# 2002 NEW YORK CITY SOCIAL INDICATORS SURVEY

CODEBOOK

# SOCIAL INDICATORS SURVEY CENTER COLUMBIA UNIVERSITY SCHOOL OF SOCIAL WORK

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# I. QUESTIONNAIRE

#### INTRODUCTION AND SCREENING

**INTROB** Hello, my name is \_\_\_\_\_ and I'm calling for Columbia University. We're conducting a study of how New Yorker's are doing in 2002 and we would like to include your household's opinions. It's a study that is conducted every couple of years. The information that you provide us will help legislators and lawmakers better understand the needs of the residents of the city. Your number was selected at random and everything you tell us will be strictly confidential. You don't have to answer any question you don't want to.

The interview is voluntary and you may withdraw at any time without any penalty. My supervisor may monitor parts of this interview for quality control purposes. If we come to any question that you don't want to answer just let me know and we'll go on to the next question.

The interview will last about 30 minutes depending on how much you have to say. (INTERVIEWER NOTE: IF THE RESPONDENT NEEDS FURTHER INFORMATION, OR NEEDS TO AUTHENTICATE THE SURVEY, PROVIDE THE NAME OF SANDRA GARCIA AT SOCIAL INDICATORS SURVEY CENTER, COLUMBIA UNIVERSITY 212- 854-5217). The results of this study completed in previous years and results of the current study when made available can be reviewed on line at the Social Indicators Survey Center at www.siscenter.org)

Here's the first question.

To begin with, in general, how satisfied are you with the way things are going for you and your family today? [DO NOT RECORD ANSWER]

# [IF SAMPLE TYPE (SAMPTYPE)=1 (RDD CROSS-SECTION) ASK S1 ELSE SKIP TO S3 FOR CAREGIVER SAMPLE]

**S1** First, in order to be sure we are representing the opinions of people in different kinds of households, how many adults ages 18 or over currently live in this household?

[ENTER NUMBER]

[IF S1=1 SKIP TO A01 (PROCEED WITH INTERVIEW)]
[IF S1=2 OR MORE, ASK S2]
[IF 98 DON'T KNOW - THANK AND TERMINATE ]
[IF 99 REFUSED - THANK AND TERMINATE - SOFT REFUSAL S1]

- S2 To randomly select an adult in your household, could I please speak to the adult who had the last birthday.
  - 1 RESPONDENT ON PHONE [CONTINUE INTERVIEW, SKIP TO A01]
  - 2 RESPONDENT COMING TO PHONE [RE-INTRODUCE, SKIP TO A01]
  - 3 RESPONDENT NOT AVAILABLE, SCHEDULE CALLBACK
  - 9 REFUSED [SOFT REFUSAL S2: MAKE CONVERSION ATTEMPT]

# [IF SAMPLE TYPE (SAMPTYPE)=2 (RDD CAREGIVERS) ASK S3, ELSE SKIP TO A01]

**S3** First, in order to be sure we are representing the opinions of people in different kinds of households, how many children age 17 or under currently live in this household?

\_\_\_\_\_ [ENTER NUMBER] (DO NOT INCLUDE CHILDREN LIVING AWAY AT COLLEGE OR IN THE MILITARY) [IF S3=0 TERMINATE (SCREEN-OUT S3:NO CHILDREN 17 OR UNDER IN HH) ] [IF S3=1 ASK S4] [IF S3=2 OR MORE SKIP TO S5] [IF 98 DON'T KNOW - THANK AND TERMINATE] [IF 99 REFUSED - THANK AND TERMINATE - SOFT REFUSAL S3]

- S4 Can I please speak to a parent or guardian of the child age 17 or under?
  - 1 RESPONDENT ON PHONE [CONTINUE INTERVIEW, SKIP TO A01]
  - 2 RESPONDENT COMING TO PHONE [RE-INTRODUCE, SKIP TO A01]
  - 3 RESPONDENT NOT AVAILABLE, SCHEDULE CALLBACK
  - 9 REFUSED [**SOFT REFUSAL S4**: MAKE CONVERSION ATTEMPT]
- **S5** To randomly select a person to interview, could I speak to a parent or guardian of the child who had the last birthday?
  - 1 RESPONDENT ON PHONE [CONTINUE INTERVIEW, SKIP TO A01]
  - 2 RESPONDENT COMING TO PHONE [RE-INTRODUCE, SKIP TO A01]
  - 3 RESPONDENT NOT AVAILABLE, SCHEDULE CALLBACK
  - 9 REFUSED [SOFT REFUSAL S5: MAKE CONVERSION ATTEMPT]

#### A. NEIGHBORHOOD

**A01.** How long have you lived in New York City? [CODE IN MONTHS AND YEARS]

<0> LESS THAN ONE YEAR <1-97> NUMBER OF YEARS [SKIP TO A03] 98. DK [SKIP TO A03] 99. RF [SKIP TO A03]

**A02.** ENTER NUMBER OF MONTHS:

<1-12>

- A03. In general, how would you rate New York City as a place to live? Is it...
  - very good
     pretty good
     only fair
     or poor?
     DK
     RF

A04. Looking back over the past few years, would you say New York City has become a better place, gotten worse, or is it about the same?

- 1. better
- 2. worse
- 3. same
- 8. DK
- 9. RF

A05. How would you rate your neighborhood as a place to live? Would you say...(READ)

- very good
   pretty good
   only fair
- 4. or poor?
- 8. DK
- 9. RF

- A06. How comfortable would you feel asking your neighbors for help in an emergency? Would you say you would be...(READ)
  - 1. very comfortable
  - 2. somewhat comfortable
  - 3. or not comfortable asking for help?
  - 8. DK
  - 9. RF
- A07. Now I have a few more questions about how you would describe your neighborhood.

Let's start with police protection. Would you say it is very good, pretty good, only fair, or poor?

VERY GOOD
 PRETTY GOOD
 ONLY FAIR
 OR POOR?
 DK
 RF

A08. How about the condition of houses and other buildings. Would you say it is very good, pretty good, only fair, or poor?

VERY GOOD
 PRETTY GOOD
 ONLY FAIR
 OR POOR?
 DK
 RF

- A09. How about public schools in your neighborhood. (Would you say they are very good, pretty good, only fair, or poor?)
  - VERY GOOD
     PRETTY GOOD
     ONLY FAIR
     OR POOR?
     DK
     RF

- A10. Now, how safe do you feel walking alone at night within a block or two of where you live? Do you feel...(READ)
  - very safe
     somewhat safe
     somewhat unsafe
     or very unsafe?
     DK
     RF
- A11. In the past 12 months, did anyone break into, or illegally get into the place where you live?
  - 1. YES
  - 2. NO
  - 8. DK
  - 9. RF
- A12. In the past 12 months, did anyone take something directly from you, or from someone living with you, by using force such as in a stickup, mugging, or threat?
  - 1. YES 2. NO 8. DK
  - 9. RF
- A13. In this neighborhood, how big a problem are drug dealers and drug users? Are they...
  - a big problem
     a small problem
     or no problem?
     DK
     RF

#### **B. HOUSEHOLD ENUMERATION**

- **B01**. Now, I have some questions about you and your household. Are you currently married, widowed, divorced, separated, or have you never been married?
  - MARRIED
     WIDOWED
     DIVORCED
     SEPARATED
     NEVER BEEN MARRIED
     DK
     RF
- **B02**. Are you currently living with a spouse or partner? (IF YES: Is (he/she)a spouse or partner?)

YES, SPOUSE
 YES, PARTNER
 NOT LIVING WITH SPOUSE OR PARTNER
 DK
 RF

In order to make estimates on the different types of households in New York City, I have some questions about the people who live in your household, such as their ages and relationships to you.

**B03**. Let's start with you. What is your age?

<18-96> 97. 97 years or older 98. DK 99. RF

- **B04**. RECORD SEX OF RESPONDENT
  - 1. MALE 2. FEMALE

**B05\_1-**Now I'd like the same information for members of your household who are 18**B05\_6.**years or older.

Is there another adult who lives there with you?

- 1. YES, ANOTHER ADULT IN HOUSEHOLD
- 2. NO, NO OTHER ADULTS [SKIP TO C00]
- 9. RF [SKIP TO C00]
- **B05a\_1** How is this person related to you?

B05a\_6.

- 1. HUSBAND/WIFE
- 2. BOYFRIEND/GIRLFRIEND (PARTNER)
- 3. PARENT / PARENT-IN-LAW
- 4. GRANDPARENT / GRANDPARENT-IN-LAW
- 5. ADULT CHILD
- 6. ADULT GRANDCHILD
- 7. BROTHER / SISTER
- 8. AUNT/ AUNT-IN-LAW / UNCLE / UNCLE-IN-LAW
- 9. OTHER RELATIVE OF RESPONDENT OR PARTNER
- 10. BOARDER
- 11. FRIEND (NOT PARTNER) / ROOMMATE
- 12. OTHER NON-RELATED ADULT
- 13. BABYSITTER/NANNY/HOUSEKEEPER
- 14. OTHER, SPECIFY (Verbatim stored in variable B05a\_1)\*\*
- 98. DK
- 99. RF

### [NOTE: ADD CONSISTENCY CHECK WITH B02]

#### [IF B02 = 5 AND B05a\_1-B05a\_6 <= 2, ASK THE FOLLOWING QUESTION: ]

You had mentioned before that you do not live with a spouse or partner, could you please clarify? (Verbatim stored in variable b5a1\_a\_ [spouse] or b5a1\_b\_ [partner])\*\*

**B05b\_1-** (IF NECESSARY: What is the sex of your (fill B05a\_1-B05a\_6)?) **B05b\_6**.

- 1. MALE
- 2. FEMALE
- 9. RF

**B05c\_1**- How old is your (fill B05a\_1-B05a\_6)?

B05c\_6.

<18-96> EXACT AGE 97. 97 OR OLDER 98. DK 99. RF

[LOOP BACK TO B06 UP TO 6 ADULTS BESIDES RESPONDENT (6 Iterations). IF 6 ADULTS IN ADDITION TO RESPONDENT LISTED, ASK B11, OTHERWISE SKIP TO C00].

- **B11**. Can you tell me how many OTHER adults live there with you that we haven't listed so far and how they are related to you? [INTERVIEWER: RECORD GENDER, AGE AND RELATIONSHIP OF ALL OTHER ADULTS TO THE RESPONDENT]
  - 1. GAVE RESPONSE: \_\_\_\_\_.(Verbatim stored in variable b110\_)\*\*
  - 2. (VOL) No other adult in HH.
  - 3. (VOL) Don't know/Refused
- **B12**. (IF NOT ALREADY CLEAR): Could you tell me what kind of living quarters these are that is, do you live in a regular house, a dormitory, a hotel, or somewhere else?
  - 1. THIS IS A REGULAR HOUSEHOLD WITH A LARGE NUMBER OF ADULTS LIVING THERE **[SKIP TO C00]**
  - 2. GROUP QUARTERS FOR STUDENTS IN DORMITORIES, FRATERNITIES, SORORITIES, CO-OP (BUT NOT MARRIED STUDENT HOUSING)
  - 3. QUARTERS FOR THE RELIGIOUS IN CLOISTERED ORDERS, E.G., CONVENTS, MONASTERIES
  - 4. PATIENT QUARTERS IN HOSPITALS, REST HOMES, NURSING HOMES
  - 5. NONSTAFF ACCOMMODATIONS IN MISSIONS, FLOPHOUSES, OR SALVATION ARMY SHELTERS
  - 6. PATIENT QUARTERS IN MENTAL INSTITUTIONS
  - 7. OTHER (specify) [SPECIFY] (Verbatim stored in variable b12\_\_)\*\*
  - 8. DK
  - 9. RF

#### PUNCH 2-9 TERMINATE – SCREEN-OUT B12: INSTITUTIONS

#### C. HOUSEHOLD ENUMERATION -- MINORS

In this interview, we are also interested in how children are doing. I will be asking some questions about children who might live with you.

#### [CATI PROGRAMMING: FOR "CAREGIVER SAMPLE" AUTO-PUNCH C00 BASED ON RESPONSE IN S3]

C00. How many members of your household are 17 years or younger? (DO NOT INCLUDE CHILDREN LIVING AWAY AT COLLEGE OR IN THE MILITARY)

0.	NONE	[SKIP TO ALLA]
<1-10	>EXACT NUMBER OF CHILDREN	I
11.	11 or more	
98.	DK	[SKIP TO ALLA]
99.	RF	[SKIP TO ALLA]

In order to compare New York families, we need a little information about the ages of the children and your relationship to them.

- **C01\_1-** Let's start with the oldest (next oldest) of the children. How old is the
- **C01\_9**. oldest (next oldest) child living with you?

[INTERVIEWER: IF AGE IS LESS THAN 1 YEAR, RECORD 0]

<0-17> Exact age 98. DK 99. RF

**C01a\_1-** And just to confirm, is this child a boy or a girl?

#### C01a\_9.

1. BOY 2. GIRL 9. RF

**C01b\_1**- How is this child related to you?

C01b\_9.

- 1. DAUGHTER, SON
- 2. STEPCHILD
- 3. FOSTER CHILD
- 4. ADOPTED CHILD
- 5. GRANDCHILD
- 6. NEPHEW/NIECE
- 7. SISTER/BROTHER
- 8. NO RELATION
- 9. OTHER (SPECIFY) (Verbatim stored in variables C01b\_1 C01b\_9)\*\*
- 98. DK
- 99. RF

- **C01c\_1-** [IF B02 >= 5 SKIP TO C01D\_1]
- **C01c\_9**. How is this [C01\_1-C01\_9] year old related to your (spouse/partner)?
  - 1. SON, DAUGHTER TO S/P
  - 2. STEPCHILD TO S/P
  - 3. FOSTER CHILD TO S/P
  - 4. ADOPTED CHILD TO S/P
  - 5. GRANDCHILD TO S/P
  - 6. NIECE/NEPHEW TO S/P
  - 7. SISTER/BROTHER TO S/P
  - 8. NO RELATION
  - 9. OTHER (SPECIFY) (Verbatim stored in variables C01c\_1 C01c\_9)\*\*
  - 98. DK
  - 99. RF
- **C01d\_1** [IF C01B\_1-C01B\_9 <= 4 SKIP TO NEXT CHILD]
- **C01d\_9**. Are you a parent or guardian of this [C01\_1-C01\_9] year old child?
  - 1. YES
  - 2. NO
  - 8. DK
  - 9. RF

>**NUMKIDS**<CATI variable (number of kids respondent/spouse or partner are responsible for as defined by the kid being biological/adopted or foster, or by the respondent/ spouse or partner being the guardian of the child)

 $(C01b_1 - C01b_9 = 1-4) \text{ OR } (C01d_1 - C01d_9=1) \text{ OR } (C01c_1 - C01c_9 = 1-4)$ 

- > KIDCHECK< CATI variable indicating whether there are no children, only one child, or more than one child of the respondent or spouse/partner (as defined above) in the household
  - 0. NO CHILDREN
  - 1. ONLY 1 CHILD
  - 2. MORE THAN 1 CHILD

## **FOCAL CHILD SELECTION:**

[IF KIDCHECK =0 (no dependent children) SKIP TO D01]

[IF KIDCHECK =1 ASK FCS1 ELSE SKIP TO FCS2]

EVERYBODY ANSWERING FCS1 SKIP TO FCS3

[IF KIDCHECK = 2 ASK FCS2 ELSE SKIP TO D01] >FCS2A< Which of these children had the most recent birthday?

>FCS3< Age of focal child

>**FCS4**< Sex of focal child

### **D. ADULT EDUCATION**

The next questions are about your education.

**D01**. What is the highest grade or level of regular school you have ever completed? IF R WENT TO SCHOOL OR COLLEGE IN ANOTHER COUNTRY ASK: What do you think the equivalent would be in this country? Would it be.....

1. 0 to 11 grades of school	
2. 12th grade	[SKIP TO D01_b]
3. GED	[SKIP TO D02]
4. HS diploma	[SKIP TO D02]
5. Vocational/Tech/Trade School	[SKIP TO D01_c]
6. Vocational/Tech/Trade CERTIFICATE/dip	loma[SKIP TO D02]
7. College	[SKIP TO D01_d]
8. Associate's Degree	[SKIP TO D02]
9. Bachelor's Degree	[SKIP TO D02]
10. Some Graduate School	[SKIP TO D02]
11. or Master's Degree/Ph.D./M.D.?	[SKIP TO D02]
98. DK	[SKIP TO D02]
99. RF	[SKIP TO D02]

- **D01\_a**. Did you ever get a GED?
  - 1. YES
  - 2. NO
  - 8. DK
  - 9. RF

 $\rightarrow$  [SKIP TO D02]

- **D01\_b**. (Let me just confirm) did you get a GED, a High School diploma, or no degree?
  - 1. GED 2. HS DIPLOMA 3. NO DEGREE 8. DK 9. RF
  - $\rightarrow$  [SKIP TO D02]

- **D01\_c.** Did you receive a certificate?
  - 1. YES
  - 2. NO
  - 8. DK
  - 9. RF
  - $\rightarrow$  [SKIP TO D02]
- **D01\_d**. (Let me just confirm...) did you get a degree, such as an associate's or a bachelor's? Which?

ASSOCIATE'S
 BACHELOR'S
 NO DEGREE
 DK
 RF

**D02**. Are you attending a college, job training program, or another type of school or class right now? Please include non-credit courses if they are related to job or career advancement.

(IF NECESSARY: Please don't include classes you're taking for leisure; some examples of this might be arts and crafts or sports.)

