (downward-curving) functions have no non-global local maxima \implies concave functions are easy to maximize by gradient ascent.

Recurring theme: find flexible models whose loglikelihoods are guaranteed to be concave.

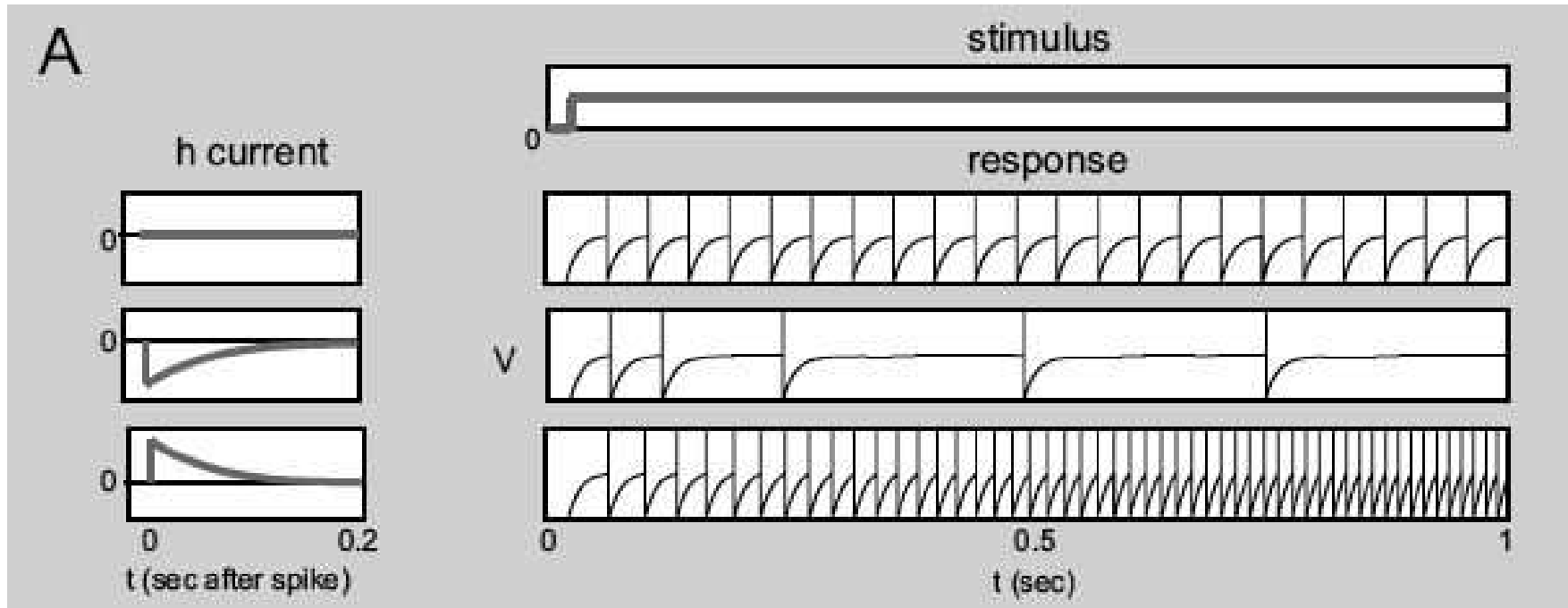
Filtered integrate-and-fire model



$$dV(t) = \left(-g(t)V(t) + I_{DC} + \vec{k} \cdot \vec{x}(t) + \sum_{j=-\infty}^0 h(t - t_j) \right) dt + \sigma dN_t;$$

(Gerstner and Kistler, 2002; Paninski et al., 2004b)

Model flexibility: Adaptation



The estimation problem

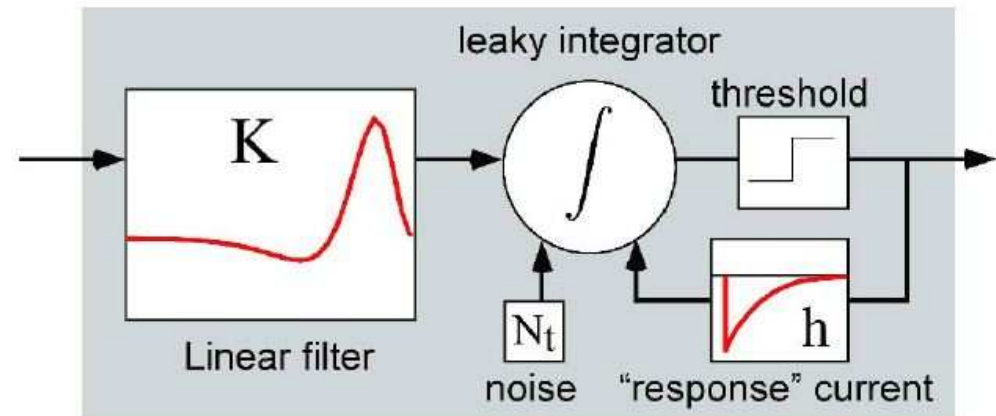
Learn the model parameters:

\vec{K} = stimulus filter

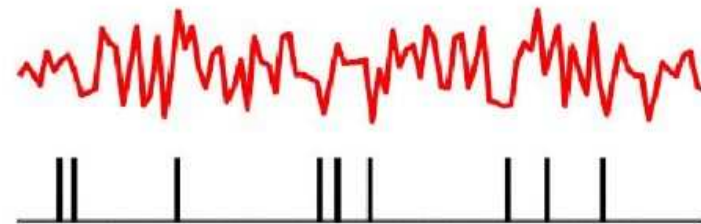
g = leak conductance

σ^2 = noise variance

\vec{h} = response current

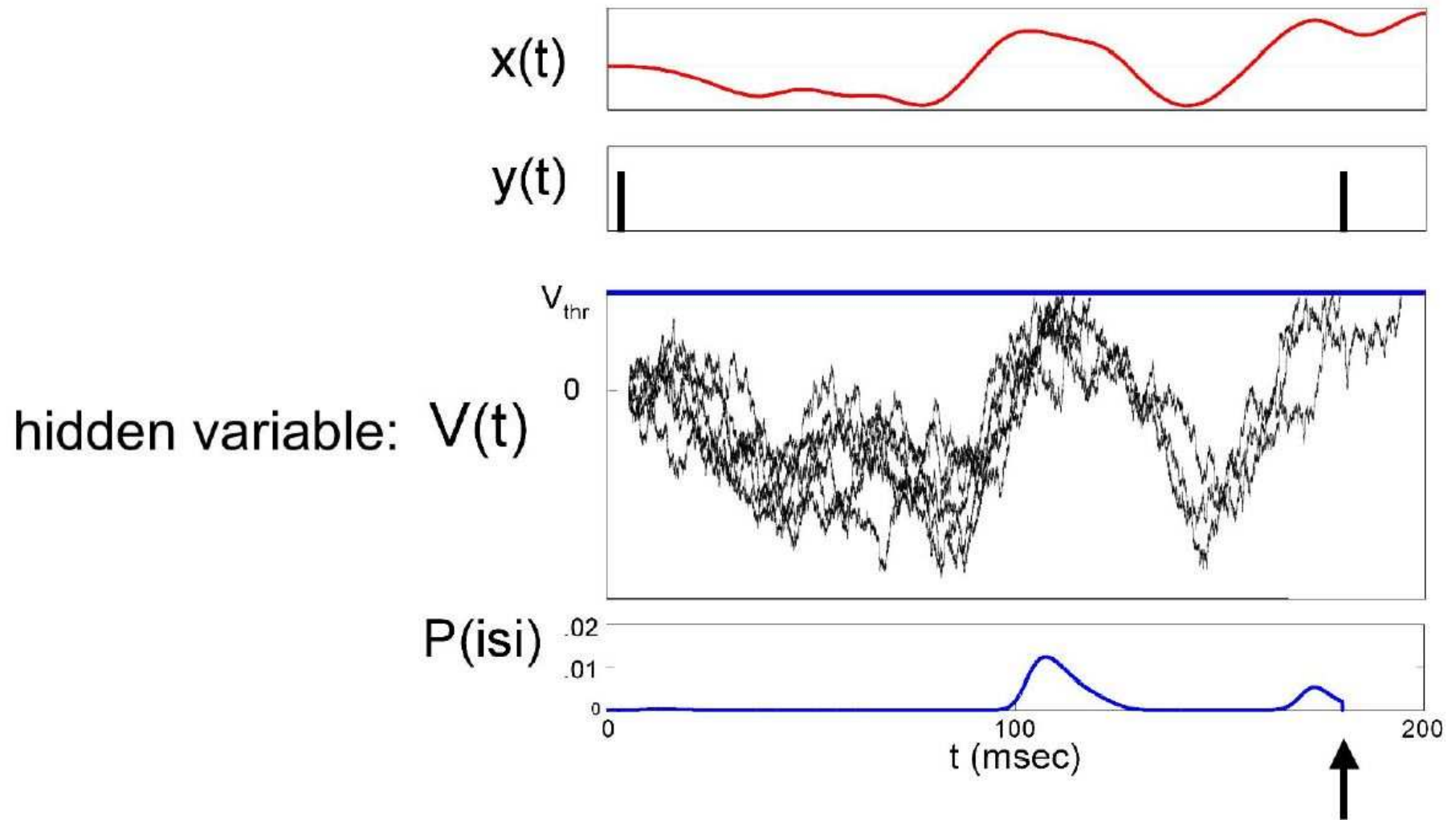


From: stimulus train $x(t)$
spike times t_i



(Paninski et al., 2004b)

First passage time likelihood



$P(\text{spike at } t_i) = \text{fraction of paths crossing threshold for first time at } t_i$

(computed numerically via Fokker-Planck or integral equation methods)

Maximizing likelihood

Maximization seems difficult, even intractable:

- high-dimensional parameter space
- likelihood is a complex nonlinear function of parameters

Main result: The loglikelihood is concave in the parameters, no matter what data $\{\vec{x}(t), t_i\}$ are observed.

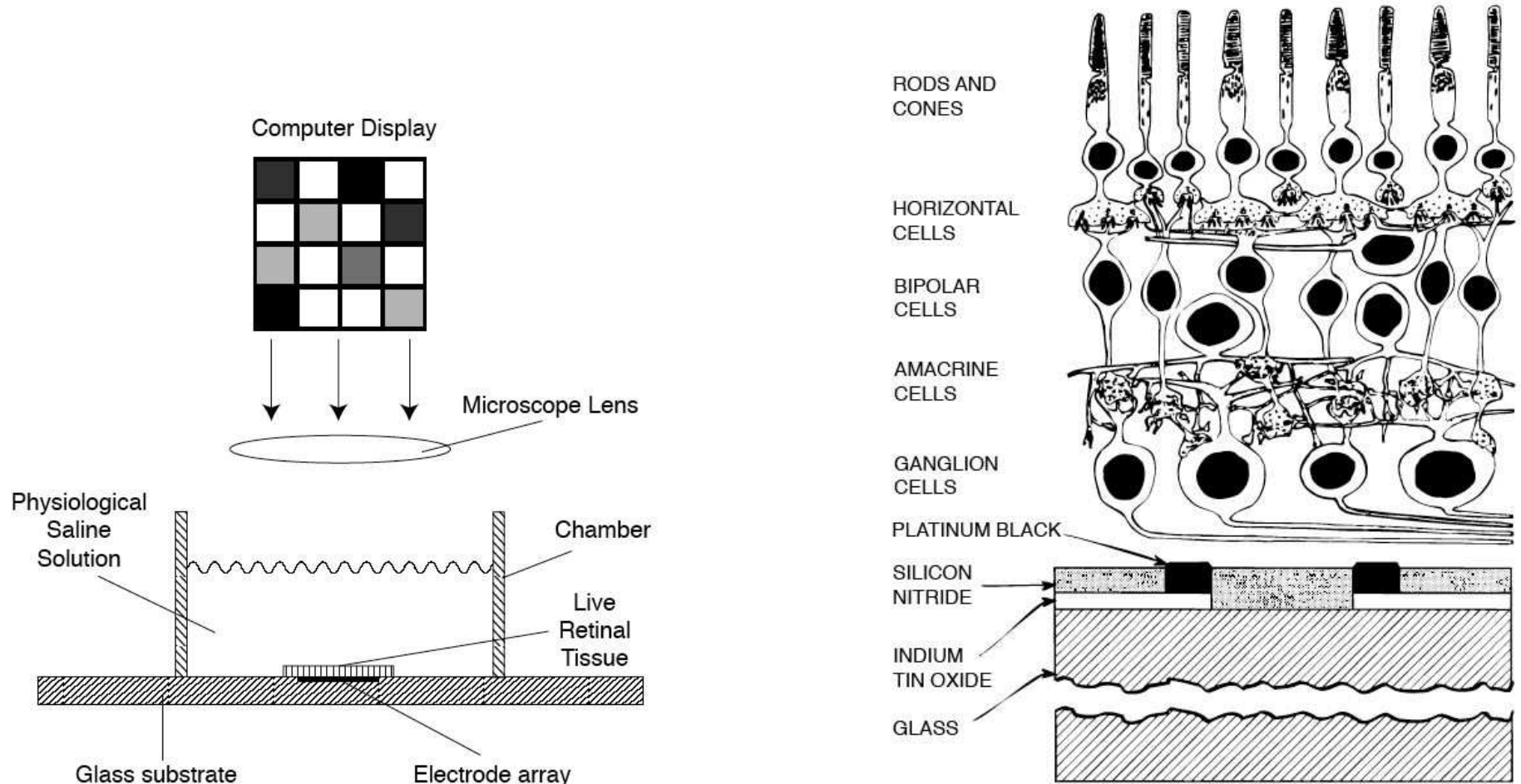
\implies no non-global local maxima

\implies maximization easy by ascent techniques.

