Rich state, poor state, red state, blue state: What's the matter with Connecticut? A demonstration of multilevel modeling

Andrew Gelman Department of Statistics and Department of Political Science Columbia University

2 Nov 2005

Themes

- Income and voting: understanding aggregate and individual patterns
- Multilevel modeling and graphical display
- Some politics and some psychology
- Collaborators

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Individual, county, and state-level analyses Multilevel models of individuals within states Understanding the results

Democrats and Republicans, rich and poor

- I never said all Democrats are saloon-keepers. What I said is that all saloon-keepers are Democrats. — Horace Greeley, 1860
- Pat doesn't have a mink coat. But she does have a respectable Republican cloth coat. — Richard Nixon, 1952
- Like upscale areas everywhere, from Silicon Valley to Chicago's North Shore to suburban Connecticut, Montgomery County supported the Democratic ticket in last year's presidential election, by a margin of 63 percent to 34 percent.
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Puzzles

- Rich states go for the Democrats, but rich voters go for the Republicans. How do we understand this?
- Why all the fuss since 2000?
- How to reconcile journalists' and social scientists' views about income and political preferences?

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Richer states now support the Democrats

- ▶ In each Presidential election year, run linear regression:
 - ▶ *y* = state vote share for the Republican
 - \triangleright x = average income in the state
- Display time series of estimates ± standard errors (the "secret weapon")
- Quantitative version of looking at a series of red/blue maps
- Also do separate analyses for South, non-South

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- "Latte" Democrats vs. "Nascar" Republicans
- Recent trends explain why it's recent news
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Richer voters continue to support the Republicans

National Election Study

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Richer counties support the Republicans in some states and the Democrats in others

- Within each state, estimate regression on county data:
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- Varying-intercept, varying-slope model:

- Fit separate model for each election year ("secret weapon")
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States Individuals Counties

Coef of county-level income on county-level vote: South



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States Individuals Counties

Coef of county-level income on county-level vote: West



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States Individuals Counties

Coef of county-level income on county-level vote: Midwest



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States Individuals Counties

Coef of county-level income on county-level vote:Northeast



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Richer counties support the Republicans in some states and the Democrats in others

- In "deep-red" Southern states such as Oklahoma, Texas, Mississippi, etc., richer counties strongly support the *Republicans*
- In "media-center" states of New York, California, Maryland, and Virginia, richer counties slightly support the *Democrats*
- Journalists noticed a pattern (richer counties supporting the Democrats) that is concentrated in the states where the journalists live!

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Varying-intercept model Varying-intercept, varying-slope model Supplementary analyses

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Richer voters continue to support the Republicans within states

▶ Within each state, estimate logistic regression on individuals:

- y =vote preference (1=Rep, 0=Dem)
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- Use 2000 Annenberg Election Survey (over 100,000 respondents)
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Richer voters support the Republicans within states



Varying-intercept model, 2000

Andrew Gelman Rich State, Poor State, ...

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- ► Income is coded as -2, -1, 0, 1, 2, so we can interpret both intercepts and slopes
- Plot estimated Pr(R vote) vs. income for 3 representative states
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- Plot estimated Pr(R vote) vs. income for 3 representative states
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Varying-intercept model Varying-intercept, varying-slope model Supplementary analyses

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- Varying-intercept, varying-slope model:
 - $\Pr(y_i = 1) = \operatorname{logit}^{-1}(\alpha_{s[i]} + \beta_{s[i]}x_i)$
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Income matters more in "red America" than in "blue America"



Varying-intercept, varying-slope model, 2000

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Income matters more in "red America" than in "blue America"

Slope vs. state income, 2000



Varying-intercept model Varying-intercept, varying-slope model Supplementary analyses

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Supplementary analyses

Excluding African Americans

- Similar general patterns
- Estimates since 1968 using National Election Studies
- Exit polls from 2000
- ► Exit polls from 2004

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Estimates using National Election Studies



Andrew Gelman

Rich State, Poor State, ...

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Varying-intercept model Varying-intercept, varying-slope model Supplementary analyses

Income and vote preference from exit polls



Andrew Gelman

Rich State, Poor State, ...

Understanding the differences between states Explaining why journalists (and others) have been confused Conclusions

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- Richer states support the Democrats—even though, within any given state, richer voters tend to support the Republicans.
- The slope within a state is strongest in poor, rural, Republican-leaning "red" states and weakest in rich, urban, Democrat-leaning "blue" states.
- These patterns have largely arisen in the past ten or fifteen years.

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- Positive slopes within states are no suprise
- Between states: state income as product of long-term trends (large cities 50 or 100 years ago, more trade, immigration, ethnic diversity)
- Economic issues are perhaps more salient in poor states, less salient in rich states (that could be "what's wrong with Connecticut")

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Explaining journalists' confusion

- Statistical explanations
- Political explanations
- Psychological explanations

Understanding the differences between states Explaining why journalists (and others) have been confused Conclusions

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Statistical explanations for journalists' confusion

Red-blue map is misleading

- Overstates "polarization"
- Focus on large land-area states
- Reliance on anecdotes leads to confirmation of what is already "known"
- Aggregation bias: within-state and between-state correlations in different directions

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Political explanations for journalists' confusion

- I come from Huntington, a small farming community in Indiana. I had an upbringing like many in my generation—a life built around family, public school, Little League, basketball and church on Sunday. My brother and I shared a room in our two-bedroom house. — Dan Quayle, 1992
- Clinton displays almost every trope of blackness: single-parent household, born poor, working-class, saxophone-playing, McDonald's-and-junk-food-loving boy from Arkansas. — Toni Morrison, 1998
- Lower-than-average income Americans are part of the "mom and apple pie" cluster
- Both sides want to claim the "average American"
- 50% of voters support each party, so no easy answers for either side!
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- "Typicality" (Rosch, 1975): robins and penguins
- What does a "typical" Democrat or a "typical" Republican look like?
- Personification of states and counties

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- I can't believe Nixon won. I don't know anybody who voted for him. — attributed to Pauline Kael, 1972
- It evidently irritates many liberals to point out that their party gets heavy support from superaffluent "people of fashion" and does not run very well among "the common people." — Michael Barone, 2005
- First-order availability bias ("false consensus effect"): most people I know are Democrats, therefore most people are Democrats
- This is the error attributed to Kael, but nobody would actually make this mistake for a Presidential election!

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- Journalists are mostly Democrats and mostly richer than average
- Second-order availability bias: I am a Democrat and richer than average, therefore the Republicans are likely to be poorer than average
- ▶ Richer journalists are more likely to be Democrats
- Second-order availability bias: I see a positive correlation between income and voting for the Democrats, therefore this correlation must exist in the population
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Second-order availability bias

- National journalists in New York, California, Maryland, and Virginia live in states where:
 - Rich counties support the Democrats, poor counties support the Republicans
 - There is only a weak relation between income and vote preference
- In contrast, in the deep-red Southern states:

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- The red/blue map is misleading. Actually, Republicans are richer than Democrats, on average—in the U.S., and within states
- But, there are real differences between red and blue states. Income is more important in red states
- There are statistical, political, and psychological reasons for journalists (and others) to get confused on this.
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