- 1. YES 2. NO 8. DK
- 9. RF

[IF R HAS SPOUSE/PARTNER (B02=1 OR B02=2) ASK THE D03, ELSE SKIP TO E01]

- D03. What is the highest grade or level of regular school your (spouse/partner) has ever completed?IF S/P WENT TO SCHOOL OR COLLEGE IN ANOTHER COUNTRY ASK: What do you think the equivalent would be in this country? Would it be...
  - 1. 0 to 11 grades of school

2.	12th grade	[SKIP TO D03_b]
3.	GED	[SKIP TO D04]
4.	HS diploma	[SKIP TO D04]
5.	Vocational/Tech/Trade School	[SKIP TO D03_c]
6.	Vocational/Tech/Trade CERTIFICATE/diplom	a[SKIP TO D04]
7.	College	[SKIP TO D03_d]
8.	Associate's Degree	[SKIP TO D04]
9.	Bachelor's Degree	[SKIP TO D04]
10.	Some Graduate School	[SKIP TO D04]
11.	Master's Degree/Ph.D./M.D.	[SKIP TO D04]
98.	DK	[SKIP TO D04]
99.	RF	[SKIP TO D04]

- **D03\_a**. Did your (spouse/partner) ever get a GED?
  - 1. YES
  - 2. NO
  - 8. DK
  - 9. RF
  - $\rightarrow$  [SKIP TO D04]
- **D03\_b.** (Let me just confirm...) Did your (spouse/partner) get a GED, a high school diploma or no degree?
  - 1. GED
  - 2. HS DIPLOMA 5. NO DEGREE
  - 8. DK
  - 9. RF
  - 9. KI
  - $\rightarrow$  [SKIP TO D04]

- **D03\_c.** (Let me just confirm...) Did your (spouse/partner) get a certificate?
  - 1. YES 2. NO
  - 8. DK
  - 9. RF

 $\rightarrow$ [SKIP TO D04]

**D03\_d.** (Let me just confirm...) Did your (spouse/partner) get a degree, such as an associate's or a bachelor's? Which?

ASSOCIATE'S
 BACHELOR'S
 NO DEGREE
 DK
 RF

- **D04**. Is your (spouse/partner) attending a college, job training program, or any other type of school or class right now? Please include non-credit courses if they are related to job or career advancement. (IF NECESSARY: Please don't include classes being taken for leisure; some examples of this might be arts and crafts or sports.)
  - 1. YES 2. NO 8. DK 9. RF

### E. RESPONDENT HEALTH

- **E01**. Now I have some questions about your health. In general, would you say your health is...
  - 1. excellent,
  - 2. very good,
  - 3. good,
  - 4. fair, or
  - 5. poor?
  - 8. DK
  - 9. RF
- **E02**. Do you have a chronic health problem -- physical, emotional, or mental -- that limits the amount or kind of work you could do at a job?
  - 1. YES 2. NO [SKIP TO E04] 8. DK [SKIP TO E04] 9. RF [SKIP TO E04]
- **E03**. Does this condition prevent you from working at a job at all, or does it only limit the kind or amount of work you could do at a job?
  - 1. CANNOT WORK AT ALL
  - 2. ONLY LIMITS KIND/AMOUNT OF WORK
  - 8. DK
  - 9. RF

#### IF RESPONDENT IS 65 YEARS OLD OR OLDER ASK E04, ELSE SKIP TO F01 [IF B03 >=65 & BO3 <98, ASK E04, ELSE SKIP TO F01].

The following questions are about activities you might do during a typical day.

- **E04**. Does your health now limit you in moderate activities, such as moving a table, pushing a vacuum cleaner, bowling, or playing golf? IF YES: Does it limit you a lot (Punch 1) or limit you a little (Punch 2)?
  - 1. Yes, limited a lot
  - 2. Yes, limited a little
  - 3. No, not limited at all
  - 8. DK
  - 9. RF

- **E05**. Does your health now limit you in climbing several flights of stairs? IF YES: Does it limit you a lot (Punch 1) or limit you a little (Punch 2)?
  - Yes, limited a lot
     Yes, limited a little
     No, not limited at all
     DK
     RF

During the past 4 weeks, have you had any of the following problems with your work or other regular daily activities as a result of your physical health?

**E06**. During the past 4 weeks have you accomplished less than you would like?

1. YES 2. NO 8. DK 9. RF

**E07**. During the past 4 weeks were you limited in the kind of work or other activities?

1. YES 2. NO 8. DK 9. RF

During the past 4 weeks, have you had any of the following problems with your work or other regular daily activities as a result of any emotional problems (such as feeling depressed or anxious)?

**E08**. During the past 4 weeks have you accomplished less than you would like?

1. YES 2. NO 8. DK 9. RF

**E09**. During the past 4 weeks you didn't do work or other activities as carefully as usual.

1. YES 2. NO 8. DK 9. RF E10. During the past 4 weeks, how much did pain interfere with your normal work (including both work outside the home and housework)? (READ LIST)
1. Not at all
2. A little bit
3. Moderately
4. Quite a bit or
5. Extremely
8. DK
9. RF

The following questions are about how you feel and how things have been with you during the past 4 weeks. For each question, please give the one answer that comes closest to the way you have been feeling.

How much of the time during the past 4 weeks...

E11.	<ul> <li>Have you felt calm and peaceful? (READ LIST)</li> <li>1. All of the time</li> <li>2. Most of the time</li> <li>3. A good bit of the time</li> <li>4. Some of the time</li> <li>5. A little of the time or</li> <li>6. None of the time</li> <li>8. DK</li> <li>9. RF</li> </ul>
E12.	Did you have a lot of energy? (READ LIST) 1. All of the time 2. Most of the time 3. A good bit of the time 4. Some of the time 5. A little of the time or

- 6. None of the time
- 8. DK
- 9. RF

- **E13**. Have you felt downhearted and blue?
  - (READ LIST)
  - 1. All of the time
  - 2. Most of the time
  - 3. A good bit of the time
  - 4. Some of the time
  - 5. A little of the time or
  - 6. None of the time
  - 8. DK
  - 9. RF
- **E14**. During the past 4 weeks, how much of the time has your physical health or emotional problems interfered with your social activities (like visiting friends, relatives, etc.)?

(READ LIST)

- 1. All of the time
- 2. Most of the time
- 3. A good bit of the time
- 4. Some of the time
- 5. A little of the time or
- 6. None of the time
- 8. DK
- 9. RF

#### F. HEALTH INSURANCE

IN THIS SECTION, WE OBTAIN INFORMATION ABOUT HEALTH CARE FOR RESPONDENT, SPOUSE/PARTNER (IF PRESENT), AND CHILD/REN IN 'FAMILY' (IF ANY).

**F01**. Do you have any type of health insurance or health plan? (PROBE: including Medicare, Medicaid, Champus, etc)

YES
 NO [SKIP TO F02]
 DK [SKIP TO F02]
 RF [SKIP TO F02]

- F01\_1- What type of health insurance is that? Is the health insurance...[READ LIST]
- **F01\_5**. [MULTIPLE RESPONSE]
  - 1. obtained through a current or past employer,
  - 2. a union,
  - 3. purchased directly from an insurance company,
  - 4. is it Medicaid,
  - 5. Medicare, OR
  - 6. another type of government program? [specify] (Verbatim stored in fu01a\_)\*\*
  - 8. DK
  - 9. RF
- **F02**. [IF B02 >= 5 SKIP TO F03]

Does your (spouse/partner) have any type of health insurance or health plan? (PROBE: including Medicare, Medicaid, Champus, etc.)

1. YES

2 110	
2. NO	[SKIP TO F03]
8. DK	[SKIP TO F03]
9. RF	[SKIP TO F03]

- F02\_1- What type of health insurance is that? Is the health insurance...[READ LIST]F02 5. [MULTIPLE RESPONSE]
  - 1. obtained through a current or past employer,
  - 2. a union,
  - 3. purchased directly from an insurance company,
  - 4. is it Medicaid,
  - 5. Medicare, OR
  - 6. another type of government program? SPECIFY (Verbatim stored in fu02a\_)\*\*
  - 8. DK
  - 9. RF

# IF NUMBER CHILDREN IN FAMILY IS ZERO (KIDCHECK=0) SKIP TO CONDITIONAL BEFORE F05

**F03**. (Does your child/Do all of your children) under 18 have any type of health insurance or health plan? (PROBE: including Medicare, Medicaid, Champus, Child Health Plus, etc)

1. YES	[SKIP TO F04_1]
2. NO	[SKIP TO CONDITONAL BEFORE F05]
6. (VOL)	Some of the children under 18 have insurance
8. DK	[SKIP TO CONDITONAL BEFORE F05]
9. RF	[SKIP TO CONDITONAL BEFORE F05]
	L

- **F04**. How many of your children under 18 have a health plan right now?
  - <1-7> 8. DK 9. RF
- F04\_1 What type of health insurance is that? Is the health insurance...[READ LIST]F04 3. [MULTIPLE RESPONSE]
  - - 1. obtained through a current or past employer,

2. a union,

- 3. purchased directly from an insurance company,
- 4. is it Medicaid,
- 5. Medicare,
- 6. Child Health Plus,
- 7. or another type of government program? (specify) (Verbatim stored in fu04a\_)\*\*
- 8. DK
- 9. RF

# IF F01>=2 OR (F02>=2 AND B02<5) OR F03>=2 AND KIDCHECK>0) SKIP TO CONDITIONAL AFTER F05

- **F05**. Was there a time in the past 12 months when anyone in your household (IF NECESSARY: yourself, your spouse/partner or your child/ren) did not have any health insurance?
  - 1. YES 2. NO 8. DK 9. RF

**IF RESPONDENT, SPOUSE/PARTNER, OR CHILDREN COVERED BY HELATH INSURANCE THROUGH EMPLOYER, UNION OR PURCHASED DIRECTLY ASK THE FOLLOWING, ELSE SKIP TO F08** (F01\_1-F01\_4=1 <u>OR</u> F02\_1-F02\_4=1 <u>OR</u> F04\_1-F04\_3=1) (F01\_1-F01\_4=2 <u>OR</u> F02\_1-F02\_4=2 <u>OR</u> F04\_1-F04\_3=2) (F01\_1-F01\_4=3 <u>OR</u> F02\_1-F02\_4=3 <u>OR</u> F04\_1-F04\_3=3) ASK FOLLOWING, ELSE SKIP TO F08

**F06.** Earlier you told me that at least some of the members of your household have health insurance. Were you (or your spouse/partner) responsible for paying at least some of the monthly premiums of this/these health insurance plan(s)?

YES
 NO [SKIP TO F08]
 DK [SKIP TO F08]
 RF [SKIP TO F08]

**F07**. In the past 12 months, about how much did you (and your spouse/partner) pay in monthly premiums for this/these health insurance plans?

(PROBE: our best estimate is fine) (CONFIRM AMOUNT IS THE ANNUAL TOTAL FOR THE PAST 12 MONTHS) <10-99999> 8. DK

9. RF

**F08**. Even with health insurance, many people have co-payments or other out of pocket medical costs. In the past 12 months, were you (or your spouse/partner) charged anything for doctor visits, medical care, supplies or medicine that was not paid by your health plan?

[IF KIDCHECK > 0] Please include charges for your (child/children) under 18.

 1. YES
 [SKIP TO F10]

 2. NO
 [SKIP TO F13]

 8. DK
 [SKIP TO F13]

 9. RF
 [SKIP TO F13]

IF RESPONDENT, SPOUSE/PARTNER, AND CHILD/REN DO NOT HAVE HEALTH INSURANCE ASK F09, ELSE SKIP TO F10

F09. In the past 12 months, were you (or your spouse/partner) charged anything for doctor visits, medical care, supplies, or medicine?
 [IF KIDCHECK ~= 0] Please include charges for your (child/children) under 18.

1. YES 2. NO [SKIP TO F13] 8. DK [SKIP TO F13] 9. RF [SKIP TO F13]

**F10**. In the past 12 months, did you receive any assistance from family members or friends to help pay for any of these medical charges?

1. YES 2. NO [SKIP TO F12] 8. DK [SKIP TO F12] 9. RF [SKIP TO F12]

**F11**. In the past 12 months, about how much did they pay in total?

<10-999999> 8. DK 9. RF F12. Now I'd like to know how much of the medical charges was left for you (and your spouse/partner) to pay. In the past 12 months, how much did you (and your spouse/partner) pay for medical care or medicine including co-payments and other out of pocket medical costs? (NOT including monthly premiums)
 [IF KIDCHECK ~= 0] Please include charges for your (child/children) under 18

(PROBE: Your best estimate is fine) (CONFIRM AMOUNT IS THE ANNUAL TOTAL FOR THE PAST 12 MONTHS)

> <0> DIDN'T PAY ANYTHING <10-99999> 8. DK 9. RF

- **F13.** Did you (or your spouse/partner) take (or are planning to take) any of your medical or health insurance costs off your income taxes in 2001 as an itemized deduction?
  - 1. YES 2. NO 8. DK 9. RF
- **F14**. How about a medical spending plan through work? Did you (or your spouse/partner) use a flexible spending account at work or have pre-tax money deducted from your salary to pay for medical costs in 2001?
  - 1. YES
  - 2. NO
  - 8. DK
  - 9. RF

### G. FOCAL CHILD'S HEALTH

# IF KIDCHECK=0 (NO CHILDREN IN HOUSEHOLD) SKIP TO QUESTION M01 (SECTION M)

- **G01**. These next questions are about [FOCAL CHILD'S NAME]'s health. Compared to other children, how would you rate (his/her) health these days? Is it...
  - Excellent
     Pretty good
     Only fair
     or Poor?
     DK
     RF
- **G02**. During the past 12 months, has [FOCAL CHILD'S NAME] spent the night in the hospital because of an illness or injury?
  - 1. YES 2. NO 8. DK 9. RF

#### ASK IF FOCAL CHILDREN AGE 12-17, ELSE SKIP TO H01

**G03**. Does your child smoke cigarettes regularly, that is, once a week or more?

- 1. YES 2. NO 8. DK 9. RF
- **G04**. Has [NAME FOCAL CHILD] ever drunk alcoholic beverages?
  - 1. YES 2. NO 8. DK 9. RF

- G05. Has [NAME FOCAL CHILD] ever used drugs?
  - 1. YES 2. NO 8. DK
  - 9. RF

## **G06**. Has [NAME FOCAL CHILD] ever had trouble with the law?

1. YES 2. NO 8. DK 9. RF

## **G07**. Has [NAME FOCAL CHILD] ever gotten into fights?

1. YES 2. NO 8. DK 9. RF

**G08**. Has [NAME FOCAL CHILD] ever been/made someone pregnant?

- 1. YES 2. NO 8. DK
- 9. RF

### H. FOCAL CHILD'S DEVELOPMENT

Item H01 refers to all focal children.

Items H02–H05 refer to focal child 0-5 years old.

Items **H06 – H16** refer to focal child 6-17 years old.

Items H17 – H19 refer to all focal children.

**H01**. Does [FOCAL CHILD'S NAME] have a physical, learning, or mental health condition that keeps (him/her) from doing the usual kinds of things that most children (his/her) age do?

1. YES

2. NO

8. DK

9. RF

### [IF FCS3 <6 ASK H02, ELSE SKIP TO H06]

- **H02**. In a usual week, how often do you (or your spouse/partner) spend time looking at or reading books with [FOCAL CHILD'S NAME]. Is it...
  - 1. everyday or nearly every day
  - 2. three or four times a week
  - 3. once or twice a week
  - 4. or hardly ever or never?
  - 8. DK
  - 9. RF
- **H03**. Now I'm going to read a list of items that sometimes describe children. Please tell me how true the following statements are about [FOCAL CHILD'S NAME].

My child has trouble getting along with other children. Is this...

- often true
   sometimes true
- 3. or rarely if ever true?
- 8. DK
- 9. RF

- H04. (He/she) has difficulty concentrating and cannot pay attention for long. Is this...
  - often true
     sometimes true
     or rarely if ever true?
     DK
     RF

H05. (He/she) has been unhappy, sad, or depressed. Is this...

often true
 sometimes true
 or rarely if ever true?
 DK
 RF

IF FOCAL CHILD'S AGE IS 6 OR OLDER (FCS3 >=6) ASK H06, ELSE SKIP TO H17

- **H06**. In a usual week, how often do you (or your spouse/partner) spend time working on homework with [FOCAL CHILD'S NAME]. Is it...
  - 1. everyday or nearly every day
  - 2. three or four times a week
  - 3. once or twice a week
  - 4. or hardly ever or never?
  - 5. Doesn't have homework
  - 8. DK
  - 9. RF
- **H07**. Now I'm going to read a list of items that sometimes describe children. Please tell me how true the following statements are about [FOCAL CHILD'S NAME]. My child has trouble getting along with other children. Is this...
  - 1. often true
  - 2. sometimes true
  - 3. or rarely if ever true?
  - 8. DK
  - 9. RF

- H08. (He/she) has difficulty concentrating and cannot pay attention for long. Is this...
  - often true
     sometimes true
     or rarely if ever true?
     DK
     RF
- H09. (He/she) has been unhappy, sad, or depressed. Is this...
  - often true
     sometimes true
     or rarely if ever true?
     DK
     RF
- H10. (He/she) has been nervous, high-strung or tense. Is this...
  - often true
     sometimes true
     or rarely if ever true?
     DK
     RF
- H11. (He/she) acts young for (his/her) age. Is this...
  - often true
     sometimes true
     or rarely if ever true?
  - 8. DK
  - 9. RF
- **H12**. In the past school year, has [FOCAL CHILD'S NAME] been involved in a <u>school</u> <u>activity</u>, such as a sports team, a school club, or student government?
  - 1. YES 2. NO 8. DK 9. RF

- **H13**. How about a <u>non-school</u> activity such as a youth group, scouts/guides, a religious or community group?
  - 1. YES 2. NO 8. DK 9. RF
- **H14**. How many of [FOCAL CHILD'S NAME]'s close friends do you (or your spouse/partner) know by sight and by name? Do you know...
  - 1. all
  - 2. most
  - 3. about half
  - 4. or only a few or none of his/her friends?
  - 8. DK
  - 9. RF
- **H15**. How many of the parents or guardians of [FOCAL CHILD'S NAME]'s close friends would you say you (or your spouse/partner) know by sight and name? Do you know....
  - 1. all
  - 2. most
  - 3. about half
  - 4. or only a few or none of their parents?
  - 8. DK
  - 9. RF
- **H16**. How often do you (or your spouse/partner) know who [FOCAL CHILD'S NAME] is with when (she/he) is not at home? Is it...
  - 1. all of the time
  - 2. most of the tie
  - 3. some of the time
  - 4. or hardly ever or never?
  - 8. DK
  - 9. RF

- **H17**. In a usual week, how many times do you (or your spouse/partner) eat dinner with your (child/children)? Is it...
  - 1. every day or nearly every day
  - 2. 3-4 times a week
  - 3. once or twice a week
  - 4. or hardly ever or never?
  - 8. DK
  - 9. RF
- H18. How often is the TV on during meals? Is it...
  - every day or nearly every day
     3-4 times a week
     once or twice a week
     or hardly ever or never?
     (VOL) NO TV
     DK
     RF
- **H19**. How many hours of TV do you think [FOCAL CHILD'S NAME] watches on a regular school day?