Application: retinal ganglion cells

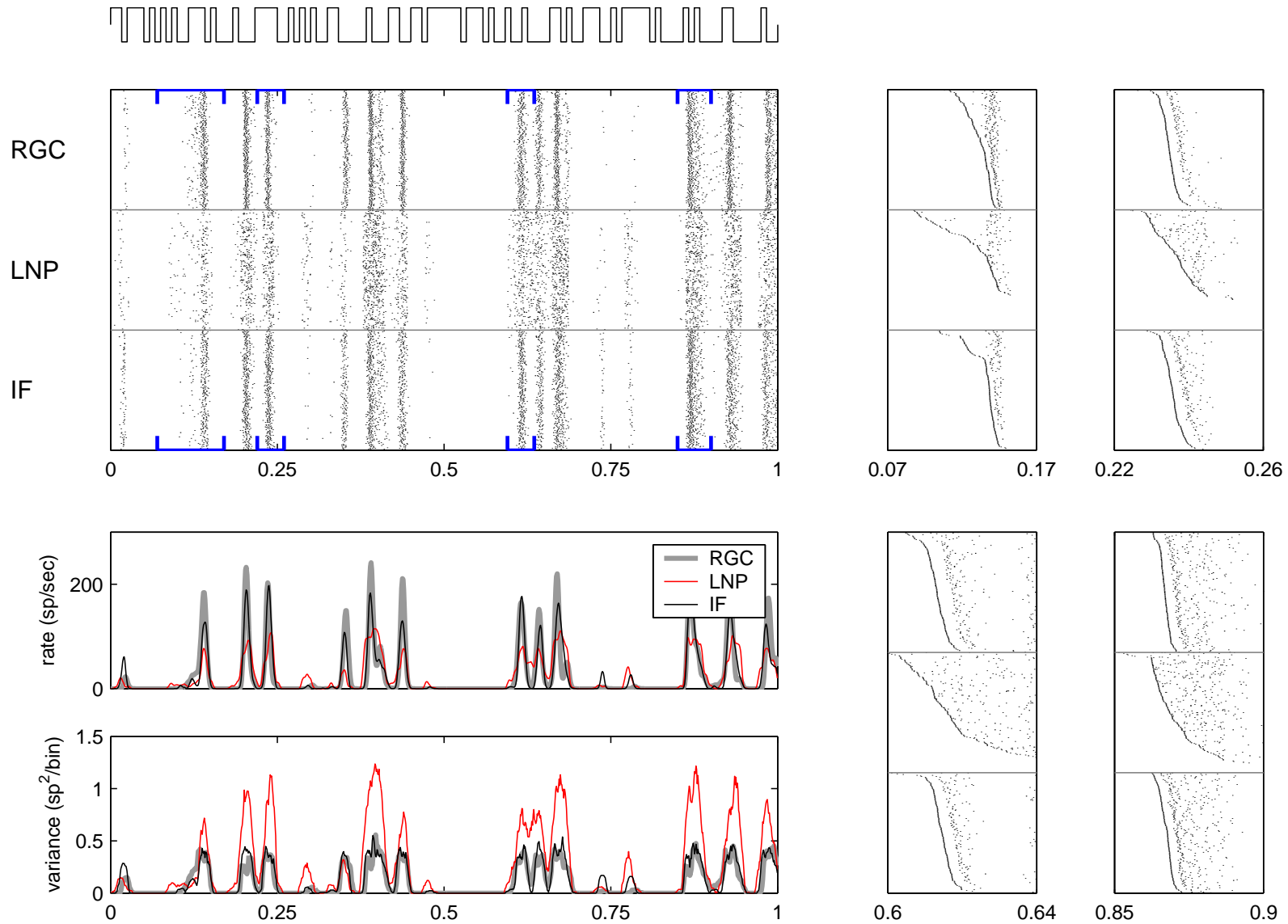
Preparation: dissociated salamander and macaque retina

— extracellularly-recorded responses of populations of RGCs



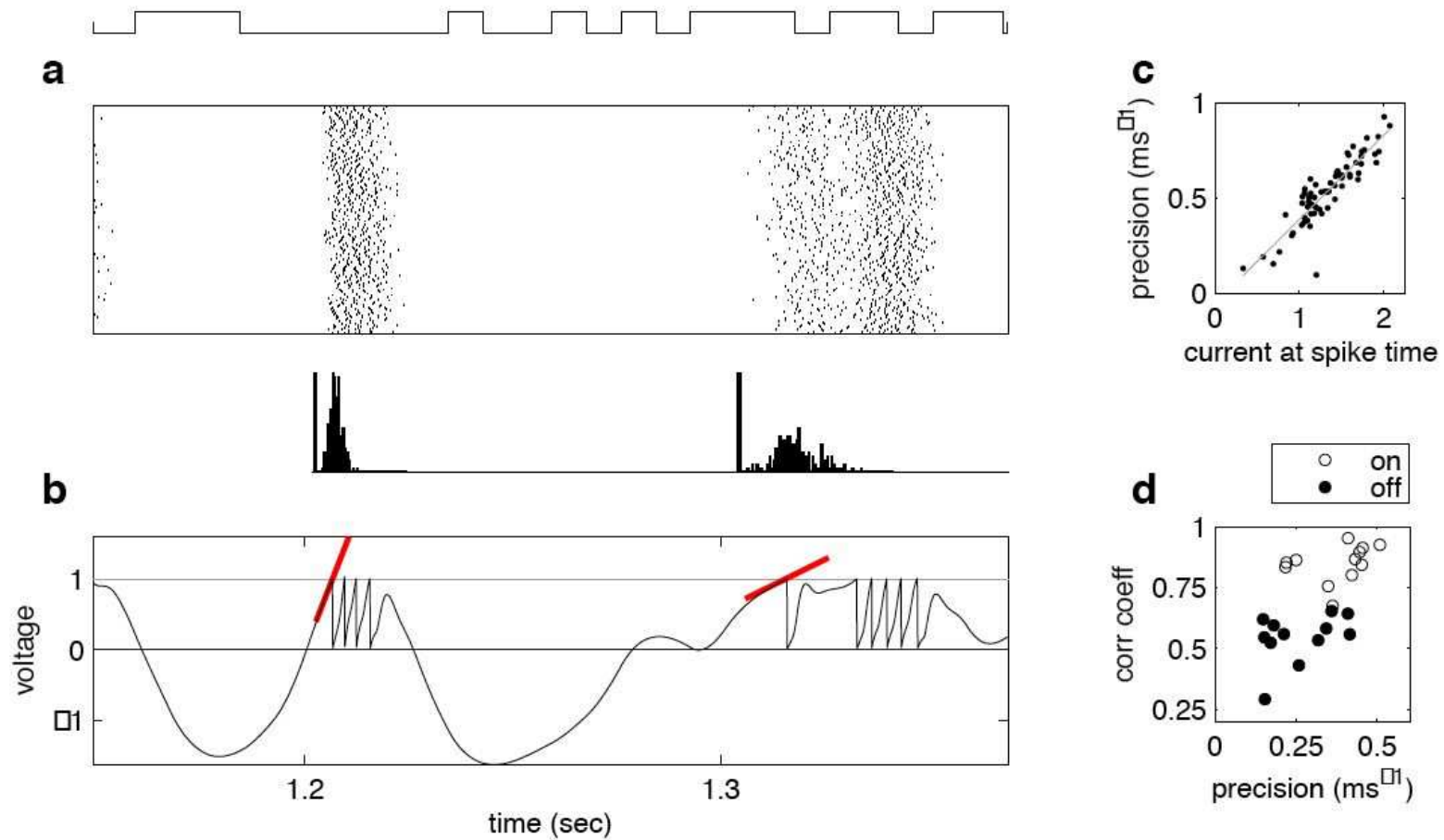
Stimulus: random “flicker” visual stimuli (Chander and Chichilnisky, 2001)

Spike timing precision in retina



(Pillow et al., 2005b)

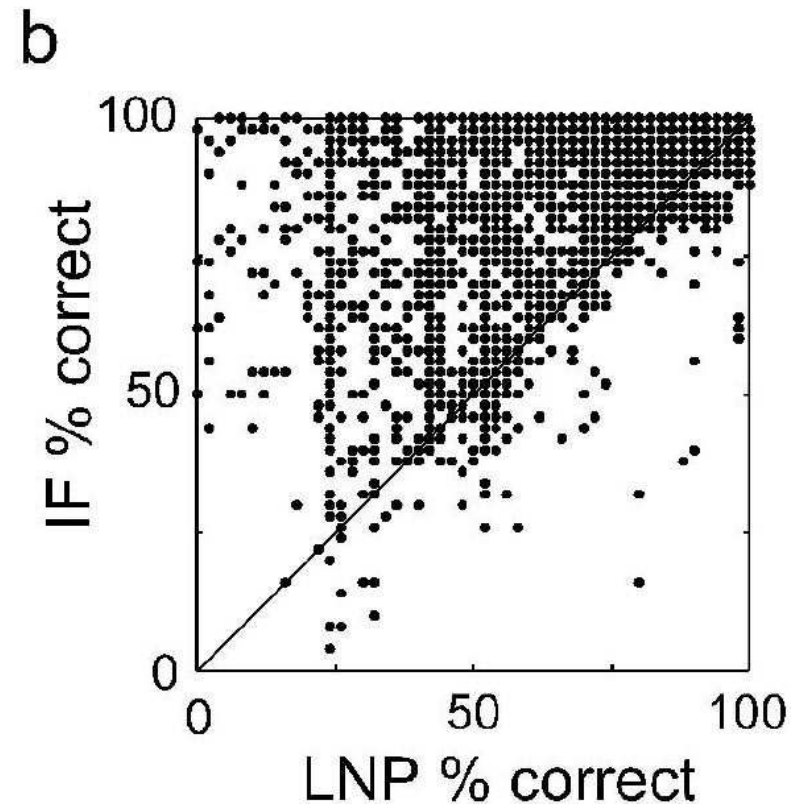
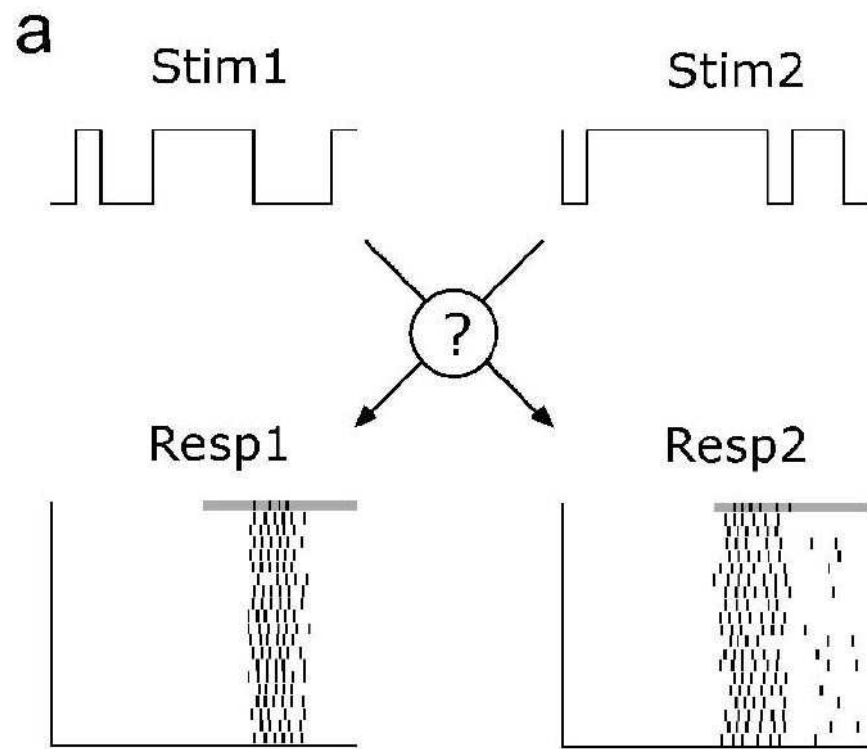
Linking spike reliability and subthreshold noise



(Pillow et al., 2005b)

