

Spike Sorting using the Neural Clustering Process

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Summary

We present a novel approach to spike sorting for high-density multielectrode probes using the Neural Clustering Process (NCP), a neural architecture that performs scalable amortized approximate Bayesian inference for efficient probabilistic clustering.



Training NCP using synthetic data

We created synthetic labeled training data using a mixture of finite mixtures (MFM) generative model of noisy spike waveforms that mimics the distribution of real spikes.

 $N \sim \text{Uniform}[N_{min}, N_{max}]$ $K \sim 1 + \text{Poisson}(\lambda)$ $\pi_1 \dots \pi_K \sim \text{Dirichlet}(\alpha_1, \dots, \alpha_K)$ $c_1 \dots c_N \sim \text{Cat}(\pi_1, \dots, \pi_K)$ $\mu_k \sim p(\mu) \quad k = 1 \dots K$ $x_i \sim p(x_i | \mu_{c_i}, \Sigma_s \otimes \Sigma_t)$

	Cluster 1 (n = 230)			Cluster 2 (n = 205)		Cluster 3 (n =65)		
	Examples	Overlay Average			No.			
ch0	Jur Mu Mm			M M M	$\sqrt{}$		Mr. Mr. Mr.	
ch1	www.www.ww			when when when	$\sim\sim$		Men War New	1 ····
ch2	m m	\sim		men men	\sim		Mr mm mm	V
ch3	m who we	\sim		when whe	~~		Mr Mr Mr	Mr m
ch4	M. M. www			WA moment	~		www.ww	~~~
ch5	mm Jum			my were your	\sim		m Mr m	~~~
ch6	Mr mm m			~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	\sim		Mr Mm Mr	

A related generative model was introduced in [2] to train a spike detector network.



Goal: Group similar multi-channel spike waveforms into clusters, each representing a putative neuron.

Challenges:

- 1. It is unclear what are the optimal features for clustering.
- 2. The number of clusters is unknown a priori.
- 3. Uncertainty of cluster assignments for low-SNR ambiguous spikes.

Neural Clustering Process (NCP)^[1]

A novel architecture for efficient approximation to full Bayesian nonparametric inference over high-dimensional mixture models. Given N data points $\mathbf{x} = \{x_i\}$, we would like to sample the cluster

Spike Sorting using NCP

At inference time, we perform GPU-parallelized posterior sampling of cluster labels to find high-likelihood clustering configurations.

NCP spike sorting vs. two other methods:

vGMFM: variational inference on a Gaussian MFM. **Kilosort2**: a state-of-the-art spike sorting pipeline^[3].

Labeled synthetic data:
 Higher clustering quality than
 vGMFM measured by adjusted
 mutual information (AMI).



• Unlabeled real data: (49-channel, 20-min retina recording)

NCP produces clean clusters with visually distinct spike waveforms.



labels $c_{1:N}$ from the posterior:

$$p(c_{1:N}|\mathbf{x}) = p(c_1|\mathbf{x})p(c_2|c_1, \mathbf{x}) \dots p(c_N|c_{1:N-1}, \mathbf{x})$$

Each factor: $p(c_n|c_{1:n-1}, \mathbf{x}) = \frac{p(c_1 \dots c_n, \mathbf{x})}{\sum_{c'_n=1}^{K+1} p(c_1 \dots c'_n, \mathbf{x})}$

Approximate this factor using neural networks:



Permutation-invariant representations:

$$H_k = \sum_{i:c_i=k} h(x_i) \qquad k = 1...K \qquad G = \sum_{k=1}^K g(H_k) \qquad Q = \sum_{i=n+1}^N q(x_i)$$

NCP finds more spike templates (putative neurons) with clear receptive fields than Kilosort2.



• Hybrid data with partial ground truth:

NCP recovers more injected ground-truth templates than Kilosort2 and vGMFM.



Variable-input softmax function:

$$p_{\theta}(c_n = k | c_{1:n-1}, \mathbf{x}) = \frac{e^{f(G_k, Q)}}{\sum_{k'=1}^{K+1} e^{f(G_{k'}, Q)}} \qquad k = 1 \dots K+1$$

Spike Sorting using NCP

- The spike waveforms are encoded with a convolutional neural network learned end-to-end jointly with the NCP network.
- NCP computes the full posterior on cluster labels and the number of clusters, without assuming a fixed or maximum number of clusters.
- NCP handles the clustering uncertainty by efficient probabilistic clustering implemented as GPU-parallelized posterior sampling.
- The computational cost of NCP training can be highly amortized for statistically similar datasets.

• Probabilistic clustering of ambiguous small spikes:

Multiple plausible clustering results by sampling from the posterior.



References

[1] A Pakman, et al. (2019). Discrete neural processes, arXiv:1901.00409
[2] JH Lee, et al. (2017). Yass: Yet another spike sorter, NIPS
[3] M Pachitariu, et al. (2016). Fast and accurate spike sorting of high-channel count probes with KiloSort, NIPS