<0-15> hours 97. 15 or more 98. DK 99. RF

#### 2002 NYC SOCIAL INDICATORS SURVEY

### I. FOCAL CHILD'S EDUCATION

THIS SECTION REFERS TO THE FOCAL CHILD 5 YEARS OR OLDER. IF A 5 YEAR OLD FOCAL CHILD IS NOT IN SCHOOL THEN BY DEFAULT S/HE IS SUBJECT OF THE CHILD CARE SECTION. ASSUMES INTERVIEW IS CONDUCTED DURING 2001/2002 SCHOOL YEAR.

I01. [IF FCS3 >= 7 SKIP TO I02] [IF FCS3 < 5 SKIP TO J00] These next questions are about the school experience of [FOCAL CHILD'S NAME].

Did [FOCAL CHILD'S NAME] attend kindergarten or first grade in this school year (Sept 2001 - Present)? (Do not include pre-kindergarten.)

1. YES	[SKIP TO I04]
2. NO (INCLUDES "Home Schooling")	[SKIP TO I03]
8. DK	[SKIP TO J00]
9. RF	[SKIP TO J00]

**I02**. These next questions are about the school experience of [FOCAL CHILD'S NAME].

Did [FOCAL CHILD'S NAME] attend school this school year (Sept 2001 – Present)?

1. YES[SKIP TO I04]2. NO (INCLUDES "Home Schooling")[SKIP TO I03]8. DK[SKIP TO I13]9. RF[SKIP TO I13]

**I03**. Is this because s/he...

DROPPED OUT
 WAS SICK
 GRADUATED, OR
 IS BEING TAUGHT AT HOME?
 NOT OLD ENOUGH
 OTHER: specify [SPECIFY] (Verbatim stored in i03\_)\*\*
 DK
 RF

 $\rightarrow$  [SKIP TO I18]

**I04**. What grade was your child in during this school year (Sept 2001-June 2002)?

0. KINDERGARTEN <1-12> ENTER GRADE 13. COLLEGE 98. DK 99. RF

I05. What type of school is your child enrolled in? Is it a...[READ LIST] (IF CHARTER SCHOOL OR MAGNET SCHOOL RECORD AS PUNCH <1> PUBLIC SCHOOL)
1. public school,
2. private non-religious school, or
3. a religious school?
7. OTHER (SPECIFY) (Verbatim stored in variable i05\_)\*\*
8. DK

- 9. RF
- **I06**. Did you have to apply for your child to be admitted to this school ?
  - 1. YES 2. NO 8. DK 9. RF
- **I07**. Have you (or has your spouse/partner) attended a school event such as a play or sports game this school year?
  - 1. YES 2. NO 8. DK 9. RF
- **I08**. Have you (or has your spouse/partner) attended a PTA meeting, a meeting with the principal or other school meeting this school year?
  - 1. YES 2. NO
  - 8. DK
  - 9. RF

- In the past month, how many hours, if any, have you volunteered at [FOCAL CHILD'S NAME]'s school ?
  (PROBE: Your best estimate is fine)
  <0-96>
  97. 97 OR MORE
  98. DK
  99. RF
- How many parents do you know whose children attend [FOCAL CHILD'S NAME]'s school ?
  (PROBE: Your best estimate is fine)
  <0-96>
  97. 97 OR MORE
  98. DK
  99. RF
- I11. Thinking about the past month, how often did [FOCAL CHILD'S NAME] arrive late to school? Would you say ....
  - all of the time
     most of the time
     some of the time
     or hardly ever or never?
     DK
     RF
- I12. How often did [FOCAL CHILD'S NAME] skip school, cut classes, or refuse to go to school in the past month? Would you say...
  - all of the time
     most of the time
     some of the time
     or hardly ever or never?
     DK
     RF
- **I13**. Has [FOCAL CHILD'S NAME] ever failed or repeated a grade?
  - 1. YES 2. NO 8. DK 9. RF

- I14. Has (he/she) ever been suspended from school, even for one day this school year? You should count in-school suspensions too.
  - 1. YES 2. NO 8. DK
  - 9. RF
- I15. Did (he/she) receive any D's or F's on (his/her) last report card?
  - 1. YES 2. NO 8. DK 9. RF
- **I16**. Please tell me how strongly you agree or disagree with each statement about your child's school.

My child's school is safe. Do you.. (READ LIST) 1. strongly agree 2. somewhat agree 3. somewhat disagree 4. or strongly disagree? 8. DK

- 9. RF
- **I17**. My child has trouble getting along with teachers. Do you...

(READ LIST)

- 1. strongly agree
- 2. somewhat agree
- 3. somewhat disagree
- 4. or strongly disagree?
- 8. DK
- 9. RF

### IF FCS3=5 SKIP TO J00

# >YRS\_F<: IF FOCAL CHILD IS 10 YEARS OLD OR YOUNGER, THEN YRS\_F= (SINCE KINDERGARTEN); IF FOCAL CHILD IS 11-18 YEARS OLD THEN YRS\_F=(IN THE LAST 5 YEARS)

**I18**. How many times has [FOCAL CHILD'S NAME] changed schools [YRS\_F] ? Please do not count times when your child had to change schools to go to the next grade level, such as going from elementary to middle school or from middle school to high school.

<0-96> 97. 97 or more 98. DK [SKIP TO J00] 99. RF [SKIP TO J00]

### ASK FOLLOWING IF I18>=1, ELSE SKIP TO J00

- **I18a\_1-** Why? Was it because of...(READ LIST...ACCEPT MULTIPLE RESPONSE)
- **I18a\_2.** 1. Moving,
  - 2. Problems in school, or
  - 3. TOO EXPENSIVE
  - 4. WANT ALL CHILDREN IN SAME SCHOOL
  - 5. BETTER EDUCATION/SCHOOL
  - 7. Something Else [SPECIFY] (Verbatim stored in variable iu18a\_)\*\*
  - 8. (VOL) DON'T KNOW
  - 9. (VOL) REFUSED

#### 2002 NYC SOCIAL INDICATORS SURVEY

### J. TUITION EXPENSES

THIS SECTION REFERS TO ALL CHILDREN IN FAMILY 5-17 YEARS OLD. ASSUMES THAT INTERVIEW WILL BE CONDUCTED DURING 2001/2002 SCHOOL YEAR.

- **J00**. CATI VARIABLE: number of children in household age 5-17
- **J01**. [IF J00 = 0 SKIP TO K00] [IF J00=1 AND I01=2 SKIP TO K00]

How many of your children attended a public school this school year (September 2001-June 2002)?

[THE RESPONDENT SHOULD HAVE (J00) CHILDREN 5 YEARS OR OLDER.]

<0-7> 8. DK 9. RF

IF ALL CHILDREN 5 YEARS OR OLDER GO TO PUBLIC SCHOOL, SKIP TO K00, ELSE ASK J02

**J02**. How many of your children attended a private school?

<0>	NONE	
<1-7>		
8.	DK	[SKIP TO K00]
9.	RF	[SKIP TO K00]

### IF NO CHILDREN ARE IN PRIVATE SCHOOL, SKIP TO K00

The next questions are about any tuition expenses for all of your children under 18 who attended private school from September 2001 to present. School would include kindergarten through 12th grade.

**J03**. In this school year (that is, during 2001 and 2002), did you receive any help from family members or friends to pay for your (child/children)'s school tuition? (IF NECESSARY: I am interested in help you may have received from individuals -- do not include scholarships or other types of assistance from organizations such as government programs or churches.)

1. YES

2. NO

8. DK

9. RF

**J04**. In this school year, did the school charge you lower or no tuition based on your income, or provide some type of scholarship or grant?

1. YES 2. NO 8. DK 9. RF

**J05**. In this school year, did you receive any assistance outside the school, such as school voucher(s) from the government; or scholarships or grants from a program or organization?

1. YES 2. NO [SKIP TO J07] 8. DK [SKIP TO J07] 9. RF [SKIP TO J07]

- **J06**. Was this aid from...
  - 1. a government program
  - 2. a religious program
  - 3. a community program
  - 4. or from some other source? [specify] (Verbatim stored in variable j06\_\_)\*\*
  - 8. DK
  - 9. RF

**J07**. So far I have been asking about what others are paying. Now, I'd like to know how much of the school tuition was left for you (and your spouse/partner) to pay. In this school year (September 2001 to Present), how much did you pay in total?

<0> Paid nothing <10-999999> 8. DK 9. RF

>JCOR\_<CONSISTENCY CHECK. IF PAID NOTHING (J07=0) AND NO OTHER SOURCES OF PAYMENT IDENTIFIED (J03=2 and J04=2 and J05=2), VERIFY AND ENTER CORRECTION.

You mentioned that you did not pay anything yourself, did not receive help from family or friends, the school did not charge less, you did not receive vouchers, and did not receive any other type of assistance from outside of the school. Please clarify. [INTERVIEWER ENTER RESPONSE BELOW] (Verbatim stored in variable jcor\_)\*\*

#### 2002 NYC SOCIAL INDICATORS SURVEY

### K. CHILD CARE USE

### THIS SECTION REFERS TO ALL CHILDREN IN FAMILY 12 YEARS OR YOUNGER.

K00<. CATI variable: number of children age 12 or under</li>
 K0A
 CATI variable: number of children under age 6
 K0B
 CATI variable: number of children between 5 and 12
 IF NUMBER OF CHILDREN IN FAMILY 12 YEARS OR YOUNGER IS ZERO SKIP TO M01

These next questions are about child care. I want to ask you about different types of child care you may have used this year, including babysitting, family day care and centers or schools for any of your children age 12 or under.

**K01**. In the past 12 months, did you ever use family or friends to babysit for your [K00] (child/ren) (age 12 or under) when you (or your spouse/partner) were not with them?

1. YES 2. NO 8. DK 9. RF

**K02**. In the past 12 months, did you ever use a nanny or babysitter other than a family member or friend to care for your [fill K00] (child/ren) (age 12 or under)? Please don't include private day care homes where a person cares for a group of children in her home.

1. YES 2. NO 8. DK 9. RF K03. In the past 12 months, did you ever use a private day care home or a <u>private</u> day care center, child care center, preschool, nursery school or after-school program for your [fill K00] (child/ren) (age 12 or under) ? Please don't include <u>public</u> preschool programs like Head Start or public Pre-K or public school programs.

[IF NECESSARY: By day care home I mean child care that is care provided by someone other than your family members or friends to a <u>group</u> of children in her home.]

1. YES

- 2. NO
- 8. DK
- 9. RF

### [IF ANY CHILDREN UNDER 6 ASK THE FOLLOWING ELSE SKIP TO K05]

**K04**. In the past 12 months, did your [K0A] (child/ren) under age 6 ever attend a <u>public</u> preschool, public Pre-K or early intervention program?

1. YES 2. NO [SKIP TO K05] 8. DK [SKIP TO K05] 9. RF [SKIP TO K05]

- K04a\_1- Which program was that? [ALLOW 3 RESPONSES DO NOT READ
- K04a\_3. CATEGORIES]

HEAD START
 PRE-K OR PRE-KINDERGARTEN
 EARLY INTERVENTION
 OTHER (SPECIFY) (Verbatim stored in variable Ku04a\_)\*\*
 DK
 RF

[IF ANY CHILDREN BETWEEN 5 AND 12 ASK THE FOLLOWING ELSE SKIP TO INSTRUCTIONS BEFORE QUESTION K06]

**K05**. In the past 12 months, did your [K0B] (child/ren) (between ages 5 and 12) ever attend an after-school program at a public school or other public program?

1. YES 2. NO [SKIP TO INSTRUCTIONS BEFORE K06]

- 8. DK [SKIP TO INSTRUCTIONS BEFORE K06] 9. RF [SKIP TO INSTRUCTIONS BEFORE K06]
  - 53

**K05a\_1-** What kind of program(s) was that? [MULTIPUNCH] **K05a\_2.** 

- 1. An extended-day child care program at school
- 2. An after-school club, sport, or other activity at the child's school
- 3. A club, sport or other activity at someplace other than the child's school, or
- 4. Something else?
- 8. DK
- 9. RF

### IF NO USE OF ANY FORMS OF CHILD CARE (K01 >= 2 AND K02 = >2 AND K03 >= 2 AND K04 >= 2 AND K05> = 2) SKIP TO L23, ELSE CONTINUE

[ASK FOLLOWING IF ANY BABYSITTING BY FRIENDS AND RELATIVES IN PRIOR YEAR (K01=1), ELSE SKIP TO INSTRUCTIONS BEFORE K07]

[ASK FOLLOWING IF MORE THAN 1 CHILD UNDER 12, ELSE SKIP TO K07]

**K06**. During the last 12 months, for how many of your [K00] children under age 12 did you use family and friends to babysit at least once per week?

<1-9> 97. OTHER (SPECIFY) (Verbatim stored in variable K06o\_\_)\*\* 98. DK 99. RF

[ASK FOLLOWING IF ANY BABYSITTING, NANNIES, CARE BY OTHER THAN FRIENDS AND RELATIVES IN PRIOR YEAR (K02=1), ELSE SKIP TO INSTRUCTION BEFORE K08]

[ASK FOLLOWING IF MORE THAN 1 CHILD UNDER 12, ELSE SKIP TO K08]

**K07**. During the last 12 months, for how many of your [K00] children under 12 did you use a nanny or babysitter other than family or friends to babysit at least once per week?

<1-9> 97. OTHER (SPECIFY) (Verbatim stored in variable K07o\_\_)\*\* 98. DK 99. RF

[ASK FOLLOWING IF ANY USE OF PRIVATE DAY CARE HOMES, CHILD CARE CENTERS IN PRIOR YEAR (K03=1), ELSE SKIP TO INSTRUCTIONS BEFORE K09]

### [ASK FOLLOWING IF MORE THAN 1 CHILD UNDER 12, ELSE SKIP TO K09]

**K08**. During the last 12 months, for how many of your [K00] children under 12 did you use a private day care home, center or program at least once per week?

<1-9> 97. OTHER (SPECIFY) (Verbatim stored in variable K080\_)\*\* 98. DK 99. RF

[ASK FOLLOWING IF ANY USE OF PUBLIC PRESCHOOLS IN PRIOR YEAR (K04=1), ELSE SKIP TO INSTRUCTIONS BEFORE K10]

[ASK FOLLOWING IF MORE THAN 1 CHILD UNDER 6 YEARS OLD, ELSE SKIP TO K10]

**K09**. How many of your [K0A] children under six did you send to a public preschool, pre-K or similar program in the last 12 months?

> <1-5> 7. OTHER (SPECIFY) 8. DK 9. RF

[ASK FOLLOWING QUESTIONS IF ANY USE OF PUBLIC AFTER-SCHOOL CARE IN PRIOR YEAR (K05=1), ELSE SKIP TO L01]

[ASK FOLLOWING IF MORE THAN 1 CHILD BETWEEN 5 AND 12, ELSE SKIP TO L01]

**K10**. How many of your [K0B] children between 5 and 12 years of age did you send to a public after-school program in the last 12 months?

<1-9> 97. OTHER (SPECIFY) (Verbatim stored in variable K10o\_\_)\*\* 98. DK 99. RF

### L. CHILD CARE EXPENSES

### IF NO CHILD CARE (K01>=2 AND K02>=2 AND K03>=2 AND K04>=2 AND K05>=2) SKIP TO L23

Child care can be a big expense for families. To help us understand how New York families meet this expense, I will be asking you some questions about what you paid for child care and also any type of assistance you may have received, either from family or from various programs.

### [ASK FOLLOWING IF RESPONDENT USED FAMILY/FRIENDS TO BABYSIT (K01=1) ELSE SKIP TO INSTRUCTIONS BEFORE L05]

You told me that you sometimes used family or friends to babysit in the last 12 months.

**L01**. Did these friends or family members ever charge anything to you directly for babysitting? Please include charges even if you were later reimbursed.

1. YES [SKIP TO L04] 2. NO 8. DK 9. RF

- **L02**. Were they paid by someone or someplace else? Do not include payments, reimbursements or vouchers that went directly to you.
  - 1. YES2. NO[SKIP TO INSTRUCTIONS BEFORE L05]8. DK[SKIP TO INSTRUCTIONS BEFORE L05]9. RF[SKIP TO INSTRUCTIONS BEFORE L05]
- **L03**. Who paid them? [READ IF NECESSARY]
  - 1. WELFARE OR OFFICE OF EMPLOYMENT SERVICES,
  - 2. NEW YORK CITY AGENCY FOR CHILD DEVELOPMENT
  - 3. ANOTHER GOVERNMENT PROGRAM
  - 4. A COMMUNITY OR RELIGIOUS GROUP,
  - 5. BY FAMILY OR FRIENDS, OR
  - 7. SOMEONE/SOMEWHERE ELSE ? (SPECIFY)[SPECIFY] (verbatim: L03\_)\*\*
  - 8. DK
  - 9. RF
- $\rightarrow$  [SKIP TO instructions before L05]

- **L04**. Were these friends or family members also paid or reimbursed directly by any person or program? Do not include payments, reimbursements or vouchers that went directly to you.
  - 1. YES
  - 2. NO [SKIP TO INSTRUCTIONS BEFORE L05]
  - 8. DK [SKIP TO INSTRUCTIONS BEFORE L05]
  - 9. RF [SKIP TO INSTRUCTIONS BEFORE L05]
- **L04\_a**. Who paid them? [READ IF NECESSARY]
  - WELFARE OR OFFICE OF EMPLOYMENT SERVICES,
     NEW YORK CITY AGENCY FOR CHILD DEVELOPMENT,
     ANOTHER GOVERNMENT PROGRAM,
     A COMMUNITY OR RELIGIOUS GROUP,
     BY FAMILY OR FRIENDS, OR
     SOMEONE/SOMEWHERE ELSE ? (SPECIFY)[SPECIFY] (verbatim: L04a\_)\*\*
     DK
     RF

# [ASK FOLLOWING IF ANY NANNIES/SITTERS [K02=1], OTHERWISE SKIP TO INSTRUCTION BEFORE L10]

You told me that you sometimes used babysitters or nannies other than family or friends in the past 12 months.