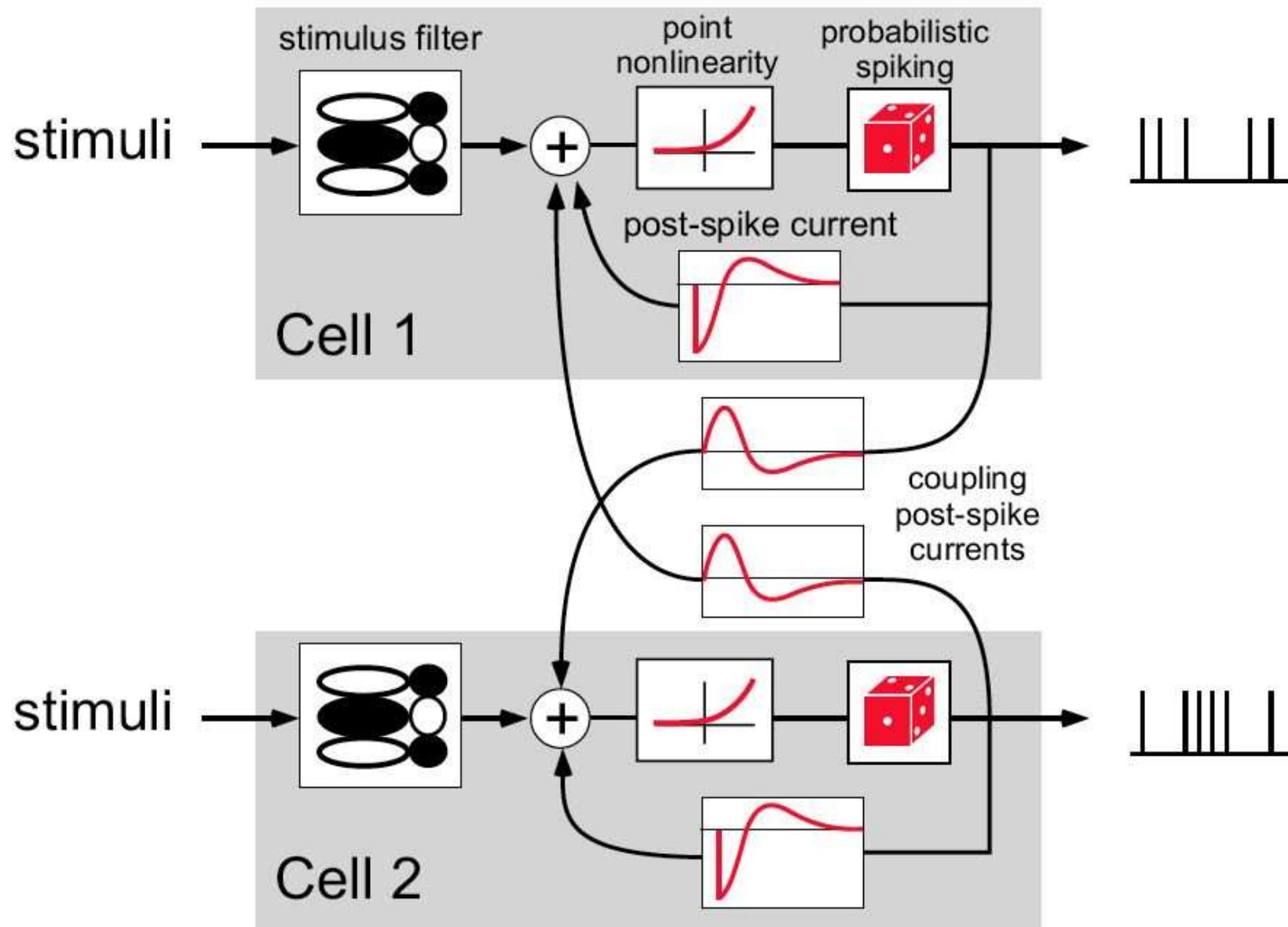
Likelihood-based discrimination

Given spike data, optimal decoder chooses stimulus \vec{x} according to likelihood: $p(\text{spikes}|\vec{x}_1)$ vs. $p(\text{spikes}|\vec{x}_2)$.



Using accurate model is essential (Pillow et al., 2005b)

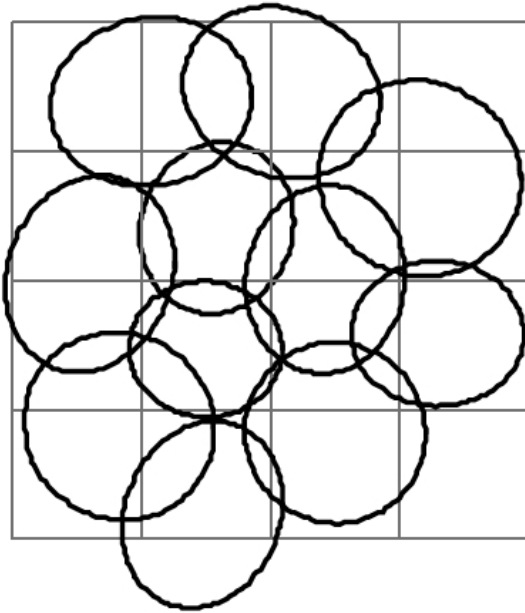
Generalization: population responses



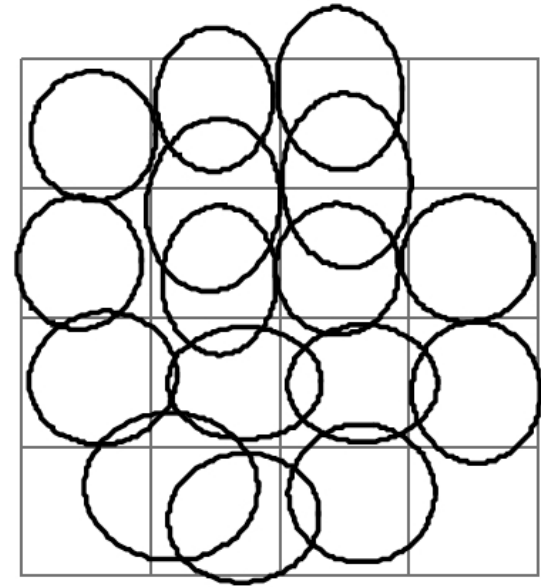
(Pillow et al., 2005a)

Population retinal recordings

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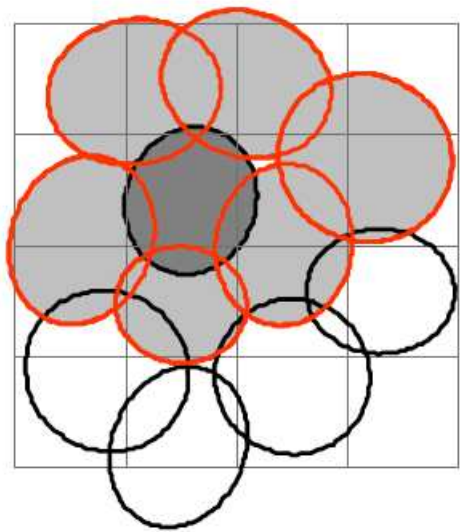


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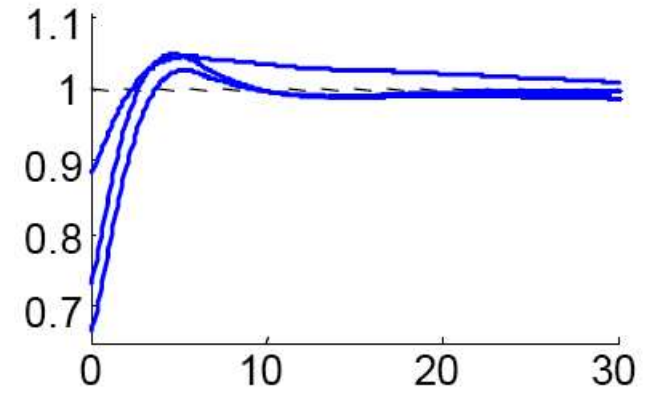
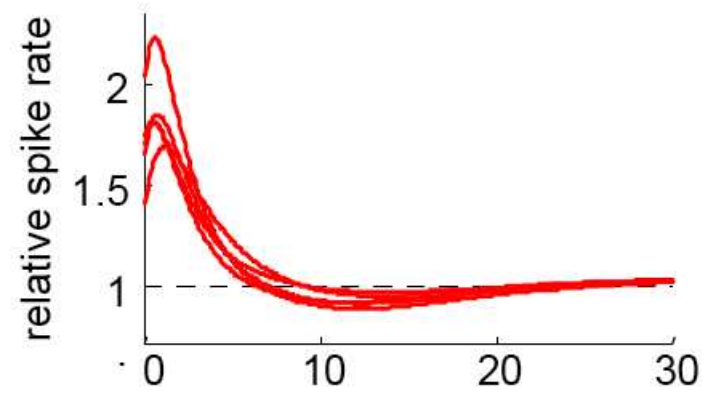
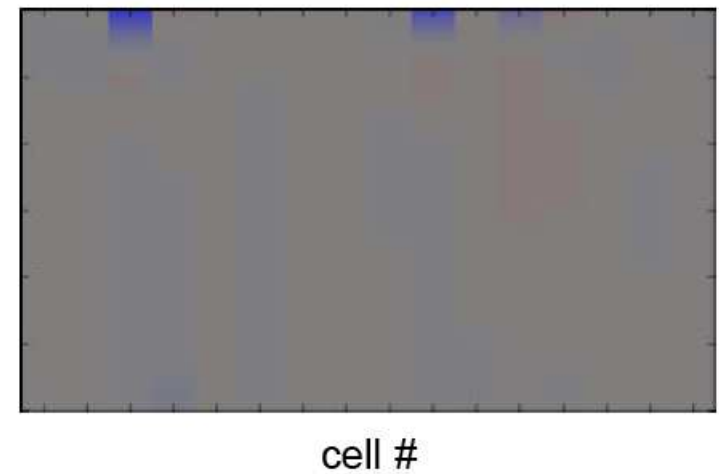
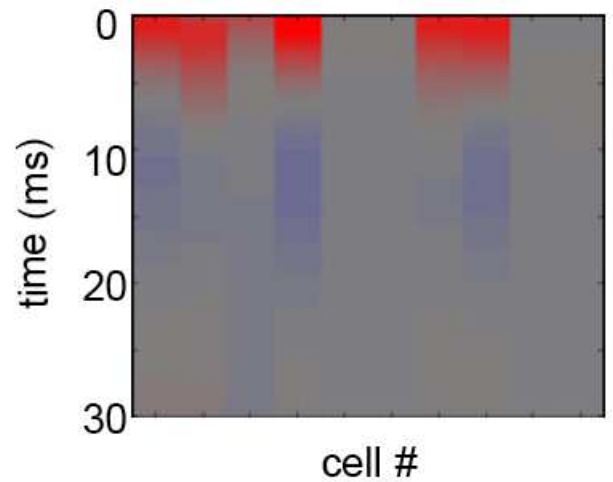
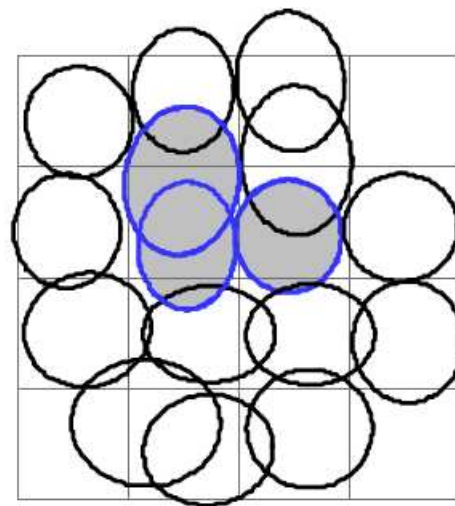


(Pillow et al., 2005a)