- **L05**. Did these sitters or nannies charge anything to you directly? Please include charges even if you were later reimbursed.
  - 1. YES [SKIP TO L08] 2. NO 8. DK 9. RF
- **L06**. Were they paid by someone or someplace else? Do not include payments, reimbursements or vouchers that went directly to you.
  - YES
     NO [SKIP TO INSTRUCTIONS BEFORE L10]
     DK [SKIP TO INSTRUCTIONS BEFORE L10]
     RF [SKIP TO INSTRUCTIONS BEFORE L10]

**L07**. Who paid them? [READ IF NECESSARY]

WELFARE OR OFFICE OF EMPLOYMENT SERVICES,
 NEW YORK CITY AGENCY FOR CHILD DEVELOPMENT,
 ANOTHER GOVERNMENT PROGRAM,
 A COMMUNITY OR RELIGIOUS GROUP,
 BY FAMILY OR FRIENDS, OR
 SOMEONE/SOMEWHERE ELSE ? (SPECIFY)[SPECIFY]\*\*
 DK
 RF
 [SKIP TO INSTRUCTIONS BEFORE L10]

- **L08**. Were these sitters or nannies also paid or reimbursed directly by any person or program? Do not include payments, reimbursements or vouchers that went directly to you.
  - 1. YES
  - 2. NO [SKIP TO INSTRUCTIONS BEFORE L10]
  - 8. DK [SKIP TO INSTRUCTIONS BEFORE L10]
  - 9. RF [SKIP TO INSTRUCTIONS BEFORE L10]
- **L09**. Who paid them? [READ IF NECESSARY]
  - 1. WELFARE OR OFFICE OF EMPLOYMENT SERVICES,
  - 2. NEW YORK CITY AGENCY FOR CHILD DEVELOPMENT,
  - 3. ANOTHER GOVERNMENT PROGRAM,
  - 4. A COMMUNITY OR RELIGIOUS GROUP,
  - 5. BY FAMILY OR FRIENDS, OR
  - 7. SOMEONE OR SOMEWHERE ELSE ? (SPECIFY)[SPECIFY]
  - 8. DK
  - 9. RF

### IF RESPONDENT USED ANY PRIVATE FAMILY DAY CARE, CHILD CARE, PRESCHOOL, NURSERY SCHOOL OR AFTER-SCHOOL PROGRAMS (K03=1), ASK FOLLOWING, OTHERWISE SKIP TO INSTRUCTION BEFORE L15

You told me that you sometimes used private day care homes or centers in the past 12 months

- **L10.** Did this/these place(s) charge anything to you directly? Please include charges even if you were later reimbursed.
  - 1. YES [SKIP TO L13] 2. NO 8. DK 9. RF
- L11. Were they paid by someone or someplace else? Do not include payments, reimbursements or vouchers that went directly to you.
  - 1. YES
  - 2. NO [SKIP TO instructions before L15]
  - 8. DK [SKIP TO instructions before L15]
  - 9. RF [SKIP TO instructions before L15]
- L12. Who paid them? [READ IF NECESSARY]
  - 1. WELFARE OR OFFICE OF EMPLOYMENT SERVICES,
  - 2. NEW YORK CITY AGENCY FOR CHILD DEVELOPMENT,
  - 3. ANOTHER GOVERNMENT PROGRAM,
  - 4. A COMMUNITY OR RELIGIOUS GROUP,
  - 5. BY FAMILY OR FRIENDS, OR
  - 7. SOMEONE/SOMEWHERE ELSE ? (SPECIFY)[SPECIFY] (verbatim: L12\_)\*\*
  - 8. DK
  - 9. RF
- $\rightarrow$  [SKIP TO instructions before L15]
- L13. Were these places also paid or reimbursed directly by any person or program? [IF NECESSARY: Do not include payments, reimbursements or vouchers that went directly to you.]
  - 1. YES
  - 2. NO [SKIP TO INSTRUCTIONS BEFORE L15]
  - 8. DK [SKIP TO INSTRUCTIONS BEFORE L15]
  - 9. RF [SKIP TO INSTRUCTIONS BEFORE L15]

### L14. Who paid them? [READ IF NECESSARY]

WELFARE OR OFFICE OF EMPLOYMENT SERVICES,
 NEW YORK CITY AGENCY FOR CHILD DEVELOPMENT
 ANOTHER GOVERNMENT PROGRAM
 A COMMUNITY OR RELIGIOUS GROUP,
 BY FAMILY OR FRIENDS, OR
 SOMEONE/SOMEWHERE ELSE ? (SPECIFY)[SPECIFY] (verbatim: L14\_)\*\*
 DK 9. RF

# IF RESPONDENT USED ANY PUBLIC PRESCHOOL (HEAD START, PRE-K, OTHER) (K04=1) ASK FOLLOWING, OTHERWISE SKIP TO INSTRUCTIONS BEFORE L16

You told me that you sometimes sent your [K0A] (child/ren) under 6 to a public Head Start, Pre-K or other public preschool program in the last 12 months.

L15. Did this/these place(s) charge anything to you directly? Please include any copayments you may have made.

1. YES 2. NO

- 8. DK
- 9. RF

### IF RESPONDENT USED ANY PUBLIC AFTER-SCHOOL PROGRAMS (IF K05 = 1) ASK FOLLOWING, ELSE SKIP TO INSTRUCTIONS BEFORE L16\_A1

You told me that your [K0B] (child/ren) between ages 5 and 12 attended a public after school program in the last 12 months.

- **L16**. Did this/these place(s) charge anything to you directly? Please include any co-payments you made or payments that were later reimbursed.
  - 1. YES 2. NO 8. DK 9. RF

### **IF NO CHARGE TO PARENT FROM ALL SOURCES OF CHILD CARE USED ASK L16\_a1 ELSE SKIP TO L17:** IF(L01<>1 Yes and L05<>1 Yes and L10<>1 Yes and L15<>1 Yes and L16<>1 Yes) ASK L16 a1 ELSE SKIP TO L17

- **L16\_A1.** [VERIFY] So you didn't have any child care expenses in the last 12 months, is that correct?
  - 1. YES
  - 2. NO (Clarify) [SPECIFY] (verbatim: L16ao\_)\*\*
  - 8. DK
  - 9. RF
  - $\rightarrow$  [SKIP TO M01]
- L17. In the past 12 months, did you receive any cash assistance directly from family members or friends to help pay for your child care costs?[IF NECESSARY: Please don't include assistance that went directly to the child care providers.]
  - 1. YES
  - 2. NO
  - 8. DK
  - 9. RF
- **L18**. In the past year, did you receive any other type of assistance for child care in the form of cash, a voucher or certificate, or reimbursement?
  - 1. YES
  - 2. NO [SKIP TO L20]
  - 8. DK [SKIP TO L20]
  - 9. RF [SKIP TO L20]
- **L19**. Who provided this assistance? [READ IF NECESSARY]
  - 1. WELFARE OR OFFICE OF EMPLOYMENT SERVICES,
  - 2. NEW YORK CITY AGENCY FOR CHILD DEVELOPMENT,
  - 3. ANOTHER GOVERNMENT PROGRAM,
  - 4. A COMMUNITY OR RELIGIOUS GROUP,
  - 5. BY FAMILY OR FRIENDS, OR
  - 7. SOMEONE/SOMEWHERE ELSE ? (SPECIFY)[SPECIFY] (verbatim: L19\_)\*\*
  - 8. DK
  - 9. RF

So far, I have been asking about what others have paid. Now I'd like to know how much of the child care costs was left for you (and your spouse/partner) to pay.

# \*\*IF PAID ANY CHILD CARE PROVIDER (IF L01=1 OR L05=1 OR L10=1 OR IF L15=1 or L16=1) ASK FOLLOWING, OTHERWISE SKIP TO INSTRUCTION ABOVE L23

L20. In the past 12 months, how much did you (and your spouse/partner) pay for all child care arrangements and programs used for your [K00] children age 12 or under? [IF NECESSARY, SAY: If it is easier, you can tell us what you paid in weekly, bi-weekly or monthly?]

(PROBE IF AMOUNT RESPONDENT GIVES IS WEEKLY, BI-WEEKLY, MONTHLY OR YEARLY)

1. gave WEEKLY

2. gave BI-WEEKLY	[SKIP TO L20_b]
3. gave MONTHLY	[SKIP TO L20_c]
4. gave YEARLY	[SKIP TO L20_d]
8. DK	[SKIP TO L21]
9. RF	[SKIP TO L21]

L20\_a. (ENTER AMOUNT PAID PER WEEK)

<1-1000>

 $\rightarrow$  [SKIP TO L20\_e]

L20\_b. (ENTER AMOUNT PAID EVERY TWO WEEKS)

<1-2000>

 $\rightarrow$  [SKIP TO L20\_e]

L20\_c. (ENTER AMOUNT PAID PER MONTH)

<1-3000>

 $\rightarrow$  [SKIP TO L20\_e]

L20\_d. (ENTER AMOUNT PAID PER YEAR)

<1-50000>

 $\rightarrow$  [SKIP TO L20\_f]

L20\_e. In approximately how many months did you pay this much to this person/these people or places in the past 12 months?

<1-12>	
98. DK	[SKIP TO L21]
99. RF	[SKIP TO L21]

# CALCULATE TOTAL AMOUNT FOR THE PAST 12 MONTHS RECORDED IN PAYMENT UNITS

L20\_f. TOTAL AMOUNT FOR THE PAST 12 MONTHS RECORDED IN PAYMENT UNITS

<\$100-50000>

- **L21**. When I asked you about all of your child care expenses, did you include expenses during the past summer?
  - 1. YES [SKIP TO L23]
  - 2. NO
  - 8. DK [SKIP TO L23]
  - 9. RF [SKIP TO L23]
- L22. About how much did you pay for child care last summer that you did not already tell me about?

<\$100 - 99999>

# L23 IS ASKED OF ALL HOUSEHOLDS WITH CHILDREN AGE 12 OR UNDER [K00 >0]

- L23. I have been asking you whether you got any help with child care in the last 12 months. Some parents try to get help with child care but do not succeed for one reason or another. THOSE WITH ASSISTANCE (L02=1 OR L04=1 OR L06=1 OR L08=1 OR L11=1 OR L13=1 OR L18=1): Not counting the assistance you have already told me about), Did you ever try to get help with child care in the past 12 months? (By help I mean either a place in a public or school-based program or help through some other government program.)
  - 1. YES
  - 2. NO [SKIP TO L26]
  - 8. DK [SKIP TO L26]
  - 9. RF [SKIP TO L26]

**L24.** Did you go directly to...

## (IF RESPONDENT OFFERS 2 OR MORE, PROBE FOR MOST RECENT EXPERIENCE)

- 1. your local pre-kindergarten program
- 2. your neighborhood Head Start program
- 3. an after-school program
- 4. an ACD or other child care center in your community
- 5. an ACD Resource center in your borough
- 6. a child care center or network on your college campus
- 7. your welfare (PA or Family Assistance or OES) caseworker
- 8. a child care assistance specialist at HRA
- 9. somewhere or someone else?
- 98. DK
- 99. RF
- L25. What happened?
  - 1. never completed the application
  - 2. was denied or turned down
  - 3. got assistance
  - 4. was referred to another office for processing
  - 5. never heard back
  - 8. DK
  - 9. RF

# IF ANY CHILD CARE (K01=1 OR K02=1 OR K03=1 OR K04=2 OR K05=1) ASK L26, ELSE SKIP TO M01

- **L26**. Did you (or your spouse/partner) claim (or are you planning to claim) the child and dependent care tax credit this year, that is, when filing your 2001 taxes?
  - 1. YES
  - 2. NO
  - 8. DK
  - 9. RF
- **L27**. Did you (or your spouse/partner) use a flexible spending account at work or have pre-tax money deducted from your salary to pay for child care costs in 2001?
  - 1. YES
  - 2. NO
  - 8. DK
  - 9. RF

#### 2002 NYC SOCIAL INDICATORS SURVEY

### M. HOUSING

M01. Now I have some questions about your housing. Do you live in...

an apartment
 a coop, a condo
 or a house?
 OTHER (SPECIFY) (VOLUNTEERED) (Verbatim stored in variable M01\_\_)\*\*
 DK
 RF

IF M01=1 THEN ASK M02, ELSE SKIP TO M03

M02. Is the building owned by a public housing authority?

1. YES 2. NO 8. DK 9. RF

M03. How many years have you been living there?

<0> less than 12 months <1-97> [SKIP TO M04] 98. DK [SKIP TO M04] 99. RF [SKIP TO M04]

M03\_a. How many months have you been living there?

Enter number of months:

<1-11> 98. DK 99. RF

M04. How many rooms are in this place? Do not include bathrooms but include the kitchen.

<1-20> 98. DK 99. RF

- **M05**. We would like to learn about the type of housing New Yorkers have. Would you describe the condition of your place as
  - 1. Very good 2. Pretty good
  - 3. Only fair4. Or poor?
  - 4. Of pt 8. DK
  - 9. RF
  - 9. RF
- M06. Does this place have any large holes in the floor or walls, exposed electrical wiring, broken windows, or water leaking in from the outside?
  - 1. YES 2. NO 8. DK 9. RF
- M07. In the past 12 months, were there more than three times when the toilet or heating was not working?
  - 1. YES 2. NO 8. DK 9. RF
- M08. Do you (and your spouse/partner) own, rent, or are you just staying there? [IF NECESSARY: Staying there means you are living with someone else but you don't pay rent to them or to a landlord.]

1. OWN	
2. RENT	[SKIP TO M19]
5. STAY THERE	[SKIP TO M25]
8. DK	[SKIP TO M19]
9. RF	[SKIP TO M19]

M09. In the following we are interested in the various types of support that families rely on to pay for their housing.

First, is there a mortgage, home equity loan, or similar loan on this place or is this place owned free and clear?

MORTGAGE, HOME EQUITY LOAN, ETC.
 OWNED FREE AND CLEAR [SKIP TO M15]
 DK
 RF

M10. In the past 12 months, did any person other than yourself (and your spouse/partner) pay anything toward the mortgage for this place? Please do not include government programs.

1. YES	
2. NO	[SKIP TO M12]
8. DK	[SKIP TO M12]
9. RF	[SKIP TO M12]

- M11. And is this person a...
  - 1. Family member
  - 2. Roommate
  - 3. Boarder
  - 4. Friend
  - 7. or Someone else? (specify) (Verbatim stored in variable M11\_)\*\*
  - 8. DK
  - 9. RF
- M12. In the past 12 months, did you have lower mortgage payments because of housing assistance from a government program, an employer, or from some other type of program or organization?

1. YES 2. NO [SKIP TO M14] 8. DK [SKIP TO M14] 9. RF [SKIP TO M14]

- M13. Was this assistance from a...
  - 1. Government program
  - 2. An employer
  - 3. A religious or community organization
  - 7. Or from some other source? (specify) (Verbatim stored in variable M13\_)\*\*
  - 8. DK
  - 9. RF
- M14. So far, I have been asking about what others have paid. Now I'd like to know how much of the mortgage was left for you (and your spouse/partner) to pay. How much of the mortgage was paid by you (and your spouse/partner) in the past 12 months?
  - 1. gave WEEKLY2. gave BI-WEEKLY[SKIP TO M14\_b]3. gave MONTHLY[SKIP TO M14\_c]4. gave YEARLY[SKIP TO M14\_d]5. No payments[SKIP TO M15]8. DK[SKIP TO M15]9. RF[SKIP TO M15]
- M14\_a. (ENTER AMOUNT PAID PER WEEK)

<1-10000>

 $\rightarrow$  [SKIP TO M14\_e]

M14\_b. (ENTER AMOUNT PAID EVERY TWO WEEKS)

<1-10000>

 $\rightarrow$  [SKIP TO M14\_e]

M14\_c. (ENTER AMOUNT PAID PER MONTH)

<1-100000>

 $\rightarrow$  [SKIP TO M14\_e]

M14\_d. (ENTER AMOUNT PAID PER YEAR)

<1-1000000>

 $\rightarrow$  [SKIP TO M14\_f]

M14\_e. For approximately how many months did you have mortgage payments in the past 12 months?

<1-12>	
98. DK	[SKIP TO M15]
99. RF	[SKIP TO M15]

CALCULATE TOTAL AMOUNT FOR THE PAST 12 MONTHS RECORDED IN PAYMENT UNITS

M14\_f. TOTAL AMOUNT FOR THE PAST 12 MONTHS RECORDED IN PAYMENT UNITS

- M14\_g. [IF NECESSARY FOR YEARLY AMOUNT:] That means you would have paid, in total, \$[fill M14\_f] in the past 12 months? Does that sound right?
  - YES [SKIP TO INSTRUCTIONS BEFORE M15]
     NO
     DK
     RF
- M14\_h. What was the actual amount you (and your spouse/partner) would have paid in the past 12 months? Your best estimate is fine.

ENTER DOLLAR AMOUNT (RANGE:\$0-\$1,000,000 DK/REF=99)

#### [IF RESPONDENT LIVES IN COOP/CONDO (M01 = 2) ASK M15, ELSE SKIP TO M16]

**M15**. (IF M09=1 "In addition to the mortgage") Do you have monthly maintenance fees (for the coop/condo/home)?

1. YES 2. NO 8. DK 9. RF

**M16**. What is the current value of this place; that is, in your opinion, how much would it currently sell for if it were on the market? (Your best estimate is fine.)

<0> No value 500. \$500 or less <501-9999999> 98. DK 99. RF

### IF RESPONDENT DOESN'T HAVE MORTGAGE (M09 <> 1) SKIP TO M29

M17. And how much do you owe on the mortgage?

<500> \$500 or less <501-9999999> 98. DK 99. RF

**M18**. Did you (or your spouse/partner) deduct (or are planning to deduct) the interest from your mortgage on your income taxes in 2001?

1. YES 2. NO 8. DK 9. RF

 $\rightarrow$  [SKIP TO M29]

M19. In the following we are interested in the various types of support that families rely on to pay for their housing.