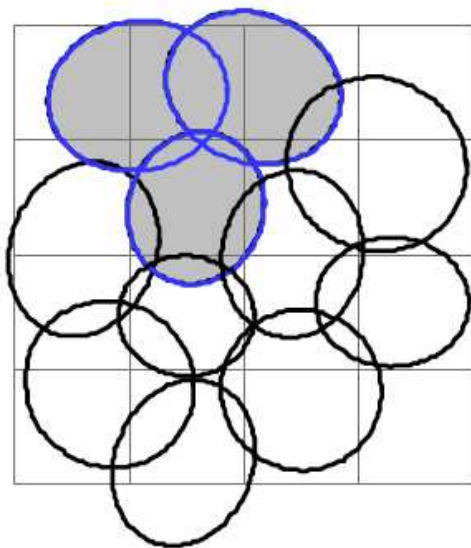
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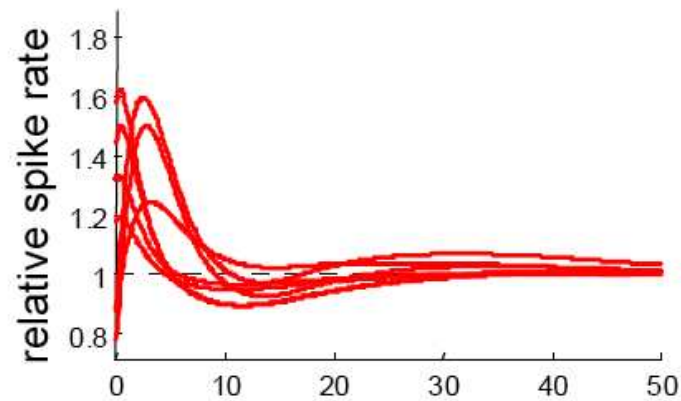
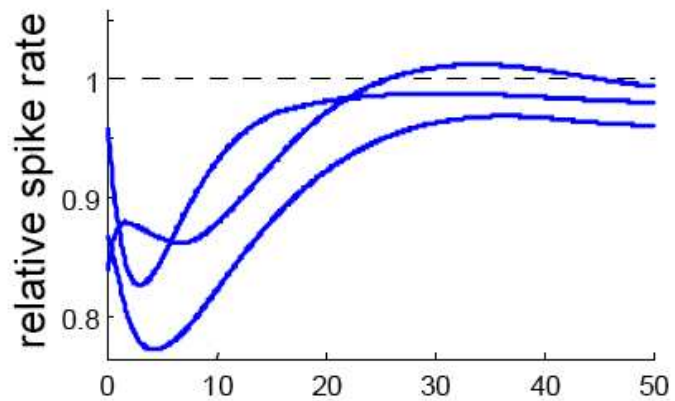
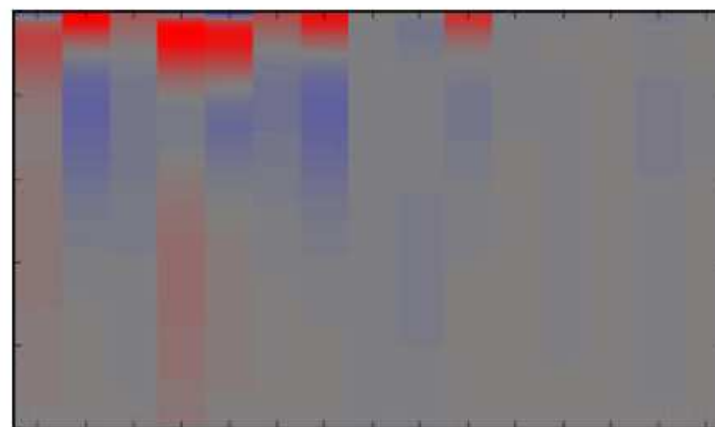
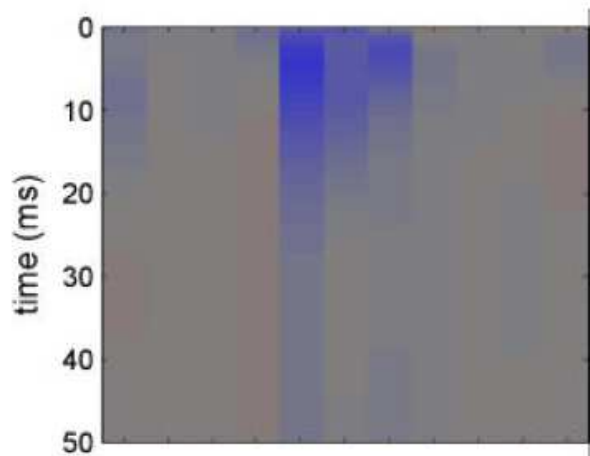
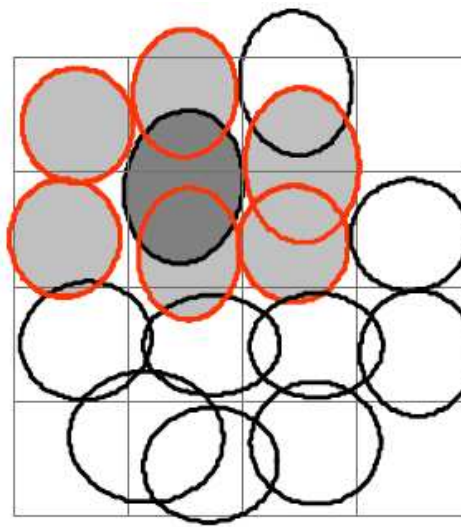
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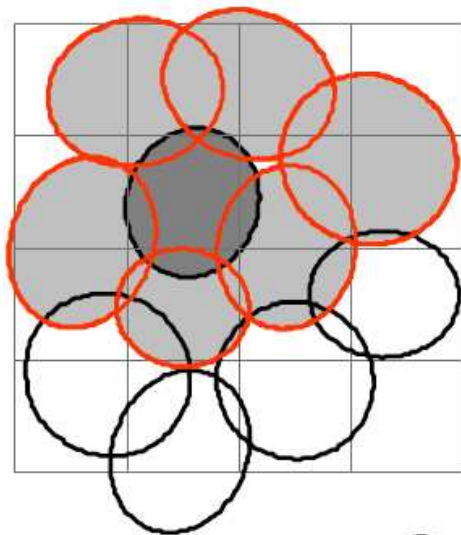
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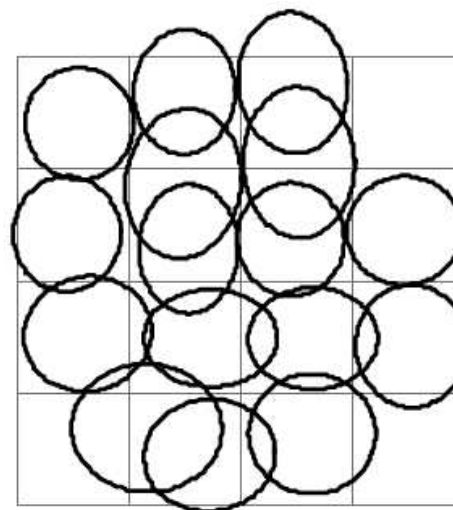
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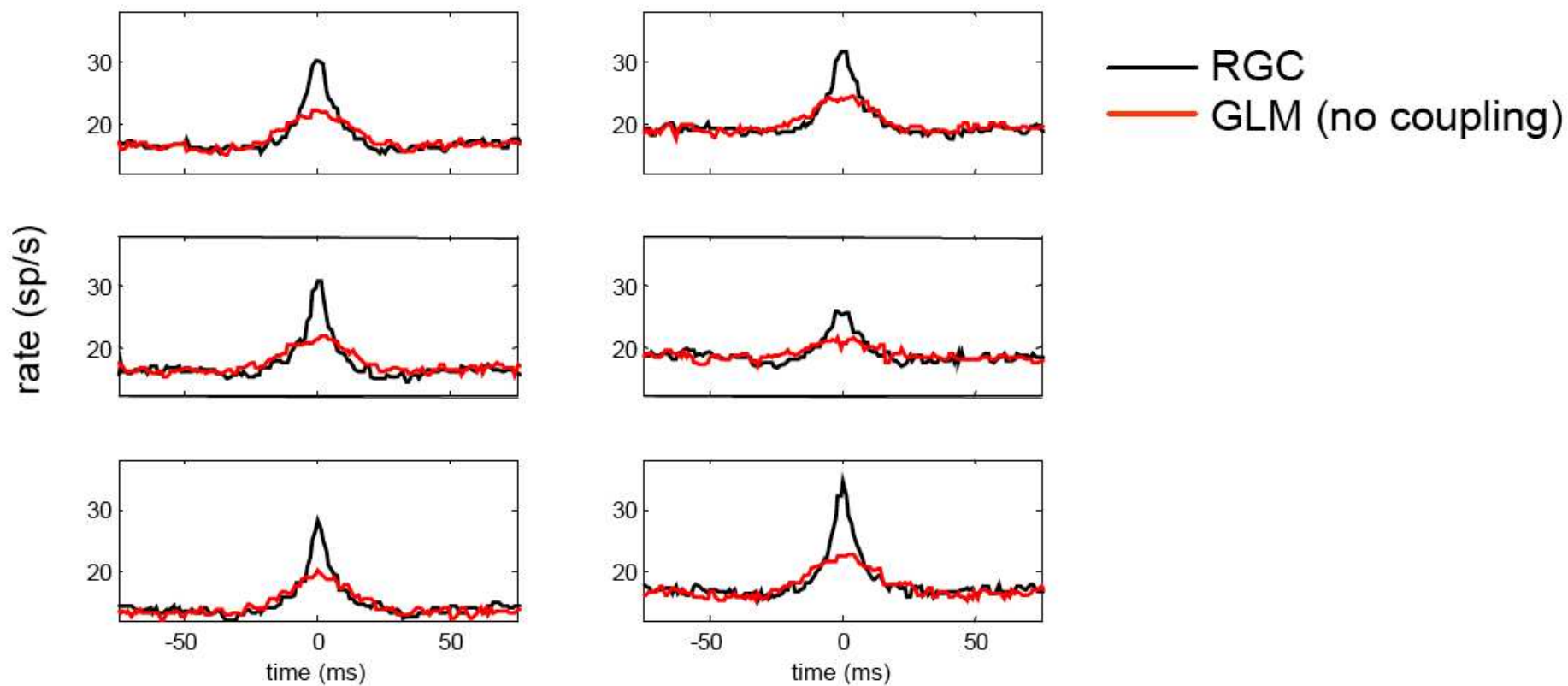
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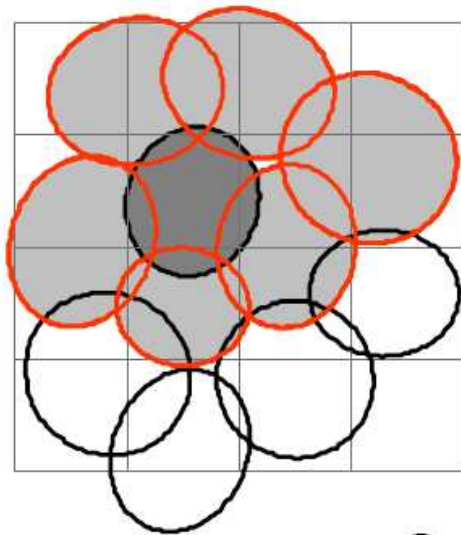
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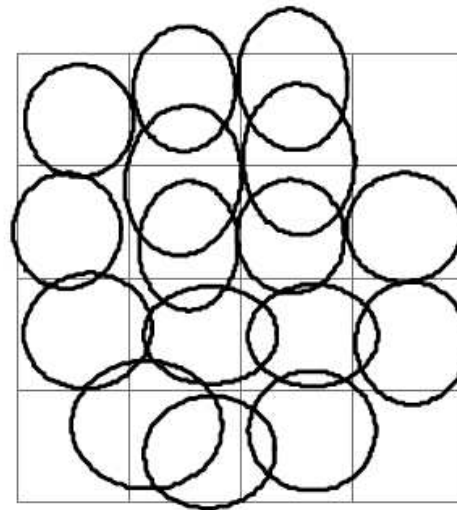
Cross-Correlations



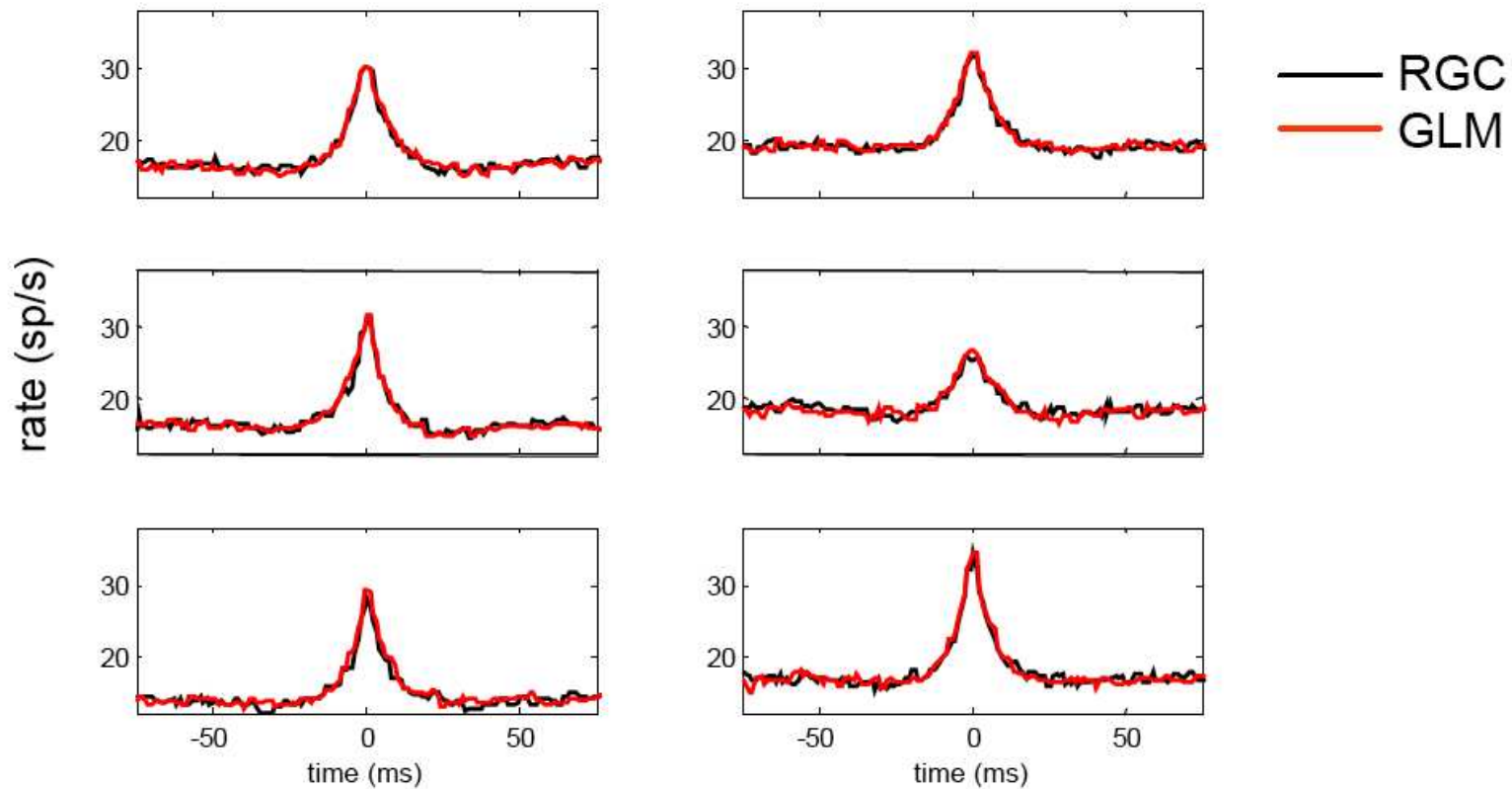
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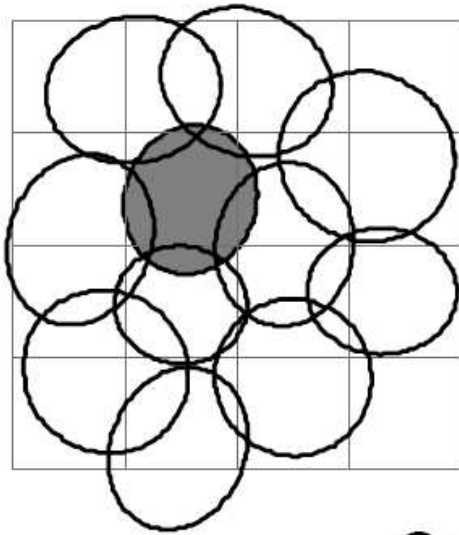
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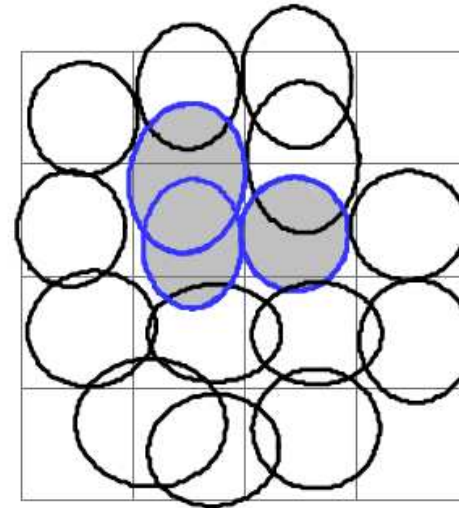
Cross-Correlations