In the past 12 months, did any person other than yourself (and your spouse/partner) pay anything toward the rent on this place? (IF NECESSARY: I would like to know about people who helped out -- don't include assistance that you got from a government or other type of program.)

1. YES 2. NO [SKIP TO M21] 8. DK [SKIP TO M21] 9. RF [SKIP TO M21]

M20. And is this person a...

- 1. Family member(s)
- 2. Roommate(s)
- 3. Boarder(s)
- 4. Friend(s)
- 7. Or someone else? (specify) (Verbatim stored in variable M20\_)\*\*
- 8. DK
- 9. RF

M21. In the past 12 months, did you (and your spouse/partner) have lower rent or no rent because of assistance from a housing program from the government, an employer, or from some other type of organization? Please <u>do not</u> include public housing or any shelter allowance from welfare or public assistance.

(IF NECESSARY: A shelter allowance would be the part of your welfare grant that was paid directly to your landlord instead of to you.)

1. YES 2. NO [SKIP TO M23] 8. DK [SKIP TO M23] 9. RF [SKIP TO M23]

- M22. What type of housing assistance is this? Is it...
  - 1. Section 8
  - 2. Jiggets Assistance from Welfare
  - 3. Employer assistance (include landlords)
  - 4. A private community or religious organization
  - 5. Or something else? [specify] (Verbatim stored in variable M22\_)\*\*
  - 8. DK
  - 9. RF
- M23. So far, I have been asking about what others have paid. Now I'd like to know how much of the rent was left for you (and your spouse/partner) to pay. How much rent did you (and your spouse/partner) pay in the past 12 months?

(PROBE IF AMOUNT RESPONDENT GIVES IS WEEKLY, BI-WEEKLY, MONTHLY OR YEARLY)

1. gave WEEKLY	
2. gave BI-WEEKLY	[SKIP TO M23_b]
3. gave MONTHLY	[SKIP TO M23_c]
4. gave YEARLY	[SKIP TO M23_d]
8. DK	[SKIP TO M24]
9. RF	[SKIP TO M24]

M23\_a. (ENTER AMOUNT PAID PER WEEK)

<10-10000>

 $\rightarrow$  [SKIP TO M23\_e]

M23\_b. (ENTER AMOUNT PAID EVERY TWO WEEKS)

<10-10000>

 $\rightarrow$  [SKIP TO M23\_e]

M23\_c. (ENTER AMOUNT PAID PER MONTH)

<10-100000>

 $\rightarrow$  [SKIP TO M23\_e]

M23\_d. (ENTER AMOUNT PAID PER YEAR)

<10-1000000>

 $\rightarrow$  [SKIP TO M23\_f]

M23\_e. For approximately how many months did you (and your spouse/partner) pay this amount in the past 12 months?

<1-12> 98. DK [SKIP TO M24] 99. RF [SKIP TO M24]

CALCULATE TOTAL AMOUNT IN THE PAST 12 MONTHS RECORDED IN PAYMENT UNITS

- M23\_f. TOTAL AMOUNT FOR THE PAST 12 MONTHS RECORDED IN PAYMENT UNITS
- M23\_g. [IF NECESSARY FOR YEARLY AMOUNT:] That means you paid, in total, \$[fill M23\_f] in the past 12 months? Does that sound right?
  - 1. YES [SKIP TO M24] 2. NO 8. DK 9. RF
- M23\_h. What was the actual amount you would have paid in the past 12 months? Your best estimate is fine.

ENTER DOLLAR AMOUNT (RANGE:\$0-\$1,000,000 DK/REF=99)

M24. Is this place under rent control or rent stabilization?

1. YES 2. NO 8. DK

9. RF

 $\rightarrow$  [SKIP TO M29]

M25. In the following, we are interested in the various types of support that families rely on to pay for their housing.

Did you (and your spouse/partner) pay anything in the past 12 months for housing? (Note: the question refers to the past 12 months and not right now.)

1. YES	
2. NO	[SKIP TO M27]
7. OTHER (SPECIFY)	[SKIP TO M27]
8. DK	[SKIP TO M27]
9. RF	[SKIP TO M27]

**M26**. How much did you (and your spouse/partner) have to pay for housing in the past 12 months?

(PROBE IF AMOUNT RESPONDENT GIVES IS WEEKLY, BI-WEEKLY, MONTHLY OR YEARLY)

1. gave WEEKLY	
2. gave BI-WEEKLY	[SKIP TO M26_b]
3. gave MONTHLY	[SKIP TO M26_c]
4. gave YEARLY	[SKIP TO M26_d]
8. DK	[SKIP TO M27]
9. RF	[SKIP TO M27]

M26\_a. (ENTER AMOUNT PAID PER WEEK)

<1-10000>

 $\rightarrow$  [SKIP TO M26\_e]

M26\_b. (ENTER AMOUNT PAID EVERY TWO WEEKS)

<1-10000>

 $\rightarrow$  [SKIP TO M26\_e]

M26\_c. (ENTER AMOUNT PAID PER MONTH)

<1-100000>

 $\rightarrow$  [SKIP TO M26\_e]

M26\_d. (ENTER AMOUNT PAID PER YEAR)

<1-1000000>

 $\rightarrow$  [SKIP TO M26\_f]

M26\_e. For approximately how many months did you (and your spouse/partner) pay this amount in the past 12 months?

<1-12> 98. DK [SKIP TO M27] 99. RF [SKIP TO M27]

CALCULATE TOTAL AMOUNT IN THE PAST 12 MONTHS RECORDED IN PAYMENT UNITS

M26\_f. TOTAL AMOUNT FOR THE PAST 12 MONTHS RECORDED IN PAYMENT UNITS

- M26\_g. [if necessary for yearly amount:] That means you (and your spouse/partner) paid, in total, \$[fill M26\_f] in the past 12 months? Does that sound right?
  - 1. YES [SKIP TO M27] 2. NO 8. DK 9. RF
- M26\_h. What was the actual amount you (and your spouse/partner) paid in total in the past 12 months? Your best estimate is fine.

ENTER DOLLAR AMOUNT (RANGE:\$0-\$1,000,000 DK/REF=99)

- M27. Where are you (and your spouse/partner) staying now?
  - 1. With family member
  - 2. With friend
  - 3. With other individual
  - 7. Other (not staying w/anyone): specify[specify] (verbatim: M27\_)[SKIP TO M29]

[SKIP TO M29]

[SKIP TO M29]

- 8. DK
- 9. RF
- M28. About how long have you been staying there?
  - specify [SPECIFY] (Verbatim stored in variable M280\_)\*\*
     DK
  - 3. RF
- M29. During the past 12 months, was there ever a time when you had no telephone service at all? (If you moved, please think about all the places you were living at in the past 12 months.)

1. YES 2. NO [SKIP TO M30] 8. DK [SKIP TO M30] 9. RF [SKIP TO M30]

- M29\_a. For how many months or weeks, altogether, did you have no telephone service in the past 12 months? Was it...
  - 1. less than a week
  - 2. one week to less than a month
  - 3. 1-3 months
  - 4. 4-6 months
  - 5. 7-12 months, or
  - 6. more than 12 months?
  - 8. DK
  - 9. RF

M30. Do you have any other telephone numbers at your place in addition to the number of the phone you are using right now? (Do not include cell phones or numbers used only for faxes or computers.)

(IF YES: Just to make sure, are the telephone numbers different from each other?)

1. YES 2. NO [SKIP TO N01] 8. DK [SKIP TO N01] 9. RF [SKIP TO N01]

M30\_a. How many telephone numbers (not number of telephones) do you have in your home, altogether? Again, please DO NOT include cell phones or numbers used only for faxes or computers in your response.

<2-10> 98. DK 99. RF

# N. EMPLOYMENT AND ASSETS

- **N01**. These next questions are about your work experience. Currently, are you doing any work at a job or business for pay either full time or part time? Please include any self-employment.
  - 1. YES 2. NO 8. DK 9. RF

N02. Did you work at a job or business at any time last year, that is in 2001?

- **N02\_a**. Some people who don't have a regular job do odd jobs for pay like babysitting or yard work. Did you ever do any odd jobs for pay in 2001?
  - 1. YES 2. NO 8. DK
  - 9. RF

# IF THERE IS NO SPOUSE/PARTNER (B02 > 2) SKIP TO INSTRUCTIONS BEFORE N05

- **N03**. Currently, is your (spouse/partner) doing any work at a job or business for pay either full time or part time? Please include any self-employment.
  - 1. YES 2. NO
  - 8. DK
  - 9. RF

N04. Did your (spouse/partner) work at a job or business at any time last year, that is in 2001?

**N04\_a**. Some people who don't have a regular job do odd jobs for pay - like babysitting or yard work. Did your (spouse/partner) ever do any odd jobs for pay in 2001?

1. YES 2. NO 8. DK 9. RF

IF RESPONDENT WORKED LAST YEAR OR HAD ODD JOBS (N02=1 OR N02\_A=1) ASK N05, ELSE SKIP TO N07

**N05**. How many months did you work altogether in 2001? Include all types of employment including any self-employment.

<1-12> 98. DK 99. RF

N06. In 2001, how many hours did you usually work per week?
 PROBE: Your best estimate is fine.
 [IF R CANNOT GIVE EXACT HOURS, GET A RANGE AND CODE THE MIDDLE NUMBER]

<1-96> 97. 97 OR MORE 98. DK 99. RF If SPOUSE/partner worked last year or had odd jobs (N04=1 or N04\_a = 1) ask N07, else skip to instructions before N09. IF NO SPOUSE OR PARTNER (B02>2) SKIP TO INSTRUCTIONS BEFORE N09

**N07**. How many months did your (spouse/partner) work altogether in 2001? Include all types of employment including any self-employment.

<1-12> 98. DK 99. RF

N08. In 2001, how many hours did your (spouse/partner) usually work per week?
 PROBE: Your best estimate is fine.
 (IF R CANNOT GIVE EXACT HOURS, GET A RANGE AND CODE THE MIDDLE NUMBER.)

<1-96> 97. 97 OR MORE 98. DK 99. RF

# IF R HAD EARNINGS (N02=1 OR N02\_A=1) ASK THE FOLLOWING, ELSE SKIP TO INSTRUCTIONS BEFORE N19

**N09**. To see how people all over the region are doing, it is important to get a general range of earnings from all people we interview. How much did YOU yourself, not including any other household members earn in total for 2001 from all jobs and any self-employment? I'm interested in your best estimate of a total <u>before</u> deductions and taxes were taken out. Please include all income from <u>employment</u> only, such as salary, wages, tips, bonuses, overtime pay and commissions.

(PROBE IF AMOUNT RESPONDENT GIVES IS WEEKLY, BI-WEEKLY, MONTHLY OR YEARLY)

1. gave WEEKLY

- 2. gave BI-WEEKLY[SKIP TO N09\_b]3. gave MONTHLY[SKIP TO N09\_c]4. gave YEARLY[SKIP TO N09\_d]7. ranges[SKIP TO N10]
- 8. DK
   [SKIP TO N10]

   9. RF
   [SKIP TO N10]
- **N09\_a**. (ENTER AMOUNT PAID PER WEEK)

<\$50-\$10,000>

 $\rightarrow$  [SKIP TO N09\_e]

**N09\_b.** (ENTER AMOUNT PAID EVERY TWO WEEKS)

<\$100-\$100,000>

 $\rightarrow$  [SKIP TO N09\_e]

**N09\_c.** (ENTER AMOUNT PAID PER MONTH)

<\$200-\$100,000>

 $\rightarrow$  [SKIP TO N09\_e]

N09\_d. (ENTER AMOUNT PAID PER YEAR) (INTERVIEWER: ONE MILLION TO LESS THAN TEN MILLION: 7 DIGITS TEN MILLION UP TO 99 MILLION 8 DIGITS)

<\$500-\$10,000,000 >

 $\rightarrow$  [SKIP TO N09\_f]

**N09\_e**. For approximately how many months did you have earnings in 2001?

<1-12> 98. DK [SKIP TO N19] 99. RF [SKIP TO N19]

- CALCULATE TOTAL AMOUNT FOR THE PAST 12 MONTHS RECORDED IN PAYMENT UNITS
- N09\_f. TOTAL AMOUNT FOR THE PAST 12 MONTHS RECORDED IN PAYMENT UNITS
- **N09\_g**. [IF NECESSARY FOR YEARLY AMOUNT:] That means you were paid, in total, \$[N09\_f] in 2001? Does that sound right?

1. YES [SKIP TO N19] 2. NO 8. DK 9. RF

**N09\_h.** What were YOUR actual earnings in total in 2001? Your best estimate is fine.

ENTER DOLLAR AMOUNT\_\_\_\_(RANGE:\$500-\$10,000,000 DK/REF=99) **[SKIP TO N19]**  **N10**. All I need is the general range - I have some categories here.

Were your total earnings \$30,000 or more in 2001? [IF R GIVES EXACT AMOUNT HERE OR IN THE FOLLOWING RANGES CODE "OTHER RESPONSE" AND ENTER IN AMOUNT.]

1. YES 2. NO [SKIP TO N15] 7. OTHER [SKIP TO N18] 8. DK [SKIP TO N19] 9. RF [SKIP TO N19]

**N11**. Were your total earnings \$100,000 or more in 2001?

 1. YES
 [SKIP TO N19]

 2. NO
 .

 7. OTHER [SKIP TO N18]

 8. DK
 [SKIP TO N19]

 9. RF
 [SKIP TO N19]

**N12**. Were your total earnings \$60,000 or more in 2001?

- N13. Were your total earnings...
  - \$30,000 to under \$40,000
     \$40,000 to under \$50,000
     or \$50,000 to under \$60,000?
     OTHER [SKIP TO N18]
     DK
     RF
  - $\rightarrow$  [SKIP TO N19]

- N14. Were your total earnings...
  - \$60,000 to under \$70,000
     \$70,000 to under \$80,000
     \$80,000 to under \$90,000
     or \$90,000 to under \$100,000?
     OTHER [SKIP TO N18]
     DK
     RF
  - $\rightarrow$  [SKIP TO N19]
- **N15**. Were your total earnings \$15,000 or more in 2001?

1. YES 2. NO [SKIP TO N17] 7. OTHER [SKIP TO N18] 8. DK [SKIP TO N19] 9. RF [SKIP TO N19]

N16. Were your total earnings...

\$15,000 to under \$20,000
 \$20,000 to under \$25,000
 or \$25,000 to under \$30,000?
 OTHER [SKIP TO N18]
 DK
 RF

 $\rightarrow$  [SKIP TO N19]

N17. Were your total earnings...

1. under \$5,000 2. \$5,000 to under \$10,000 3. or \$10,000 to under \$15,000? 7. OTHER [SKIP TO N18] 8. DK 9. RF

 $\rightarrow$  [SKIP TO N19]

# **N18**. (INTERVIEWER: ENTER EARNINGS)

<100-99999999> 8. DK 9. RF

# IF SPOUSE/PARTNER HAD EARNINGS (N04=1 OR N04\_A=1) ASK THE FOLLOWING, ELSE SKIP TO N29

N19. Again, to see how people all over the region are doing, it is important to get a general range of <u>earnings</u> from all people we interview. How much did your (spouse/partner) <u>earn</u> in total for 2001 from all jobs and any self-employment? I'm interested in your best estimate of a total <u>before</u> deductions and taxes were taken out. Please include all income from <u>employment only</u> such as salary, wages, tips, bonuses, overtime pay and commissions.

(PROBE IF AMOUNT RESPONDENT GIVES IS WEEKLY, BI-WEEKLY, MONTHLY OR YEARLY)

1. gave WEEKLY	
2. gave BI-WEEKLY	[SKIP TO N19_b]
3. gave MONTHLY	[SKIP TO N19_c]
4. gave YEARLY	[SKIP TO N19_d]
7. ranges	[SKIP TO N20]
8. DK	[SKIP TO N20]
9. RF	[SKIP TO N20]

N19\_a. (ENTER AMOUNT PAID PER WEEK)

<50-100,000>

 $\rightarrow$  [SKIP TO N19\_e]

**N19\_b.** (ENTER AMOUNT PAID EVERY TWO WEEKS)

<100-100,000>

 $\rightarrow$  [SKIP TO N19\_e]

N19\_c. (ENTER AMOUNT PAID PER MONTH)

<200-1,000,000>

 $\rightarrow$  [SKIP TO N19\_e]

**N19\_d**. (ENTER AMOUNT PAID PER YEAR)

<500-10,000,000>

 $\rightarrow$  [SKIP TO N19\_f]

**N19\_e.** For approximately how many months did your (spouse/partner) have earnings in 2001?

<1-12> 98. DK [SKIP TO N29] 99. RF [SKIP TO N29]

CALCULATE TOTAL AMOUNT FOR THE PAST 12 MONTHS RECORDED IN PAYMENT UNITS

- N19\_f. TOTAL AMOUNT FOR THE PAST 12 MONTHS RECORDED IN PAYMENT UNITS
- **N19\_g**. [VERIFY IF NECESSARY FOR YEARLY AMOUNT:] That means your (spouse/partner) was paid, in total, \$[ N19\_f] in 2001? Does that sound right?
  - 1. YES [SKIP TO N29]
  - 2. NO
  - 8. DK
  - 9. REF
- **N19\_h.** What amount was your (spouse/partner) paid in 2001? Your best estimate is fine.

ENTER DOLLAR AMOUNT\_\_\_\_\_(RANGE:\$500-\$10,000,000,DK/REF=99) [ALL RESPONDENTS SKIP TO N29]

#### VERIFY ANNUAL EARNINGS AND CORRECT ERRORS

**N20.** All I need is the general range of earnings - I have some categories here. Were your spouse/partner's total earnings \$30,000 or more in 2001?

YES
 NO [SKIP TO N25]
 OTHER [SKIP TO N28]
 DK [SKIP TO N29]
 RF [SKIP TO N29]

**N21**. Were your spouse's/partner's total earnings \$100,000 or more in 2001?

N22. Were your spouse's/partner's total earnings \$60,000 or more in 2001?

 1. YES
 [SKIP TO N24]

 2. NO
 .

 7. OTHER [SKIP TO N28]

 8. DK
 [SKIP TO N29]

 9. RF
 [SKIP TO N29]

**N23**. Were your spouse's/partner's total earnings...

\$30,000 to under \$40,000
 \$40,000 to under \$50,000
 or \$50,000 to under \$60,000?
 OTHER [SKIP TO N28]
 DK
 RF

 $\rightarrow$  [SKIP TO N29]

- **N24**. Were your spouse's/partner's total earnings...
  - \$60,000 to under \$70,000
     \$70,000 to under \$80,000
     \$80,000 to under \$90,000
     or \$90,000 to under \$100,000?
     OTHER [SKIP TO N28]
     DK
     RF

 $\rightarrow$  [SKIP TO N29]

**N25**. Were your spouse's/partner's total earnings \$15,000 or more in 2001?

 1. YES

 2. NO
 [SKIP TO N27]

 7. OTHER
 [SKIP TO N28]

 8. DK
 [SKIP TO N29]

 9. RF
 [SKIP TO N29]

N26. Were your spouse's/partner's total earnings...

\$15,000 to under \$20,000
 \$20,000 to under \$25,000
 or \$25,000 to under \$30,000?
 OTHER [SKIP TO N28]
 DK
 RF

 $\rightarrow$  [SKIP TO N29]

**N27**. Were your spouse's/partner's total earnings...

1. under \$5,000 2. \$5,000 to under \$10,000 3. or \$10,000 to under \$15,000? 7. OTHER [SKIP TO N28] 8. DK 9. RF

 $\rightarrow$  [SKIP TO N29]

N28. (INTERVIEWER: ENTER EARNINGS)

<100-99999999> 8. DK 9. RF

# IF R OR PARTNER WORKED (N02=1 OR N02\_A=1 OR N04=1 OR N04\_A=1) ASK THE FOLLOWING, ELSE SKIP TO N33

**N29**. Did you (or your spouse/partner) have sick leave with this job (any of these jobs) in 2001?

1. YES	
2. NO	[SKIP TO N31]
8. DK	[SKIP TO N31]
9. RF	[SKIP TO N31]

N30. Was this paid sick leave?

- 1. YES 2. NO 8. DK 9. RF
- **N31**. How about family medical leave -- that is at the time of childbirth or adoption, or to take care of a sick family member? Did you (or your spouse/partner) have this type of leave offered with this job (any of these jobs) in 2001?

1. YES	
2. NO	[SKIP TO N33]
8. DK	[SKIP TO N33]
9. RF	[SKIP TO N33]

- N32. Was this paid family medical leave?
  - 1. YES 2. NO 8. DK
  - 9. RF
- N33. We are interested in learning <u>more</u> about the financial security of New Yorkers. If you cashed in all of your (and your spouse/partner's) checking and saving accounts, and any stocks and bonds, IRAs, retirement/pension accounts, businesses and any other real estate you own but <u>not</u> including your home, about how much do you think that would be? (DO NOT INCLUDE R'S HOME) (IF R HESITATES SKIP TO RANGES)

<100-9999999> [SKIP TO N42] <0> no assets [SKIP TO N42] 7. RANGE 8. DK 9. RF **N34**. All I need is the general ranges - I have some categories here.

Do you think this amount is less than \$2000? [IF R GIVES EXACT AMOUNT HERE OR IN THE FOLLOWING RANGES CODE "OTHER RESPONSE" AND ENTER IN AMOUNT.]

1. YES 2. NO [SKIP TO N36] 7. OTHER [SKIP TO N41] 8. DK [SKIP TO N42] 9. RF [SKIP TO N42]

- **N35**. Is it less than \$500?
  - 1. YES 2. NO 7. OTHER [SKIP TO N41] 8. DK 9. RF

 $\rightarrow$  [SKIP TO N42]

**N36**. Is it more than \$40,000?

YES [SKIP TO N38]
 NO
 OTHER [SKIP TO N41]
 DK [SKIP TO N42]
 RF [SKIP TO N42]

- N37. Is it between...
  - \$2000 and \$10,000
     \$10,001 and \$20,000
     \$20,001 and \$30,000
     or between \$30,001 and \$40,000?
     OTHER [SKIP TO N41]
     DK
     RF

 $\rightarrow$  [SKIP TO N42]

**N38**. Is it more than \$100,000?

N39. Is it between...

\$40,001 and \$60,000
 \$60,001 and \$80,000
 or between \$80,001 and \$100,000?
 OTHER [SKIP TO N41]
 DK
 RF

 $\rightarrow$  [SKIP TO N42]

N40. Is it between...

1. \$100,001 and \$250,000	[SKIP TO N42]
2. \$250,001 and \$500,000	[SKIP TO N42]
3. or over \$500,001?	[SKIP TO N42]
7. OTHER	
8. DK	[SKIP TO N42]
9. RF	[SKIP TO N42]

### N41. [INTERVIEWER: ENTER AMOUNT]

<100-99999999> 8. DK 9. RF

N42. Do you (or your spouse/partner) own a vehicle?

[SKIP TO N51]
[SKIP TO N51]
[SKIP TO N51]

**N43**. What is the current value of your vehicle(s), that is, in your opinion, how much would it currently sell for if it were on the market? (Your best estimate is fine.)(INCLUDES ALL VEHICLES)

<100-9999999> 8. DK 9. RF

N44. How much is currently owed for your vehicle(s), if any? (IF R HESITATES SKIP TO RANGES)(INCLUDES ALL VEHICLES)

<100-9999999> 0. no vehicle debt	[SKIP TO N51] [SKIP TO N51]
7. RANGE	
8. DK	
9. RF	

**N45**. All I need is the general ranges - I have some categories here. Do you think this amount is less than \$2000? [IF R GIVES EXACT AMOUNT HERE OR IN THE FOLLOWING RANGES CODE "OTHER RESPONSE" AND ENTER IN AMOUNT.]

1. YES	
2. NO	[SKIP TO N47]
7. OTHER	[SKIP TO N50]
8. DK	[SKIP TO N51]
9. RF	[SKIP TO N51]

**N46**. Is it less than \$500?

1. YES	
2. NO	
7. OTHER	[SKIP TO N50]
8. DK	
9. RF	

 $\rightarrow$  [SKIP TO N51]

**N47**. Is it more than \$5000?

1. YES	
2. NO	[SKIP TO N51]
7. OTHER	[SKIP TO N50]
8. DK	[SKIP TO N51]
9. RF	[SKIP TO N51]

**N48**. Is it more than \$10,000?

1. YES	
2. NO	[SKIP TO N51]
7. OTHER	[SKIP TO N50]
8. DK	[SKIP TO N51]
9. RF	[SKIP TO N51]

**N49**. Is it more than \$20,000?

1. YES	[SKIP TO N51]
2. NO	[SKIP TO N51]
7. OTHER	
8. DK	[SKIP TO N51]
9. RF	[SKIP TO N51]

N50. [INTERVIEWER: ENTER AMOUNT]

<100-9999999> 8. DK 9. RF

**N51**. Suppose you needed to borrow \$1000. Would you be able to borrow \$1000 from a relative or friend?

1. YES	
2. NO	[SKIP TO N53]
8. DK	[SKIP TO N53]
9. RF	[SKIP TO N53]

**N52**. Suppose you needed to borrow \$10,000? Would you be able to borrow \$10,000 from a relative or friend?

1. YES 2. NO 8. DK 9. RF

 $\rightarrow$  [SKIP TO N54]

**N53**. Suppose you needed to borrow \$100. Would you able to borrow \$100 from a relative or friend?

1. YES 2. NO

- 8. DK
- 9. RF

**N54**. Do you (or your spouse/partner) owe money for credit card bills, educational loans, loans from banks or from individuals? Please do not include home or vehicle debt. Also do not include credit card debt if you always pay off the balance each month.

1. YES	
2. NO	[SKIP TO O01]
8. DK	[SKIP TO O01]
9. RF	[SKIP TO O01]

N55.How much would you say you (and your spouse/partner) owe altogether for these debts?<br/>(PROBE: Your best estimate is fine)<br/><0> No debt/ no credit cards or loans [SKIP TO 001]<br/>100. \$100 or less [SKIP TO 001]

<101-999999> 7. RANGES

- 8. DK
- 9. RF

**N56**. All I need is the general ranges - I have some categories here. Do you think this amount is less than \$2000? [If R gives exact amount here or in the following ranges code "other response" and enter in amount.]

1. YES	
2. NO	[SKIP TO O01]
7. OTHER	[SKIP TO N61]
8. DK	[SKIP TO O01]
9. RF	[SKIP TO O01]

**N57**. Is it less than \$500?

1. YES 2. NO 7. OTHER [SKIP TO N61] 8. DK 9. RF

 $\rightarrow$  [SKIP TO 001]

**N58**. Is it more than \$5000?

1. YES

2. NO	[SKIP TO N61]
7. OTHER	[SKIP TO N61]
8. DK	[SKIP TO O01]
9. RF	[SKIP TO O01]

**N59**. Is it more than \$10,000?

1. YES

2. NO	[SKIP TO O01]
7. OTHER	[SKIP TO N61]
8. DK	[SKIP TO O01]
9. RF	[SKIP TO O01]

**N60**. Is it more than \$20,000?

1. YES	[SKIP TO O01]
2. NO	[SKIP TO O01]
7. OTHER	
8. DK	[SKIP TO O01]
9. RF	[SKIP TO O01]

# N61. [INTERVIEWER: ENTER AMOUNT]

<100-999999> 8. DK 9. RF

#### 2002 NYC SOCIAL INDICATORS SURVEY

# **O. OTHER SOURCES OF INCOME**

**O01.** I would like to ask you a few questions about other sources of income that you (or your spouse/partner) or your (child/children) under 18 received last year, that is, in 2001. Did you (or your spouse/partner) receive unemployment compensation, veteran's benefits, or worker's compensation for a job injury last year?

[CODE YES ONLY IF RESPONDENT RECEIVED MONEY LAST YEAR AND NOT IF RESPONDENT WAS ELIGIBLE BUT DID NOT RECEIVE ANYTHING YET. THIS IS TRUE FOR ALL THE QUESTIONS IN THIS SERIES.]

1. YES

2. NO

8. DK

9. RF

**O02**. Did you (or your spouse/partner) receive social security, disability, old age insurance, or survivor's insurance last year? Do not include SSI.

[IF NECESSARY: SSI is a program for people with disabilities and some elderly people who don't have Social Security. But it is **not** social security.]

- 1. YES 2. NO 8. DK 9. RF
- **O03**. Did you (or your spouse/partner) receive other pensions or retirement income last year?

1. YES 2. NO 8. DK 9. RF

- O04. Did you (or your spouse/partner) receive interest from sources like bank accounts, money markets, certificates of deposits, dividends from stocks or mutual funds last year? (EXCLUDES INTEREST FROM 401K PLANS OR RETIREMENT ACCOUNTS)
  - 1. YES 2. NO
  - 8. DK
  - 9. RF

**O05**. Did you (or your spouse/partner) receive income from rental property last year?

1. YES 2. NO 8. DK 9. RF

**O06**. Did you (or your spouse/partner) receive child support or alimony last year?

- 1. YES 2. NO 8. DK 9. RF
- **O07**. Did you (or your spouse/partner) receive cash gifts from relatives or friends in the past 5 years?
  - 1. YES 2. NO 8. DK 9. RF
- **O08**. Did you (or your spouse/partner) receive cash assistance from community or charitable or religious groups last year?
  - 1. YES 2. NO 8. DK 9. RF

# IF R OR PARTNER ARE IN SCHOOL (D02=1 OR D04=1) THEN ASK O09, ELSE SKIP TO O10

**O09**. Did you (or your spouse/partner) receive any educational assistance for tuition, fees or books?

1. YES 2. NO

- 8. DK
- 9. RF

I have just a few more questions about income you may have received from government programs.

**O10**. Did you (or your spouse/partner or any of your children under 18) receive supplemental security income (SSI) last year?

[IF NECESSARY: SSI is a program for people with disabilities and some elderly people who don't have Social Security. But it is **not** social security]

1. YES 2. NO

- 8. DK
- 9. RF
- **O11**. Did you (or your spouse/partner) receive Food Stamps last year?
  - 1. YES 2. NO 8. DK 9. RF

# [IF KIDCHECK=0 SKIP TO O14]

- **O12.** Did you (or your spouse/partner) or any of your children receive W.I.C. (PRONOUNCED "WICK") last year (the special food program for Women, Infants, and children)?
  - 1. YES
  - 2. NO
  - 8. DK
  - 9. RF

**O13**. Last year, did any of your children receive any free or reduced cost lunches at school or child care?

1. YES 2. NO 8. DK 9. RF

**O14**. Did you (or your spouse/partner) receive public assistance, welfare payment or emergency help from the welfare offices last year?

1. YES 2. NO

2. NO 8. DK

0. DK

9. RF

### [ASK O15\_A IF O01=1 ELSE SKIP TO O16\_A]

**O15\_a.** You told me you (or your spouse/partner) had some income from unemployment compensation, veteran's benefits, or worker's compensation for a job injury in 2001. How much did you (or your spouse/partner) receive altogether in 2001? Your best estimate is fine.

(INTERVIEWR:RECORD AMOUNT IN \$)

<\$100-\$999999> RECORD AMOUNT IN \$ LESS THAN \$100= Enter 100 8. DK [SKIP TO O16\_a] 9. RF [SKIP TO O16\_a]

**O15\_b.** INTERVIEWER: ENTER APPROPRIATE PUNCH: THE AMOUNT GIVEN IN:

1. PER MONTH 2. PER YEAR [SKIP TO O16\_a]

**O15\_c.** For how many months did you receive UNEMPLOYMENT COMPENSATION, VETERAN'S BENEFITS, OR WORKER'S COMPENSATION in 2001?

<1-12> MONTHS 98. DK [SKIP TO O16\_a] 99. RF [SKIP TO O16\_a]

O15\_d. COMPUTE YEARLY PAYMENT IF AMOUNT GIVEN IN MONTHS

- **O15\_e**. So you received about (amount in O15\_d) in 2001, is it correct?
  - 1. YES [SKIP TO O16\_a] 2. NO 8. DK 9. RF
- O15\_f. Approximately how much did you receive in 2001? (PROBE: Your best estimate is fine) <\$100-\$999999> RECORD AMOUNT IN \$ LESS THAN \$100=100 8. DK 9. RF

#### [ASK O16\_a IF O02=1 ELSE SKIP TO O17\_a]

- **O16\_a**. You told me you (or your spouse/partner) had some income from social security, disability, old age insurance, or survivor's insurance in 2001. Who received social security, disability, old age insurance, or survivor's insurance last year?
  - 1. RESPONDENT
  - 2. SPOUSE/PARTNER
  - 3. BOTH
  - 8. DK
  - 9. RF
- **O16\_b.** How much did you (and/or your spouse/partner) receive altogether in 2001? Your best estimate is fine.

(INTERVIEWR:RECORD AMOUNT IN \$)

<\$100-\$999999> RECORD AMOUNT IN \$ LESS THAN \$100= Enter 100 8. DK [SKIP TO 017\_a] 9. RF [SKIP TO 017\_a]

**O16\_c.** INTERVIEWER: ENTER APPROPRIATE PUNCH: THE AMOUNT GIVEN IN:

1. PER MONTH 2. PER YEAR [SKIP TO O17\_a] **O16\_d.** For how many months did you receive SOCIAL SECURITY, DISABILITY, OLD AGE INSURANCE OR SURVIVORS INSURANCE in 2001?

<1-12> MC	ONTHS
98. DK	[SKIP TO O17_a]
99. RF	[SKIP TO O17_a]

- O16\_e. COMPUTE YEARLY PAYMENT IF AMOUNT GIVEN IN MONTHS
- **O16\_f**. So you received about (amount in O16\_e) in 2001, is it correct?

1. YES [SKIP TO O17\_a] 2. NO 8. DK 9. RF

O16\_g. Approximately how much did you receive in 2001? (PROBE: Your best estimate is fine) <\$100-\$999999> RECORD AMOUNT IN \$ LESS THAN \$100=100 8. DK 9. RF

### [ASK O17\_a IF O03=1 ELSE SKIP TO O18\_a]

O17\_a. You told me you (or your spouse/partner) had some income from pension or annuity in 2001. How much did you (or your spouse/partner) receive altogether in 2001? Your best estimate is fine. (INTERVIEWR:RECORD AMOUNT IN \$)

> <\$100-\$999999> RECORD AMOUNT IN \$ LESS THAN \$100= Enter 100 8. DK [SKIP TO O18\_a] 9. RF [SKIP TO O18\_a]

**O17\_b.** INTERVIEWER: ENTER APPROPRIATE PUNCH: THE AMOUNT GIVEN IN:

1.PER MONTH 2.PER YEAR [SKIP TO O18\_a]

O17\_c. For how many months did you receive PENSION OR ANNUITY in 2001?

<1-12> MONTHS 98. DK [SKIP TO O18\_a] 99. RF [SKIP TO O18\_a]

#### O17\_d. COMPUTE YEARLY PAYMENT IF AMOUNT GIVEN IN MONTHS

- **O17\_e**. So you received about (amount in O17\_d) in 2001, is it correct?
  - 1. YES [SKIP TO O18\_a]
  - 2. NO
  - 8. DK
  - 9. RF
- O17\_f. Approximately how much did you receive in 2001? (PROBE: Your best estimate is fine) <\$100-\$999999> RECORD AMOUNT IN \$ LESS THAN \$100=100 8. DK 9. RF

### [ASK O18\_a IF O04=1 ELSE SKIP TO O19\_a]

**O18\_a**. You told me you (or your spouse/partner) had some income from bank accounts, money markets, certificates of deposits, dividends from stocks or mutual funds in 2001. Excluding any 401K plans or retirement accounts, how much did you (or your spouse/partner) receive altogether in 2001? Your best estimate is fine. (INTERVIEWR:RECORD AMOUNT IN \$)

<\$100-\$999999> RECORD AMOUNT IN \$ LESS THAN \$100= Enter 100 8. DK [SKIP TO O19\_a] 9. RF [SKIP TO O19\_a]

**O18\_b.** INTERVIEWER: ENTER APPROPRIATE PUNCH: THE AMOUNT GIVEN IN:

1. PER MONTH 2. PER YEAR [SKIP TO O19\_a]

**O18\_c.** For how many months did you receive income from BANK ACCOUNTS, MONEY MARKETS, CERTIFICATES OF DEPOSITS, DIVIDENDS FROM STOCKS OR MUTUAL FUNDS in 2001?