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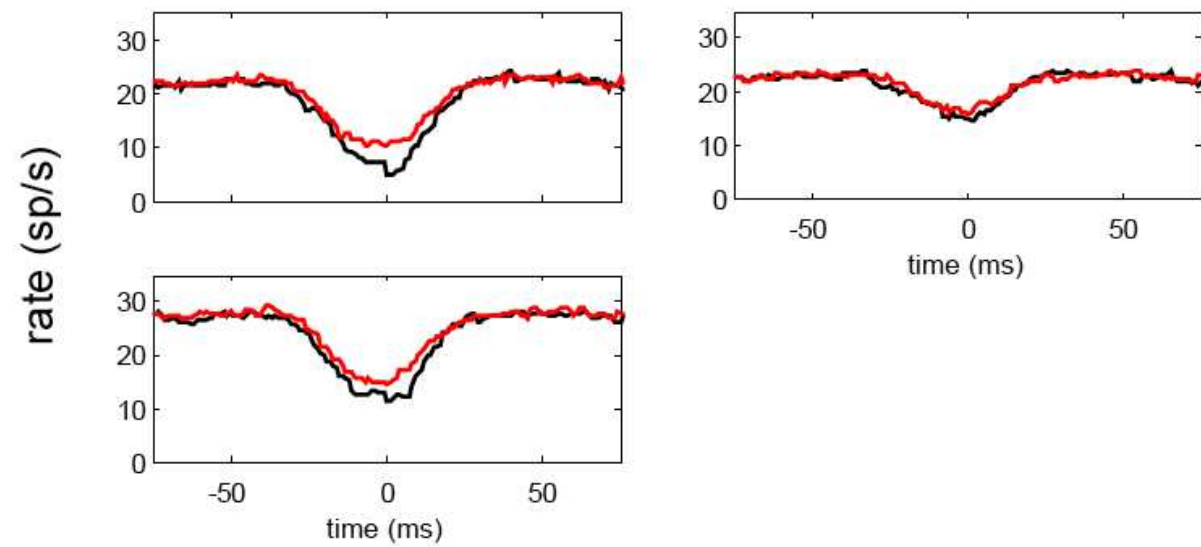


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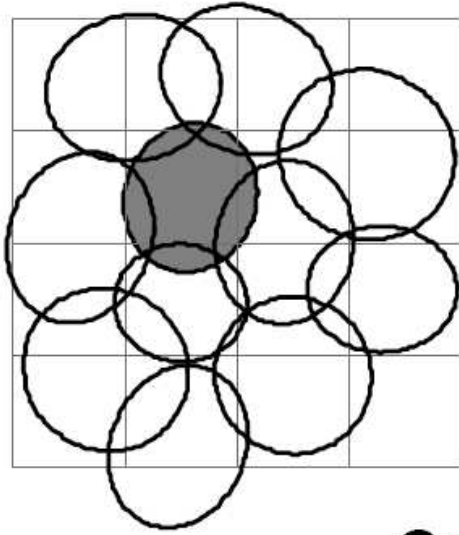


Cross-Correlations

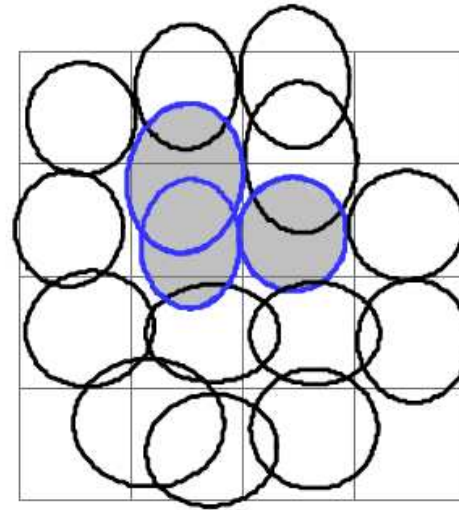
— RGC
— GLM (no coupling)



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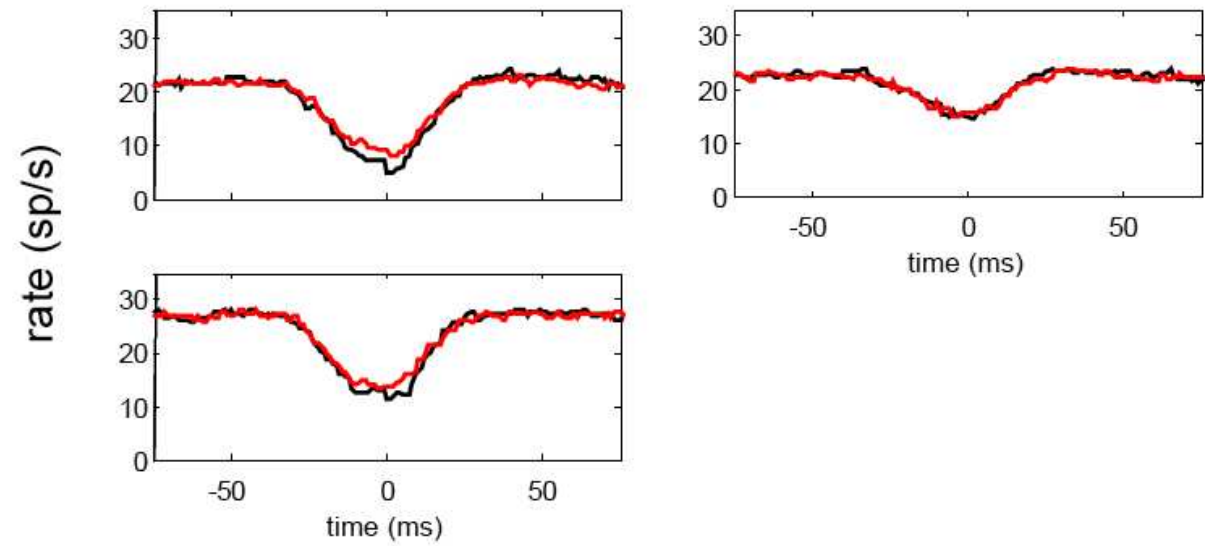


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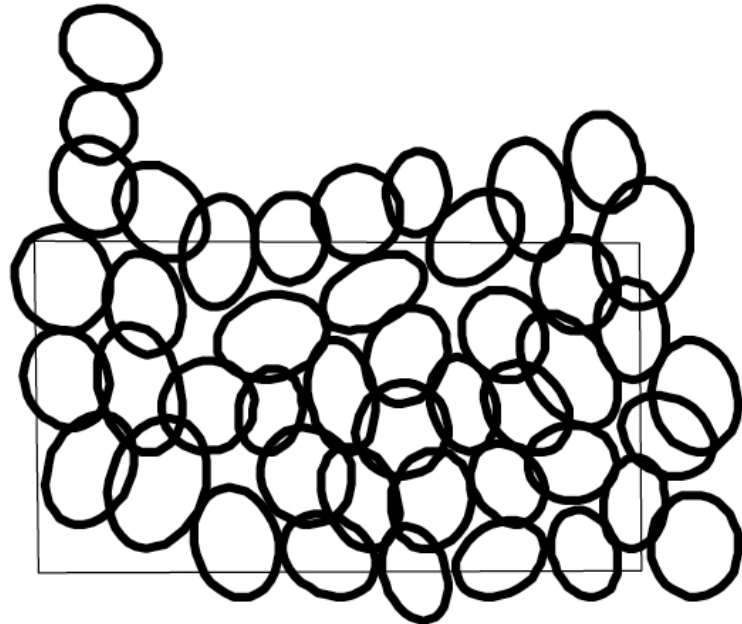


Cross-Correlations

— RGC
— GLM



Next: Large-scale network modeling



ON-Parasol

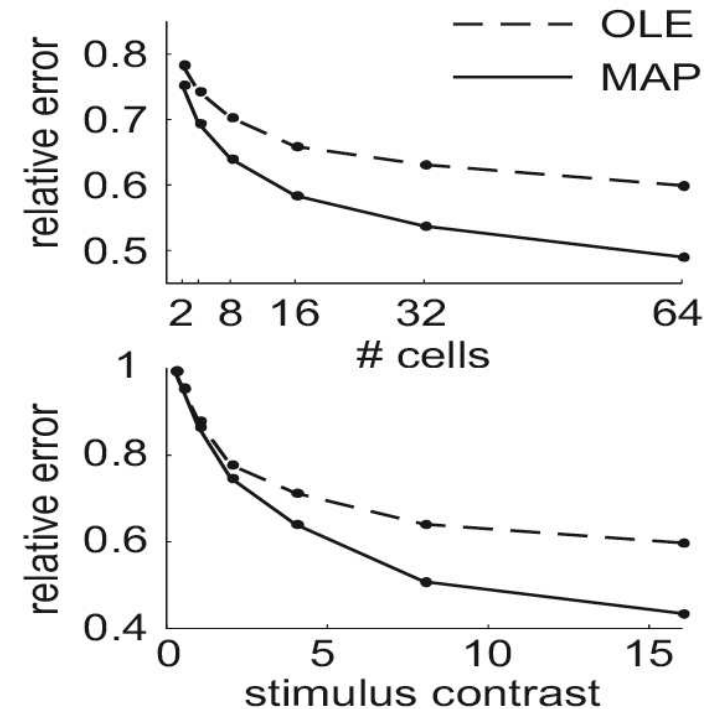
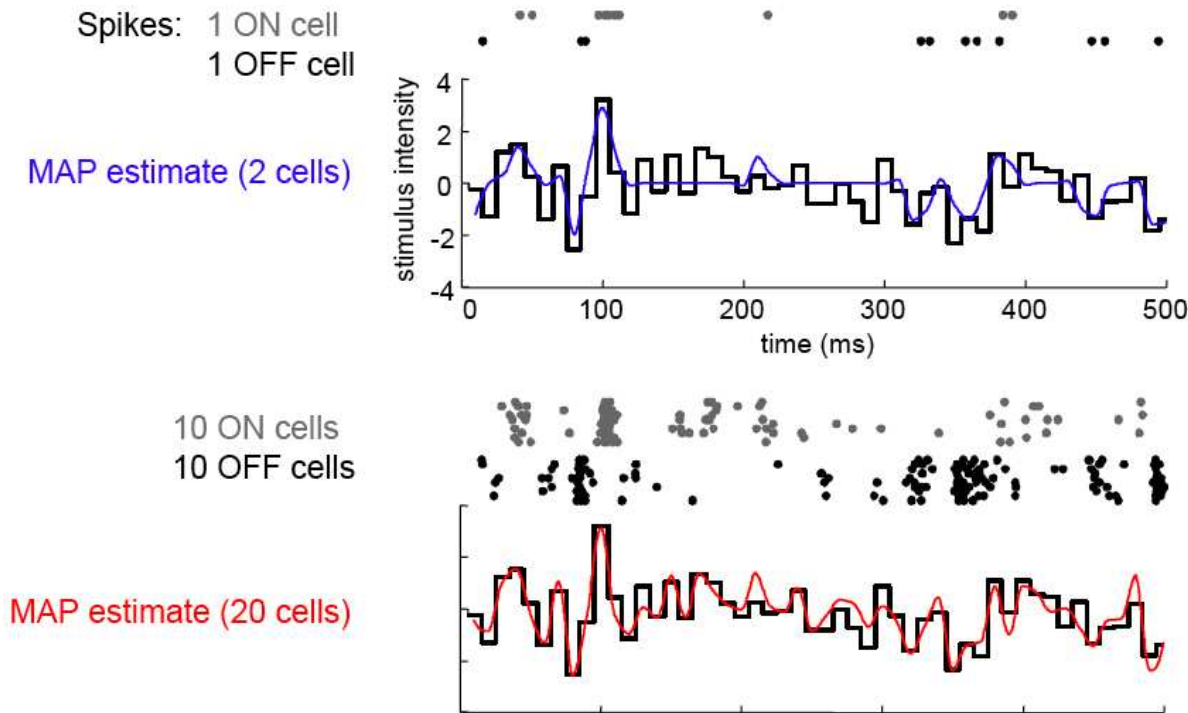


OFF-Parasol

— Do observed local connectivity rules lead to interesting network dynamics? What are the implications for retinal information processing?