<1-12> MONTHS 98. DK [SKIP TO O19\_a] 99. RF [SKIP TO O19\_a]

**O18\_d**. COMPUTE YEARLY PAYMENT IF AMOUNT GIVEN IN MONTHS

- **O18\_e**. So you received about (amount in O18\_d) in 2001, is it correct?
  - 1. YES [SKIP TO O19\_a] 2. NO 8. DK 9. RF
- O18\_f. Approximately how much did you receive in 2001? (PROBE: Your best estimate is fine) <\$100-\$999999> RECORD AMOUNT IN \$ LESS THAN \$100=100 8. DK 9. RF

#### [ASK O19\_a IF O05=1 ELSE SKIP TO O20\_a]

O19\_a. You told me you (or your spouse/partner) had some income from rental property in 2001. How much did you (or your spouse/partner) receive altogether in 2001? Your best estimate is fine. (INTERVIEWR:RECORD AMOUNT IN \$)

<\$100-\$999999> RECORD AMOUNT IN \$ LESS THAN \$100= Enter 100 8. DK [SKIP TO O20\_a] 9. RF [SKIP TO O20\_a]

**O19\_b.** INTERVIEWER: ENTER APPROPRIATE PUNCH: THE AMOUNT GIVEN IN:

1. PER MONTH 2. PER YEAR [SKIP TO O20\_a]

O19\_c. For how many months did you receive income from RENTAL INCOME in 2001?

<1-12> MONTHS 98. DK [SKIP TO O20\_a] 99. RF [SKIP TO O20\_a]

- O19\_d. COMPUTE YEARLY PAYMENT IF AMOUNT GIVEN IN MONTHS
- **O19\_e**. So you received about (amount in O19\_d) in 2001, is it correct?
  - 1. YES [SKIP TO O20\_a]
  - 2. NO
  - 8. DK
  - 9. RF

O19\_f. Approximately how much did you receive in 2001? (PROBE: Your best estimate is fine) <\$100-\$999999> RECORD AMOUNT IN \$ LESS THAN \$100=100 8. DK 9. RF

### [ASK O20\_a IF O06=1 ELSE SKIP TO O21]

O20\_a. You told me you (or your spouse/partner) had some income from child support or alimony in 2001. How much did you (or your spouse/partner) receive altogether in 2001? Your best estimate is fine. (INTERVIEWR:RECORD AMOUNT IN \$)

<\$100-\$999999> RECORD AMOUNT IN \$ LESS THAN \$100= Enter 100 8. DK [SKIP TO O21] 9. RF [SKIP TO O21]

**O20\_b.** INTERVIEWER: ENTER APPROPRIATE PUNCH: THE AMOUNT GIVEN IN:

1. PER MONTH 2. PER YEAR [SKIP TO O21]

**O20\_c.** For how many months did you receive income from CHILD SUPPORT OR ALIMONY in 2001?

<1-12> MONTHS 98. DK [SKIP TO O21] 99. RF [SKIP TO O21]

- O20\_d. COMPUTE YEARLY PAYMENT IF AMOUNT GIVEN IN MONTHS
- **O20\_e**. So you received about (amount in O20\_d) in 2001, is it correct?
  - 1. YES [SKIP TO O21]
  - 2. NO
  - 8. DK
  - 9. RF

O20\_f. Approximately how much did you receive in 2001? (PROBE: Your best estimate is fine) <\$100-\$999999> RECORD AMOUNT IN \$ LESS THAN \$100=100 8. DK 9. RF

# [ASK O21 IF O07=1 ELSE SKIP TO O22\_a]

O21. You told me you (or your spouse/partner) had some income from FINANCIAL ASSISTANCE OR CASH GIFTS FROM PARENTS, OTHER RELATIVES OR FRIENDS in the past 5 years. How much did you (and/or your partner) receive in cash gifts in the past 5 years? Your best estimate is fine.
<\$100-\$999999> RECORD AMOUNT IN \$
LESS THAN \$100=100
8. DK
9. RF

**O21\_a**. How much did you (and your partner) receive in cash gifts last year in 2001?

<\$0-\$999999> RECORD AMOUNT IN \$ LESS THAN \$100=100 8. DK 9. RF

### [ASK O22\_a IF O08=1 ELSE SKIP TO O23]

O22\_a. You told me you (or your spouse/partner) had some income in the form of cash assistance from community or charitable or religious groups in 2001. How much did you (or your spouse/partner) receive altogether in 2001? Your best estimate is fine. (INTERVIEWR:RECORD AMOUNT IN \$)

<\$100-\$999999> RECORD AMOUNT IN \$ LESS THAN \$100= Enter 100 8. DK [SKIP TO O23] 9. RF [SKIP TO O23]

**O22\_b.** INTERVIEWER: ENTER APPROPRIATE PUNCH: THE AMOUNT GIVEN IN:

1. PER MONTH 2. PER YEAR [SKIP TO O23] O22\_c. For how many months did you receive CASH ASSISTANCE FROM COMMUNITY OR CHARITABLE OR RELIGIOUS GROUPS in 2001?

<1-12> MO	NTHS
98. DK	[SKIP TO O23]
99. RF	[SKIP TO O23]

## O22\_d. COMPUTE YEARLY PAYMENT IF AMOUNT GIVEN IN MONTHS

**O22\_e**. So you received about (amount in O22\_d) in 2001, is it correct?

1. YES	[SKIP TO O23]
2. NO	
8. DK	
9. RF	

O22\_f. Approximately how much did you receive in 2001? (PROBE: Your best estimate is fine) <\$100-\$999999> RECORD AMOUNT IN \$ LESS THAN \$100=100 8. DK 9. RF

# IF ANY EDUCATIONAL ASSISTANCE (009=1) ASK THE FOLLOWING, ELSE SKIP TO O24\_a

- **O23\_1-** You told me you (or your spouse/partner) received some educational assistance. What
- **O23\_2.** type of assistance did you receive? (MULTI PUNCH: ALLOW 2 RESPONSES)
  - 1. Pell Grant
  - 2. Assistance from a welfare or social service office
  - 3. Some other government assistance
  - 4. Scholarships, grants, etc
  - 5. Employer
  - 6. Friends, family
  - 8. DK
  - 9. RF

IF ANY INCOME FROM SSI (O10=1) ASK O24\_a, ELSE SKIP 025\_a

- **O24a\_1** You told me you (or your spouse/partner) had some income from SSI. Who in your
- **O24a\_3**. family received SSI? [MULTIPUNCH]
  - 1. RESPONDENT
  - 2. SPOUSE/PARTNER
  - 3. CHILD(REN) UNDER 18
  - 4. OTHER [SPECIFY] (Verbatim stored in variable Ou24a\_)\*\*
  - 8. DK
  - 9. RF
- **O24\_b.** For how many months did you (or your spouse/partner or any of your children under 18) receive SSI in 2001?

<1-12> 98. DK (skip to O24\_f) 99. RF (skip to O24\_f)

O24\_c. How much did you (or your spouse/partner or any of your children under 18) receive in SSI each <u>month</u> in 2001? (PROBE: Your best estimate is fine.)

<50-999> MONTHLY \$ 8. DK (skip to O24\_f) 9. RF (skip to O24\_f)

- CALCULATE TOTAL AMOUNT FOR THE PAST 12 MONTHS RECORDED IN PAYMENT UNITS
- O24\_d. TOTAL AMOUNT FOR THE PAST 12 MONTHS RECORDED IN PAYMENT UNITS
- **O24\_e**. [VERIFY YEARLY AMOUNT:] That was a total of (amount in [O24\_d) last year in 2001? Does that sound right?

YES [SKIP TO INSTRUCTIONS BEFORE O25\_a]
 NO
 DK
 RF

**O24\_f.** What was the actual total amount received from SSI last year in 2001? Your best estimate is fine.

ENTER DOLLAR AMOUNT\_\_\_\_\_(RANGE:\$500-\$10,000,000,DK/REF=99)

### IF RECEIVED FOOD STAMPS (O11=1) ASK O25\_a, ELSE SKIP TO O26\_a

**O25\_a**. For how many months did you receive food stamps in 2001?

<1-12> 98. DK - (SKIP TO O25\_e) 99. RF - (SKIP TO O25\_e)

**O25\_b.** How much did you (or your spouse/partner) receive in Food Stamps each MONTH in 2001?

(PROBE: Your best estimate is fine.)

<20-999> MONTHLY \$ 8. DK (SKIP TO O25\_e) 9. RF (SKIP TO O25\_e)

CALCULATE TOTAL AMOUNT FOR THE PAST 12 MONTHS RECORDED IN PAYMENT UNITS

- O25\_c. TOTAL AMOUNT FOR THE PAST 12 MONTHS RECORDED IN PAYMENT UNITS
- **O25\_d.** [VERIFY YEARLY AMOUNT:] That was a total of \$[O25\_c] last year in 2001? Does that sound right?
  - 1. YES [SKIP TO INSTRUCTIONS BEFORE O26\_a]
  - 2. NO
  - 8. DK
  - 9. RF
- **O25\_e.** What was the actual amount received in Food stamps last year in 2001? Your best estimate is fine.

ENTER DOLLAR AMOUNT (RANGE:\$100-\$10,000, DK/REF=99)

# IF RECEIVED PUBLIC ASSISTANCE/WELFARE (O14=1) ASK O26\_a, ELSE SKIP TO O27

**O26\_a**. For how many <u>months</u> did you receive welfare in 2001?

<1-12> 98. DK (SKIP TO O26\_e) 99. RF (SKIP TO O26\_e) **O26\_b.** How much did you (or your spouse/partner) receive from welfare each <u>month</u> in 2001? You should include any shelter allowances. (PROBE: Your best estimate is fine.)

(IF NECESSARY: A shelter allowance would be the part of your welfare grant that was paid directly to your landlord instead of to you.)

<50-999> MONTHLY \$ 8. DK (SKIP TO O26\_e) 9. RF (SKIP TO O26\_e)

CALCULATE TOTAL AMOUNT FOR THE PAST 12 MONTHS RECORDED IN PAYMENT UNITS

- O26\_c. TOTAL AMOUNT FOR THE PAST 12 MONTHS RECORDED IN PAYMENT UNITS
- **O26\_d.** [VERIFY YEARLY AMOUNT:] That was a total of \$[O26\_c] last year in 2001? Does that sound right?
  - 1. YES [SKIP TO O27]
  - 2. NO
  - 8. DK
  - 9. RF
- **O26\_e.** What was the actual amount received in Public Assistance/Welfare last year in 2001? Your best estimate is fine.

ENTER DOLLAR AMOUNT (RANGE:\$100-\$10,000, DK/REF=99)

**O27**. Did you (or your spouse/partner) **pay** child support payments, **last year**, for children not living with you? Do not include payments for college tuition.

YES
 NO [SKIP TO O28]
 DK [SKIP TO O28]
 RF [SKIP TO O28]

O27\_a. How much was that in 2001? (PROBE: Your best estimate if fine) <100-999999> YEARLY \$ 8. DK 9. RF **O28**. As part of filling out your federal tax return for 2001, did you (or your spouse/partner) fill out (or are you (or your spouse/partner) planning to fill out) a special form to claim the Earned Income Credit, called Schedule EIC?

PROBE IF DON'T KNOW WHAT EIC IS: The federal government has a special rule that allows working people who make less than about \$32,000 a year to get a tax refund. It's called the Earned Income Credit or EIC. Sometimes, if the IRS thinks that someone is eligible for the EIC they send out a letter asking that person to fill out a special form so that they can claim the EIC.

1. YES

2. NO

8. DK

9. RF

# [IF LIVING WITH OTHER RELATED ADULTS ASK FOLLOWING; ELSE SKIP TO P01 (IF B05-B10=1 AND B05\_a-B10\_a =3-9 ASK 029, ELSE SKIP TO P01)]

**O29**. I have a question about the income of other people in your household.

You have told me about the income you (or your spouse/partner) received in 2001. Not counting that income, how much income was received from all sources by other adult relatives who are living with you? Please consider earnings and any other forms of income from government or businesses. (PROBE: Your best estimate is fine) [INTERVIEWER: ENTER AMOUNT <100-999999> 8. DK

9. RF

#### 2002 NYC SOCIAL INDICATORS SURVEY

## P. HARDSHIP

**P01**. We are also interested in some of the problems that families face making ends meet.

In the past 12 months, was there ever a time that you ran short of money to buy food?

1. YES 2. NO [SKIP TO P08] 8. DK 9. RF

P02. In the past 12 months, have you (or your spouse/partner) received free food or meals from a food pantry or food bank or meal program?
[IF KIDCHECK > 0] Do not include free or reduced lunch received by your (child/children) at school.

YES
 NO [SKIP TO P04]
 DK [SKIP TO P04]
 RF [SKIP TO P04]

**P03**. In how many months, did you get free food or meals from a program like this?

- 1. Every month
- 2. About half the months
- 3. Fewer than half the months
- 4. Or only in one month?
- 8. DK
- 9. RF
- **P04**. In the past 12 months, have you (or your spouse/partner) received free food or meals from family or friends because you didn't have enough money to buy food?

YES
 NO [SKIP TO P06]
 DK [SKIP TO P06]
 RF [SKIP TO P06]

**P05**. In how many months, did you get free food or meals from family or friends?

- Every month
   About half the months
- 3. Fewer than half the months
- 4. Or only in one month?
- 8. DK
- 9. RF

### [IF KIDCHECK=0 SKIP TO P07]

#### **P06**.

In the past 12 months, was there a time when your (child/children) went hungry because there wasn't enough money to buy food?

1. YES 2. NO 8. DK 9. RF

**P07**. Was there ever a time when you (or your spouse/partner) went hungry in the past 12 months because there wasn't enough money for food?

- 1. YES 2. NO 8. DK 9. RF
- **P08**. During the past 12 months was there ever a time when you (or your spouse/partner) missed a payment or were late with the gas or electricity bill because you didn't have enough money?

1. YES	
2. NO	[SKIP TO P10]
5. (VOL) NEVER RESPONSIBLE FOR UTILITY BILLS	S [SKIP TO P10]
8. DK	[SKIP TO P10]
9. RF	[SKIP TO P10]

- **P09**. During the last 12 months, was either the gas or electricity ever turned off because the bill was not paid?
  - 1. YES 2. NO 8. DK 9. RF
- **P10.** During the past 12 months, did you [or your spouse] or [your child(ren)] not get or postpone getting medical care or surgery because of lack of money?
  - 1. YES 2. NO
  - 8. DK
  - 9. RF

#### IF MOVED IN LAST 12 MONTHS (M03=0) ASK P11, ELSE SKIP TO P14

**P11**. In the past 12 months, did you ever move in with other people even for a little while because of financial problems?

 1. YES

 2. NO
 [SKIP TO P13]

 8. DK
 [SKIP TO P13]

 9. RF
 [SKIP TO P13]

- **P12**. For how many months did you stay with someone because of financial problems?
  - 1. Every month
  - 2. About half the months
  - 3. Fewer than half the months
  - 4. Or less than one month?
  - 8. DK
  - 9. RF
- **P13**. In the past 12 months, did you ever stay at a shelter, in an abandoned building, an automobile or any other place not meant for regular housing even for one night because you didn't have enough money for a place to live?
  - 1. YES 2. NO
  - 2. IXO 8. DK
  - 0. DK
  - 9. RF

# IF RESPONDENT RECEIVED PUBLIC ASSISTANCE (014=1) OR FOOD STAMPS (011=1) OR SSI (010=1), ASK P14 ELSE SKIP TO P19

**P14**. You previously told me that you received government benefits in 2001. Was there any time in the past 12 months when you (or your spouse/partner) stopped receiving benefits for more than one month? (Benefits include public assistance or welfare, SSI, and Food Stamps.)

YES
 (VOL) YES, BUT BEFORE THE 12 MONTH PERIOD
 NO [SKIP TO P18]
 DK [SKIP TO P18]
 RF [SKIP TO P18]

**P15**. The last time the benefits stopped, did the program cut you (or your spouse/partner) off or was it your decision to leave the program?

CUT OFF [SKIP TO P17]
 OWN DECISION
 DK [SKIP TO P18]
 RF [SKIP TO P18]

- **P16\_1-** Why did you (or your spouse/partner) leave the program? Was it
- **P16\_2.** because...[MULTIPUNCH]
  - 1. someone got a job
  - 2. someone kept the same job, worked more hours or got a raise
  - 3. someone got a better job
  - 4. someone married/remarried
  - 5. someone moved in with family
  - 6. someone moved to another county/state
  - 7. DID NOT NEED IT
  - 12. OTHER (specify) (Verbatim stored in variable Pu16\_)\*\*
  - 13. DK
  - 14. RF

 $\rightarrow$  [SKIP TO P18]

- P17\_1- Why did they cut you (or your spouse/partner) off? Was it because...[READ LIST,
- **P17\_3.** MULTI PUNCH]
  - 1. someone went to work or earned more in a job
  - 2. you did not follow the rules for the program
  - 3. there was paperwork error
  - 4. assets were too high
  - 5. reached end of time limit allowed for receiving benefits
  - 6. not a U.S. citizen
  - 12. or some other reason? (specify)[specify] (Verbatim stored in variable Pu17\_)\*\*
  - 13. DK
  - 14. RF
- **P18**. In the past 12 months, were you (or your spouse/partner) required to work, go to school, visit a doctor, or do anything else in order to receive benefits?
  - 1. YES
  - 2. YES, BUT BEFORE THE LAST 12 MONTHS
  - 3. NO [SKIP TO INSTRUCTIONS BEFORE P19]
  - 8. DK [SKIP TO INSTRUCTIONS BEFORE P19]
  - 9. RF [SKIP TO INSTRUCTIONS BEFORE P19]
- P18a\_1 Were you (or your spouse/partner) required to...[MULTI PUNCH]
- P18a 3.
- 1. look for a job
- 2. work in a paid job (in addition to welfare)
- 3. work in an unpaid job
- 4. attend school or training
- 5. visit a doctor or show medical records
- 7. or something else? (specify) [SPECIFY] (Verbatim stored in variable Pu18a\_)\*\*
- 8. DK
- 9. RF

# IF NOT IN WELFARE (O14=2) OR NO FOOD STAMPS (O11=2), ASK P19, ELSE SKIP TO Q1.

**P19.** Was there ever a time in the past 12 months that you (or your spouse/partner) thought you could be eligible for welfare or food stamps?