Part 2: Model-based optimal decoding

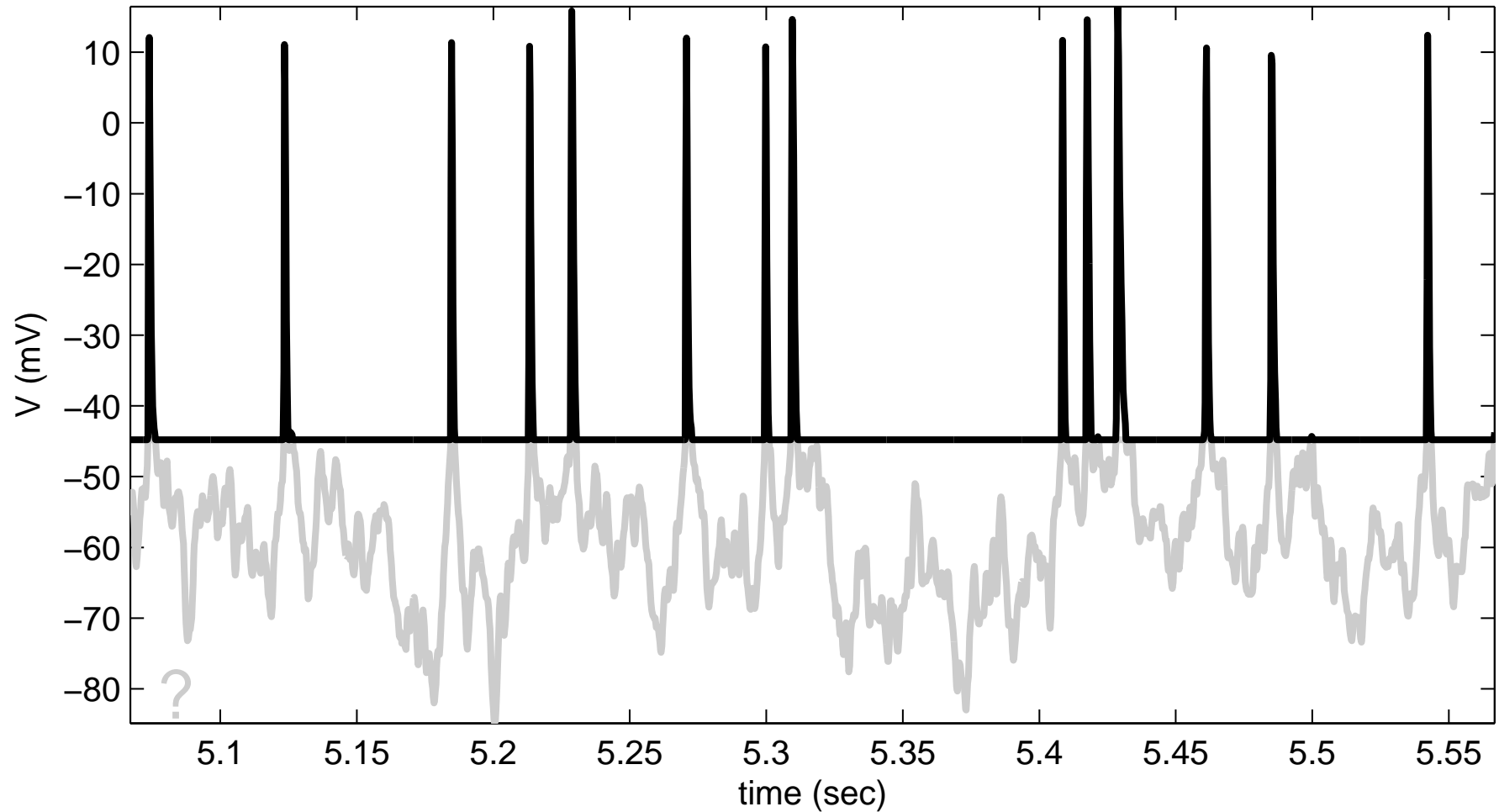
— Use encoding model $P(\text{spikes}|\vec{x})$ and Bayes' rule to compute optimal \vec{x} given observed spiking data.



Again, correct model $P(\text{spikes}|\vec{x})$ is essential (Pillow and Paninski, 2006).

Example: decoding subthreshold activity

Given extracellular spikes, what is most likely intracellular $V(t)$?



Computing $V_{ML}(t)$

Loglikelihood of $V(t)$ (given LIF parameters, white noise N_t):

$$L(\{V(t)\}_{0 \leq t \leq T}) = -\frac{1}{2\sigma^2} \int_0^T \left[\dot{V}(t) - \left(-gV(t) + I(t) \right) \right]^2 dt$$

Constraints:

- Reset at $t = 0$:

$$V(0) = V_{reset}$$

- Spike at $t = T$:

$$V(T) = V_{th}$$

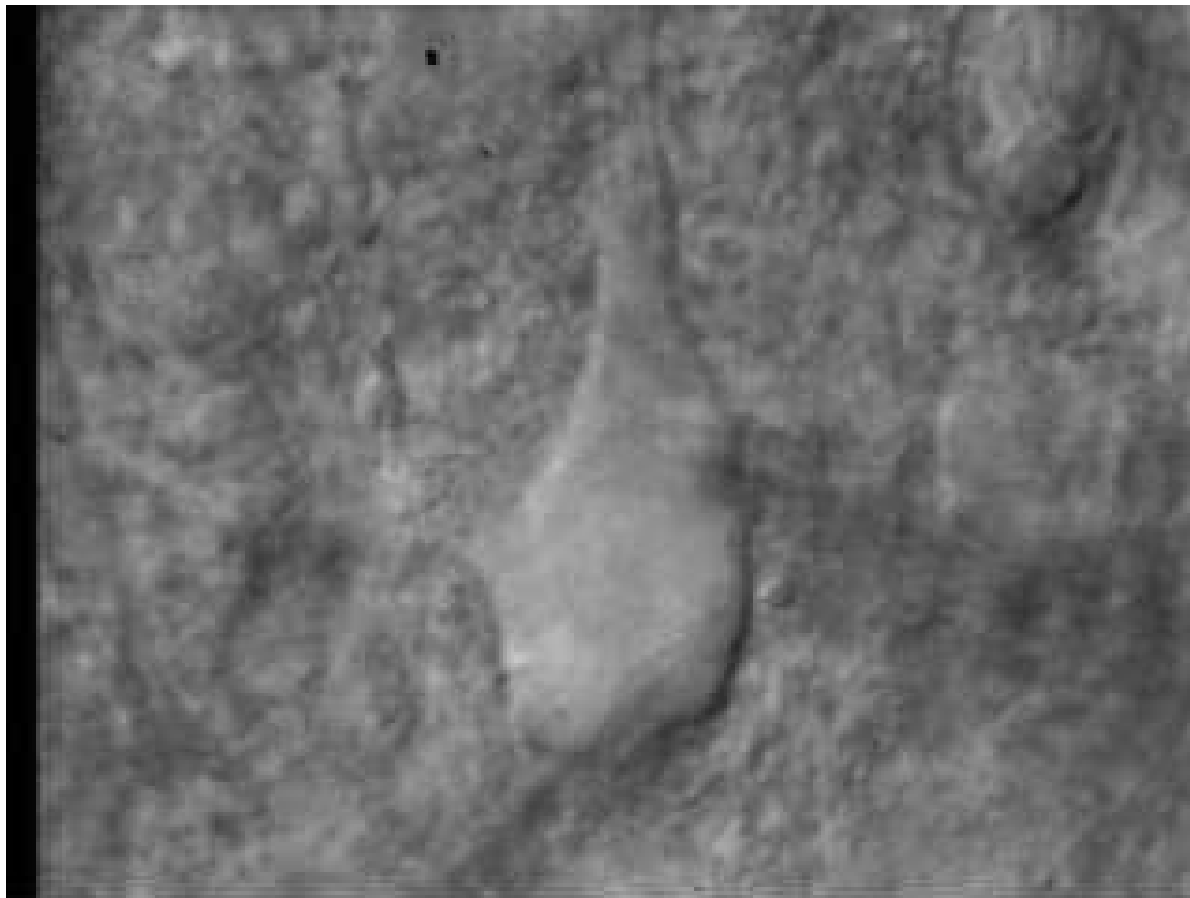
- No spike for $0 < t < T$:

$$V(t) < V_{th}$$

Quadratic programming problem: optimize quadratic function under linear constraints. **Concave**: unique global optimum.

Application: *in vitro* data

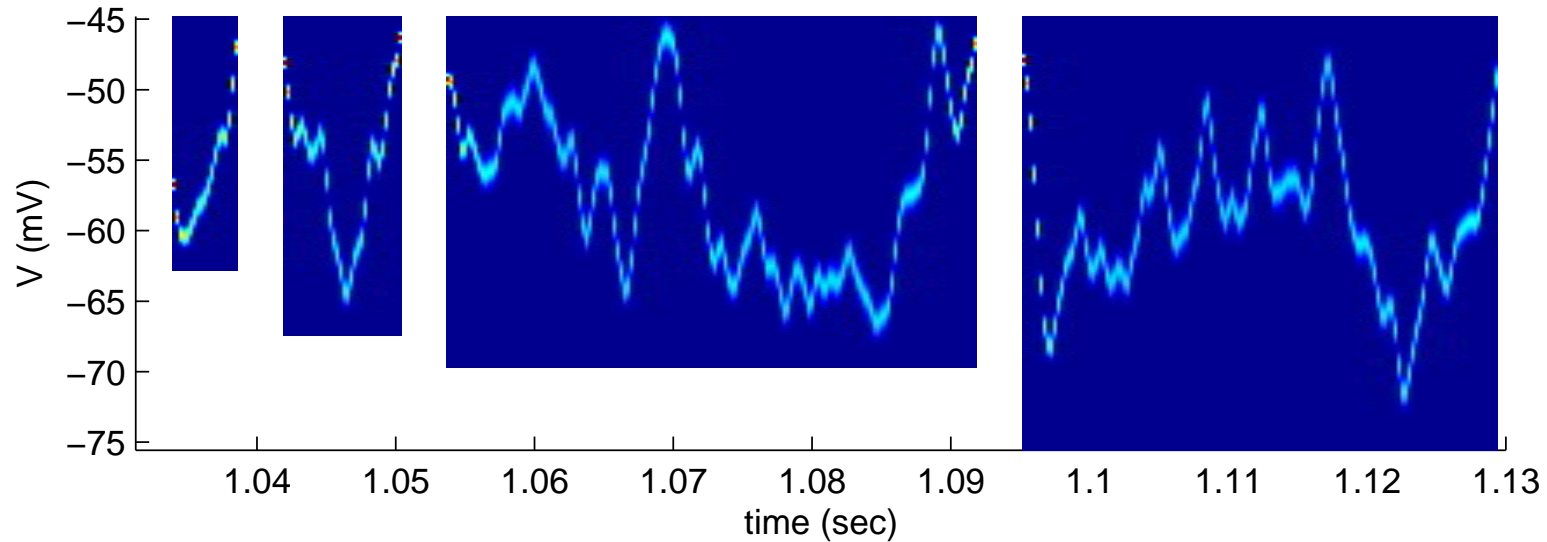
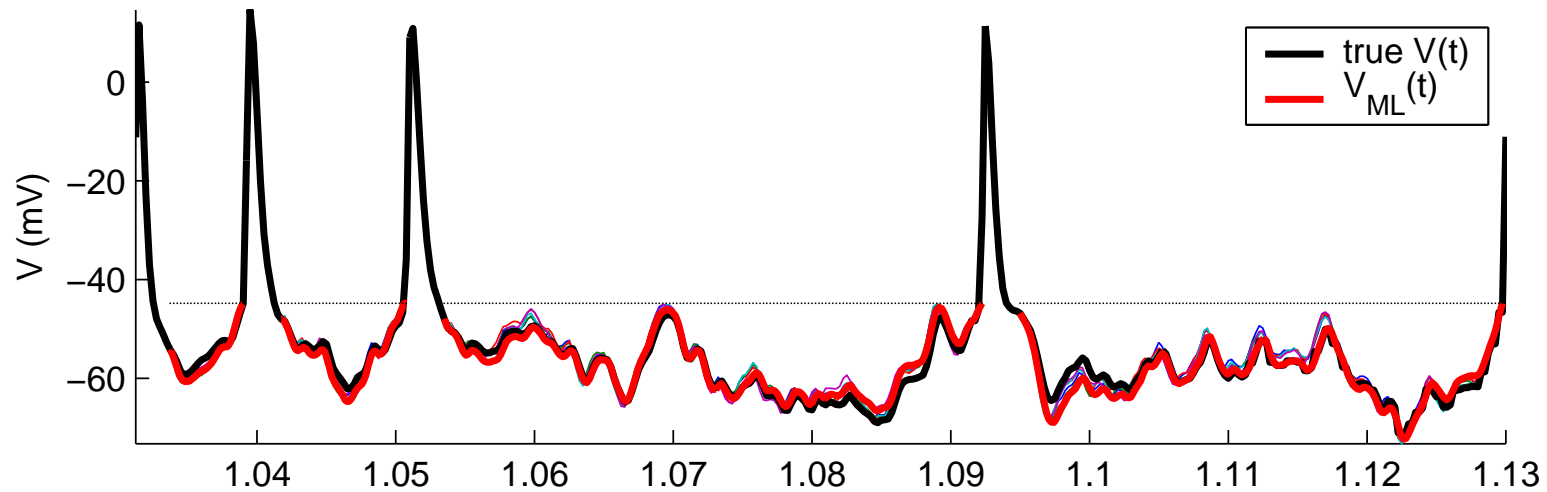
Recordings: rat sensorimotor cortical slice; dual-electrode whole-cell