[SKIP TO Q1]
[SKIP TO Q1]
[SKIP TO Q1]

**P20.** Did you (or your spouse/partner) ever apply and give the application to the welfare office?

1. YES, APPLIED	[SKIP TO P22]
2. NO, DID NOT APPLY OF	R DID NOT FINISH
8. DK	[SKIP TO Q1]
9. RF	[SKIP TO Q1]

- **P21\_1-** Why did you (or your spouse/partner) not apply? Was it because ...
- **P21\_4.** [MULTI PUNCH]
  - 1. you did not expect to qualify
  - 2. you did not understand how to apply
  - 3. you thought the rules would be too difficult
  - 4. you were worried about what might happen to you
  - or your family
  - 7. or some other reason? (specify)[SPECIFY]\*\*
  - 8. DK
  - 9. RF
  - $\rightarrow$  [SKIP TO Q1]
- **P22**. What happened with the application? Was it turned down, did you (or your spouse/partner) get the benefits, or are you still waiting to hear?

1. TURNED DOWN	
2. RECEIVED BENEFITS	[SKIP TO Q1]
3. STILL WAITING TO HEAR	[SKIP TO Q1]
8. DK	[SKIP TO Q1]
9. RF	[SKIP TO Q1]

- P23\_1- Why were you told that you (or your spouse/partner) could not get benefits? Was it because... [READ LIST, MULTI PUNCH]
  - 1. your income was too high
  - 2. you did not follow the rules for the program
  - 7. or some other reason? (specify)[specify] (Verbatim stored in variable Pu23\_)\*\*
  - 8. DK
  - 9. RF

## **Q. POLITICAL PARTICIPATION**

The next questions are about activities you may or may not have participated in.

- Q1. Over the past year, have you contacted a local elected official about some need or problem?
  - 1. YES 2. NO 8. DK 9. RF
- **Q2**. Are you currently registered to vote?
  - 1. YES 2. NO 8. DK 9. RF
- Q3. Generally speaking, do you usually think of yourself as Republican, Democrat, Independent, or what?

1 REPUBLICAN 2 DEMOCRAT 3 INDEPENDENT 4 OTHER 5 NO PREFERENCE 8 DK 9 RF

## IF Q2=1 ASK Q4, ELSE SKIP TO Q5

- **Q4**. Did you vote in the 2001 mayoral election?
  - 1. YES 2. NO 8. DK 9. RF

- **Q5**. Are you a member of a neighborhood or community organization?
  - 1. YES 2. NO 8. DK 9. RF

**Q6**. Are you a member of a Parent/Teacher Association?

- 1. YES 2. NO 8. DK 9. RF
- **Q7**. Are you a member of a civic association (e.g., League of Women Voters, Junior League)?
  - 1. YES 2. NO
  - 8. DK 9. RF

**Q8**. Are you a member of any other organization that provides services to the community?

1. YES (specify) [SPECIFY] (Verbatim stored in variable Q8\_)\*\*

- 2. NO
- 8. DK
- 9. RF
- **Q9**. How often do you attend religious services almost every week or more, once or twice a month, a few times a year, or less often than that?
  - 1. ALMOST EVERY WEEK OR MORE
  - 2. ONCE OR TWICE A MONTH
  - 3. A FEW TIMES A YEAR OR
  - 4. LESS OFTEN THAN THAT
  - 8. DK
  - 9. RF

- **Q10**. Are you currently a member of a labor union?
  - 1. YES 2. NO 8. DK
  - 9. RF

#### 2002 NYC SOCIAL INDICATORS SURVEY

### WA. WORLD TRADE CENTER – ADULT SECTION

These next questions are about experiences and reactions you may have had to the attack on the World Trade Center on September 11<sup>th</sup>.

**WA1.** Because of the attack on the World Trade Center, have you or anyone in your family lost her or his job?

1. YES 2. NO 8. DK 9. RF

**WA2.** Since the attack on the World Trade Center, have you had any <u>new physical or emotional</u> health problems that you didn't have before?

1. YES 2. NO [SKIP TO WA3] 8. DK [SKIP TO WA3] 9. RF [SKIP TO WA3]

WA2a\_1- What kind of <u>new</u> physical or emotional health problems have you had? WA2a\_7. (MULTIPUNCH)

- 1. TROUBLE SLEEPING
- 2. HEADACHES
- 3. STOMACHACHES
- 4. BREATHING PROBLEMS OR ASTHMA ATTACKS
- 5. EATING TOO MUCH OR TOO LITTLE
- 6. SORE THROAT OR COUGH
- 7. ANXIETY
- 8. DEPRESSION
- 9. EMOTIONAL PROBLEMS
- 10. FEAR/SCARED
- 11. NERVOUSNESS/NERVES
- 12. STRESS
- 13. TENSION
- 14. SKIN PROBLEMS/RASH
- **15. ALLERGIES**
- 97. OTHER HEALTH PROBLEMS [SPECIFY](Verbatim stored in Wua2a\_)\*\*
- 98. DK
- 99. RF

WA2b. Do you have any of these problems now?

1. YES 2. NO 8. DK 9. RF

WA2c. Did you seek help for this (these) problems?

1. YES 2. NO [SKIP TO WA3] 8. DK [SKIP TO WA3] 9. RF [SKIP TO WA3]

WA2d\_1- From whom?

#### WA2d\_4. (MULTIPLE RESPONSE)

- 1. COUNSELOR
- 2. PSYCHOLOGIST
- 3. PSYCHIATRIST
- 4. NURSE
- 5. THERAPIST
- 6. SOCIAL WORKER
- 7. ANOTHER PROFESSIONAL
- 8. A FRIEND FAMILY MEMBER
- 9. OTHER
- 98. DK
- 99. RF

## IF ANY TROUBLE SLEEPING ALREADY REPORTED (WA2a =1) SKIP TO WA4

**WA3.** Since the attack on the World Trade Center on September 11<sup>th</sup>, have you had problems sleeping?

1. YES 2. NO 3. (VOL) SOMETIMES 8. DK 9. RF

**WA4.** Since the attack on the World Trade Center on September 11<sup>th</sup>, has it been harder to concentrate on your work?

1. YES 2. NO 3. (VOL) SOMETIMES 8. DK 9. RF **WA5.** Since the attack on the World Trade Center on September 11<sup>th</sup>, have you often wanted to stay at home and not go to work or other places?

1. YES 2. NO 3. (VOL) SOMETIMES 8. DK 9. RF

# (ASK IF KIDCHECK >0)

**WA6.** Since the attack on the World Trade Center on September 11<sup>th</sup>, have you cut down on your (child/children)'s freedom to travel around the city?

1. YES 2. NO 3. (VOL) SOMETIMES 8. DK 9. RF

#### 2002 NYC SOCIAL INDICATORS SURVEY

### WC. WORLD TRADE CENTER – FOCAL CHILD SECTION

#### IF KIDCHECK>0 ASK THIS SECTION, ELSE SKIP TO R01

The next questions are about reactions [FOCAL CHILD'S NAME] may have had to the attack on the World Trade Center on September 11<sup>th</sup>.

**WC1.** Since the attack on the World Trade Center, has [FOCAL CHILD'S NAME] had any <u>new</u> physical or emotional health problems that (he/she)didn't have before?

YES
 NO [SKIP TO WC2]
 DK [SKIP TO WC2]
 RF [SKIP TO WC2]

WC1a\_1- What kind of <u>new</u> physical or emotional health problems have (he/she)had? WC1a\_5. [MULTIPUNCH]

**1. TROUBLE SLEEPING** 2. HEADACHES **3. STOMACHACHES** 4. BREATHING PROBLEMS OR ASTHMA ATTACKS 5. EATING TOO MUCH OR TOO LITTLE 6. SORE THROAT OR COUGH 7. ANXIETY 8. DEPRESSION 9. EMOTIONAL PROBLEMS 10. FEAR/SCARED **11. NERVOUSNESS/NERVES 12. STRESS** 13. TENSION 14. SKIN PROBLEMS/RASH **15. ALLERGIES** 97. OTHER HEALTH PROBLEMS [SPECIFY] (Verbatim: WUC1a\_)\*\* 98. DK 99. RF

WC1b. Does (he/she)have any of these problems now?

- 1. YES
- 2. NO
- 8. DK
- 9. RF

WC1c. Did you seek help for this (these) problems?

YES
 NO [SKIP TO WC2]
 DK [SKIP TO WC2]
 RF [SKIP TO WC2]

WC1d\_1- From whom?

#### WC1d\_3. (MULTIPLE RESPONSE)

- 1. COUNSELOR
- 2. PSYCHOLOGIST
- 3. PSYCHIATRIST
- 4. NURSE
- 5. THERAPIST
- 6. SOCIAL WORKER
- 7. ANOTHER PROFESSIONAL
- 8. A FRIEND FAMILY MEMBER
- 9. OTHER
- 98. DK
- 99. RF

#### IF ANY TROUBLE SLEEPING ALREADY REPORTED (WC1a=1) SKIP TO WC3

**WC2.** Since the attack on the World Trade Center on September 11<sup>th</sup> has (he/she)had problems sleeping?

1. YES 2. NO 3. (VOL) SOMETIMES 8. DK 9. RF

**WC3.** Since the attack on the World Trade Center on September 11<sup>th</sup>, has it been harder for him/her to concentrate on his/her schoolwork?

1. YES 2. NO 3. (VOL) SOMETIMES 8. DK 9. RF **WC4.** Since the attack on the World Trade Center on September 11<sup>th</sup>, has (he/she)often wanted to stay at home and not go to school or other places without you (or your spouse/partner)?

1. YES 2. NO 3. (VOL) SOMETIMES 8. DK 9. RF

**WC5.** Since the attack on the World Trade Center on September 11<sup>th</sup>, has (he/she)kept worrying that you or your (spouse/partner) might go away and never come back?

1. YES 2. NO 3. (VOL) SOMETIMES 8. DK 9. RF

WC6. Has (he/she)often felt afraid of being in crowded places?

1. YES 2. NO 3. (VOL) SOMETIMES 8. DK 9. RF

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#### **R. DEMOGRAPHICS**

- **R01**. And finally, to get an idea of the groups that participate in the study, I would like to ask about your ethnic origin. Are you yourself of Latino or Hispanic descent? (PROBE: That is, Mexican American, Chicano, Puerto Rican, Cuban, Dominican or other Hispanic?)
  - 1. YES
  - 2. NO
  - 8. DK
  - 9. RF

**R02**. And, are you White, Black, Asian, or do you consider yourself part of another group?

- WHITE
   BLACK
   ASIAN
   (VOL) HISPANIC
   (VOL) AMERICAN INDIAN
   (VOL) ALASKAN NATIVE
   ANOTHER GROUP (SPECIFY) (Verbatim stored in variable R02\_)\*\*
   DK
   RF
- **R03**. Is your religious preference... [READ]
  - 1. Protestant
  - 2. Roman Catholic
  - 3. Other Christian
  - 4. Jewish
  - 5. Muslim
  - 6. Something else (specify)[specify]
  - 7. (VOL)No preference (Religious but does not have a particular religion)
  - 8. (VOL)No religion/not religious/Atheist
  - 98. DK
  - 99. RF
- **R04**. What is the year of your birth?

<00-84> 98. DK 99. RF

- **R05**. What country were you born in? [DO NOT READ RESPONSES]
  - 1. USA
  - 2. JAMAICA
  - 3. PUERTO RICO
  - 4. DOMINICAN REPUBLIC
  - 5. ITALY
  - 6. ECUADOR
  - 7. GUYANA
  - 8. MEXICO
  - 9. CHINA
  - 10. POLAND
  - 11. TRINIDAD
  - 97. OTHER (SPECIFY) (Verbatim stored in variable R05\_)\*\*
  - 98. DK
  - 99. RF

### [IF R05~=1 OR R05~=3 ASK R06, ELSE SKIP TO R07]

- **R06**. What country was FOCAL CHILD'S NAME born in? [DO NOT READ RESPONSES]
  - 1. USA
  - 2. JAMAICA
  - 3. PUERTO RICO
  - 4. DOMINICAN REPUBLIC
  - 5. ITALY
  - 6. ECUADOR
  - 7. GUYANA
  - 8. MEXICO
  - 9. CHINA
  - 10. POLAND
  - 11. TRINIDAD
  - 97. OTHER (SPECIFY) (Verbatim stored in variable R06\_)\*\*
  - 98. DK
  - 99. RF

IF R05=1 ASK R07, ELSE SKIP TO R09

- **R07**. What country was your mother born in? [DO NOT READ RESPONSES]
  - 1. USA
  - 2. JAMAICA
  - 3. PUERTO RICO
  - 4. DOMINICAN REPUBLIC
  - 5. ITALY
  - 6. ECUADOR
  - 7. GUYANA
  - 8. MEXICO
  - 9. CHINA
  - 10. POLAND
  - 11. TRINIDAD
  - 97. OTHER (SPECIFY) (Verbatim stored in variable R07\_)\*\*
  - 98. DK
  - 99. RF

**R08**. What country was your father born in? [DO NOT READ RESPONSES]

- 1. USA
- 2. JAMAICA
- 3. PUERTO RICO
- 4. DOMINICAN REPUBLIC
- 5. ITALY
- 6. ECUADOR
- 7. GUYANA
- 8. MEXICO
- 9. CHINA
- 10. POLAND
- 11. TRINIDAD
- 97. OTHER (SPECIFY) (Verbatim stored in variable R08)\*\*
- 98. DK
- 99. RF

#### $\rightarrow$ [SKIP TO R10]

**R09**. In what year did you come to the United States?

<1900-2002> 98. DK 99. RF IF RESPONDENT WAS BORN IN THE UNITED STATES, R05 = 1 THEN SKIP TO R11

- **R10**. Are you a naturalized citizen of the United States?
  - 1. YES 2. NO 8. DK 9. RF
- **R11**. In order to geographically classify our sample and to better understand neighborhood conditions, could you please tell me your zip code?

<00000-99997> fixed length is 5 digits 99998. DK 99999. RF

- R12. And what is the name of the street you live on? I do NOT need to know the number of your home or building. [VERBATIM]1. Gave Street
  - 2. Refused
  - 2. Refused
- **R13**. Finally, could you please tell me the name of the nearest cross street or street corner to where you live? [VERBATIM]
  - 1. Gave CROSS Street
  - 2. Refused
- **R14.** Would you like to obtain a summary of the results once the study is finished?
  - YES
     NO [SKIP TO R22, END OF INTERVIEW]
     Don't Know
     Refused

**R15.** In order to mail you the summary report, can I please have your full mailing address? (IF RESPONDENT REFUSES ADDRESS INFORMATION GIVE WEB SITE INFORMATION) The results of this study completed in previous years and the results of the current study when made available can be reviewed on line at the Social Indicators Survey Center at www.siscenter.org

- 1. YES
- 2. NO [SKIP TO R22, END OF INTERVIEW]

**R16.** Could you tell us your first name? (12 LETTERS MAXIMUM) [ENTER ONLY FIRST NAME HERE, TYPE 'REF' IF REFUSAL]

- 1. Gave First Name
- 2. Refused

- **R17.** And what is your last name? (12 LETTERS MAXIMUM) [INTERVIEWER: TYPE 'REF' IF REFUSAL]
  - 1. Gave Last Name
  - 2. Refused
- R18. [allow 12]What is your street address so that we may send you mail concerning the study?
  [ENTER STREET ADDRESS ACCURATELY, TYPE 'REF' IF REFUSAL.)
  1. Gave Street Address
  - 2. Refused
- **R19.** [IF NECESSARY]: What is your apartment number? (ENTER NONE IF NONE.)
  - 1. GAVE APARTMENT NUMBER
  - 2. NONE
  - 9. REFUSED
- **R20.** [allow 15] What is the city/town you live in? (15 LETTERS MAXIMUM) [INTERVIEWER: TYPE 'REF' IF REFUSAL.]
  - 1. Gave City/Town
  - 2. Refused
- R21. [if R11 < 99998 SKIP TO R22] [allow 5] What is your zip code? (ALLOW 5) (INTERVIEWER: TYPE 'REF' IF REFUSAL.)
- **R22.** [END OF INTERVIEW]: We greatly appreciate your participation in this study. Your phone number will be deleted from our records once all the interviews have been finished.
- **R23**. INTERVIEW CONDUCTED IN:
  - ENGLISH
     SPANISH
     CHINEESE -MANDARIN
     CHINESE CANTONESE
     KOREAN

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# **II. CONSTRUCTED VARIABLES**

## 1. WEIGHTING VARIABLES

- w3cninwt Census individual weight
- w3cnfmwt Census family weight
- w3cnhhwt Census household weight

# 2. INCOME VARIABLES WITH IMPUTATION FOR MISSING VALUES (MV)

zprearn	primary earnings with imputed MV
zsecearn	secondary earnings with imputed MV
zinteres	interest income with imputed MV
zgiftmon	gift income with imputed MV
zsocsec	social security income with imputed MV
zfoodst	food stamp income with imputed MV
zwelfare	welfare income with imputed MV
zpension	pension income with imputed MV
zrental	rental income with imputed MV
zunemp	unemployment compensation with imputed MV
zalimon	alimony income with imputed MV
zssi	SSI income with imputed MV
xcharity	charity income with mean for MV
ztotinc	total family cash income with imputed MV

## 3. OTHER CONSTRUCTED VARIABLES

al attainment
ool ool / some college
tion
ool ool / some college
ily
amily
e by family size

fampeo	number of people in family
	<1-10>
hhadul	number of adults in household
	<1-7>
houchild	number of children in household
	<0-8>
houpeo	number of people in household
	<1-14>
idnum	respondent's ID number
immig2	immigration status
partner	0 – Non-immigrant – U.S. or Puerto Rico born 1 – Immigrant
	0 – No 1 – Yes
poor	family at or below 150% poverty line
	0 – No 1 – Yes
race	1 – White 2 – Black 3 – Hispanic 4 – Asian / Other
r_age	respondent's age
	<18-97>

## samptype

- 1 Cross section
- 2 Caregiver