Stimulus: Gaussian white noise current $I(t)$

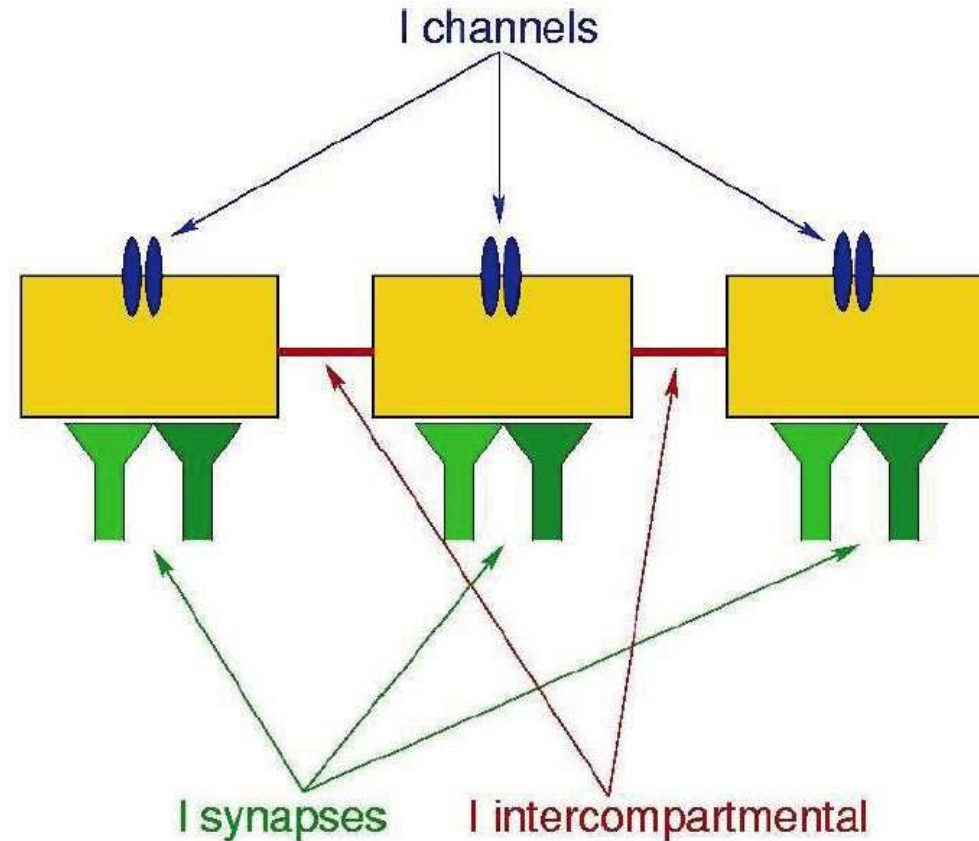
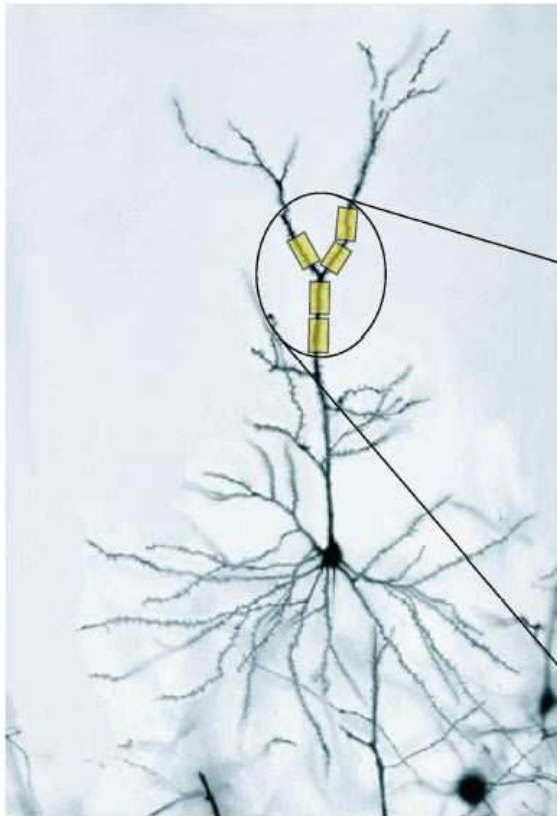
Analysis: fit IF model parameters $\{g, \vec{k}, h(\cdot), V_{th}, \sigma\}$ by maximum likelihood (Paninski et al., 2003; Paninski et al., 2004a), then compute $V_{ML}(t)$

Application: *in vitro* data



(Applications to spike-triggered average (Paninski, 2006a; Paninski, 2006b).)

Part 3: Back to detailed models



Can we recover detailed biophysical properties?

- Active: membrane channel densities
- Passive: axial resistances, “leakiness” of membranes
- Dynamic: spatiotemporal synaptic input

Conductance-based models

$$C \frac{dV_i}{dt} = I_i^{\text{channels}} + I_i^{\text{synapses}} + I_i^{\text{intercompartmental}}$$

$$I_i^{\text{channels}} = \sum_c \bar{g}_c g_c(t) (E_c - V_i(t))$$

$$I_i^{\text{synapses}} = \sum_s (\xi_s * k_s)(t) (E_s - V_i(t))$$

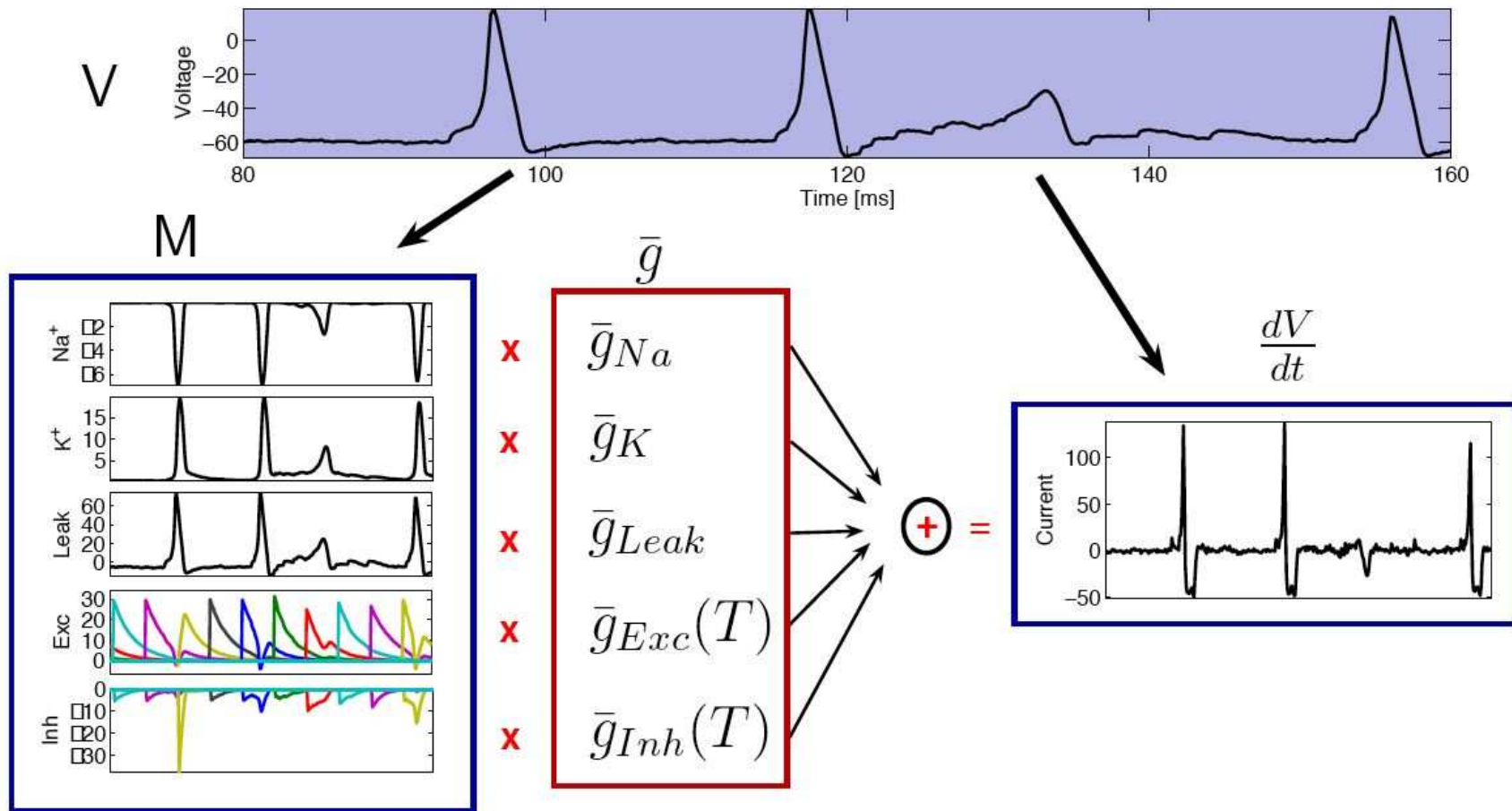
$$I_i^{\text{intercompartmental}} = \sum_a g_a \Delta V_a(t)$$

Key point: **if** we observe full $V_i(t)$ + cell geometry, channel kinetics known + current noise is log-concave,

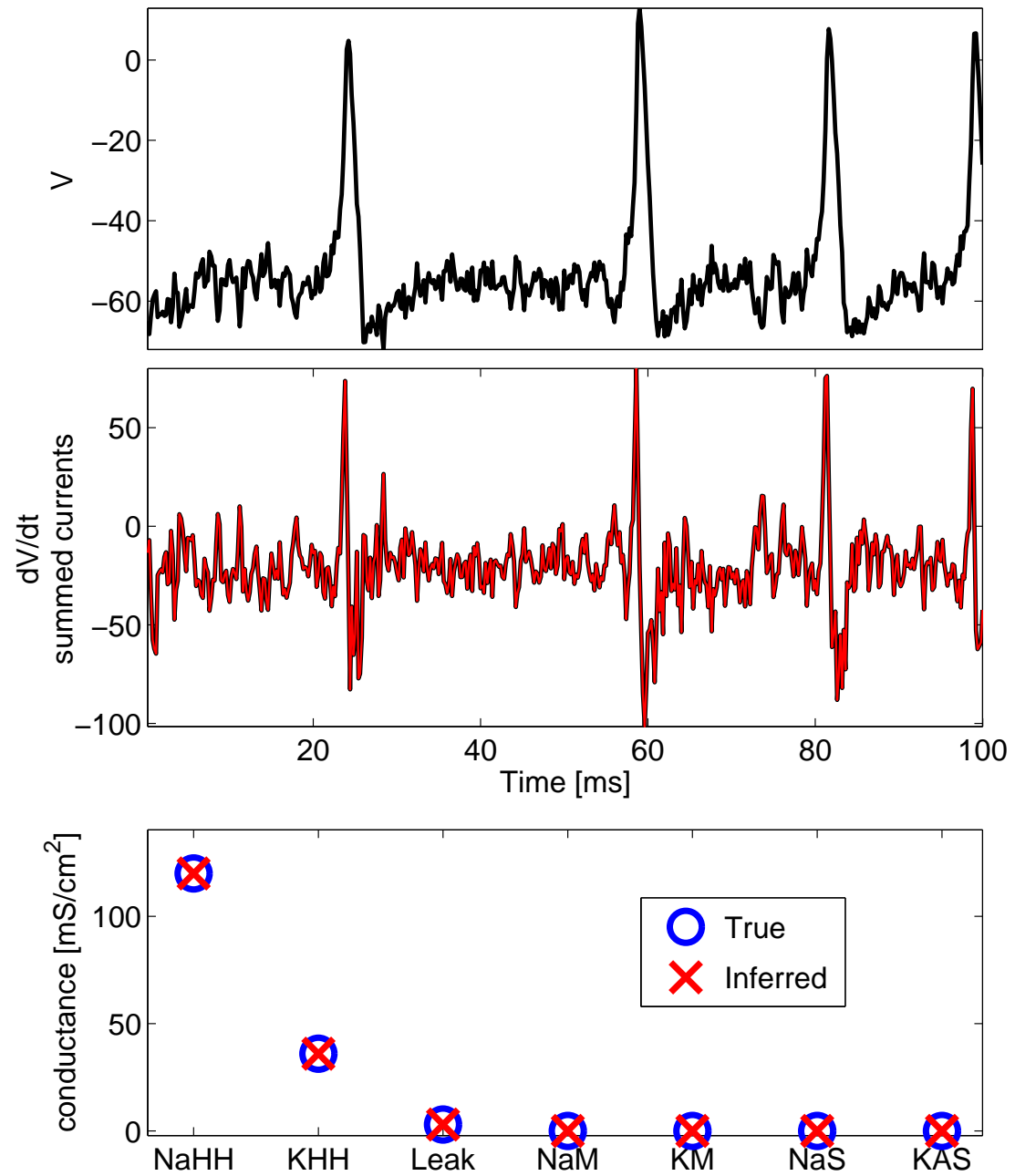
then loglikelihood of unknown parameters is concave.

Gaussian noise \implies standard nonnegative regression (albeit high-d).

Estimating channel densities from $V(t)$



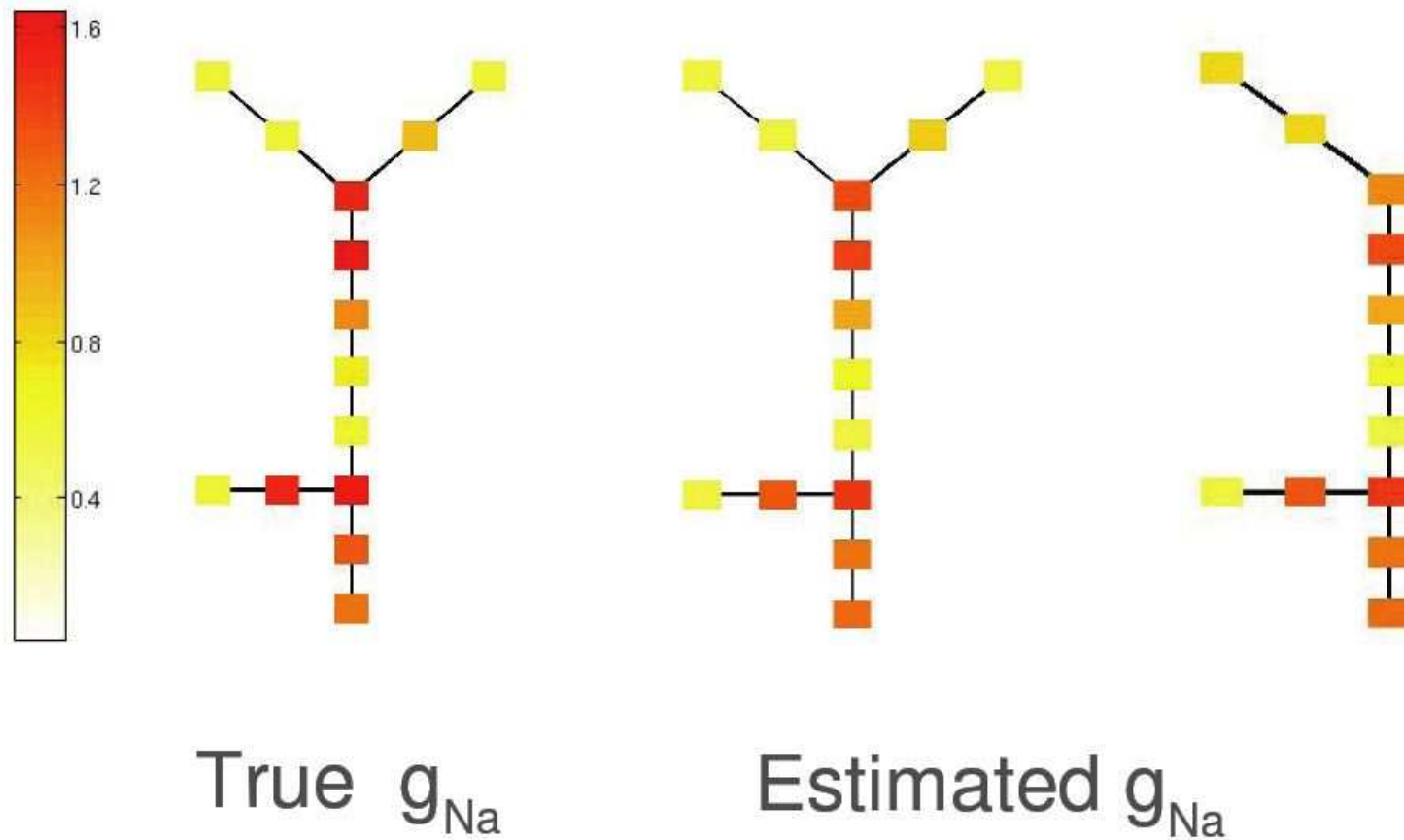
(Huys et al., 2006)



(Huys et al., 2006)

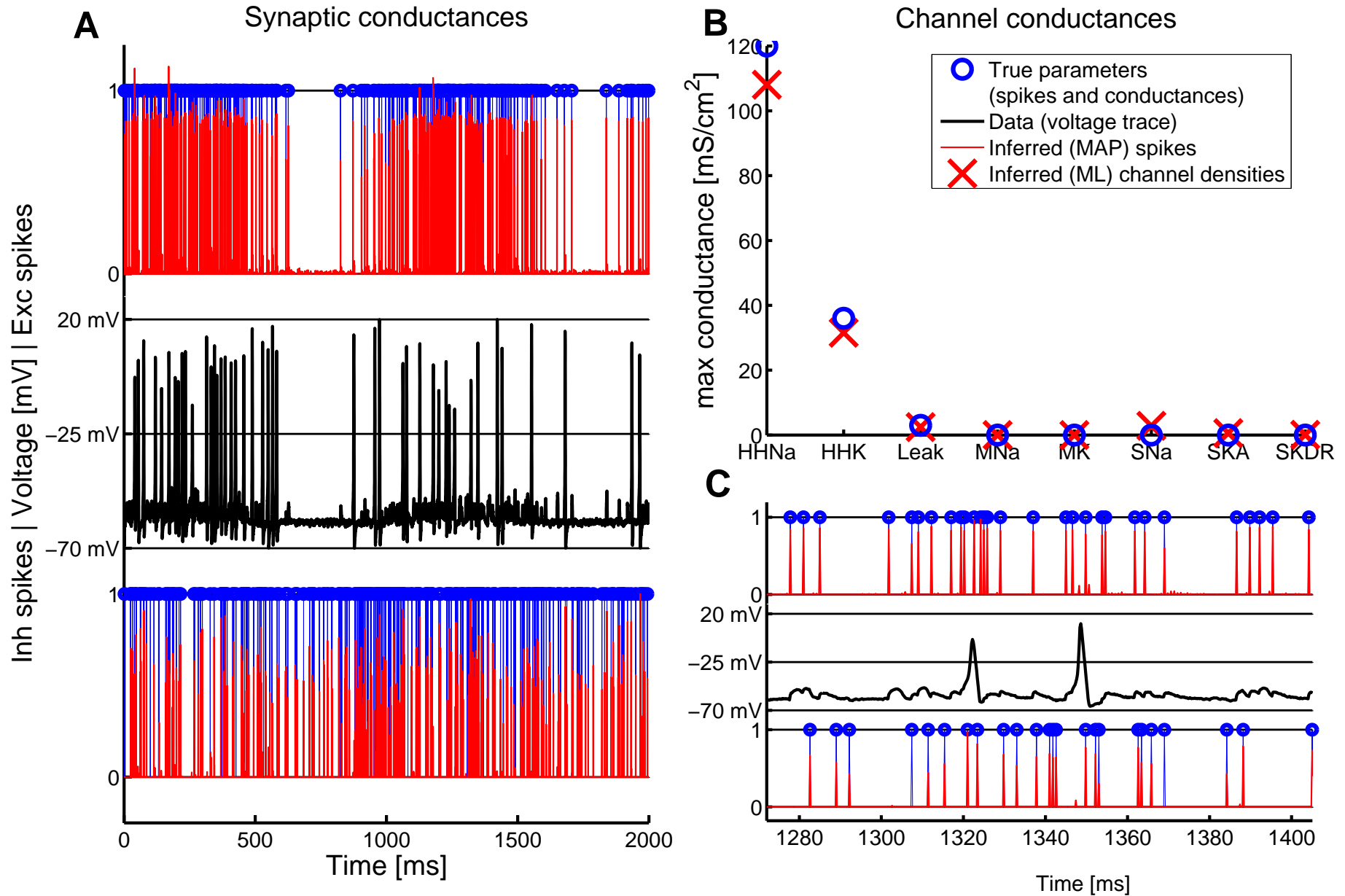
Estimating non-homogeneous channel densities and axial resistances from spatiotemporal voltage recordings

$$I_i^{\text{channels}} = \sum_c \bar{g}_c g_c(t) (E_c - V_i(t))$$



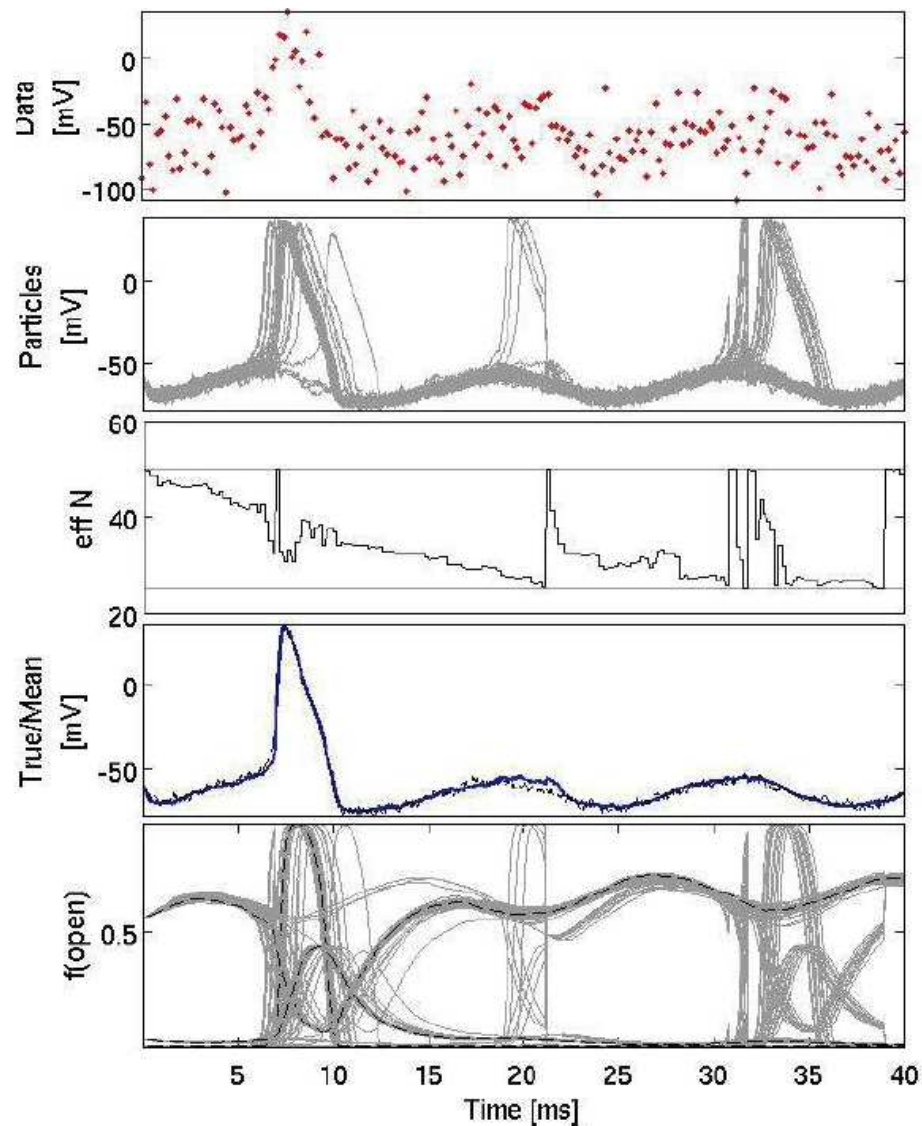
(Huys et al., 2006)

Estimating synaptic inputs given $V(t)$



(Huys et al., 2006)

Applications: optimal smoothing of noisy, subsampled voltage/calcium traces



— via particle filtering / EM (Huys and Paninski, 2006)

Collaborators

Theory and numerical methods

— J. Kulkarni, G. Szirtes, G. Fudenberg, K. Rahnema, Columbia

— J. Pillow, E. Simoncelli, NYU

— S. Shoham, Princeton

— A. Haith, C. Williams, Edinburgh

— M. Ahrens, Q. Huys, Gatsby

— J. Lewi, R. Butera, Georgia Tech

Motor cortex physiology

— M. Fellows, J. Donoghue, Brown

— N. Hatsopoulos, U. Chicago

— B. Townsend, R. Lemon, U.C. London

Retinal physiology

— V. Uzzell, J. Shlens, E.J. Chichilnisky, UCSD

Cortical *in vitro* physiology

— B. Lau and A. Reyes, NYU

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